CHAPTER 3

Hopf Algebras, Algebraic, Formal, and Quantum Groups

3. Dual Objects

At the end of the first section in Corollary 3.1.15 we saw that the dual of an Hmodule can be constructed. We did not show the corresponding result for comodules. In fact such a construction for comodules needs some finiteness conditions. With this restriction the notion of a dual object can be introduced in an arbitrary monoidal category.

Definition 3.3.1. Let (\mathcal{C}, \otimes) be a monoidal category $M \in \mathcal{C}$ be an object. An object $M^* \in \mathcal{C}$ together with a morphism $ev: M^* \otimes M \to I$ is called a *left dual* for M if there exists a morphism db : $I \to M \otimes M^*$ in C such that

$$(M \xrightarrow{\mathrm{db} \otimes 1} M \otimes M^* \otimes M \xrightarrow{\mathrm{l} \otimes \mathrm{ev}} M) = 1_M$$
$$(M^* \xrightarrow{\mathrm{l} \otimes \mathrm{db}} M^* \otimes M \otimes M^* \xrightarrow{\mathrm{ev} \otimes 1} M^*) = 1_{M^*}.$$

A monoidal category is called *left rigid* if each object $M \in \mathcal{C}$ has a left dual.

Symmetrically we define: an object $^*M \in \mathcal{C}$ together with a morphism ev : $M \otimes ^*M$ $\rightarrow I$ is called a *right dual* for M if there exists a morphism db : $I \rightarrow {}^*M \otimes M$ in C such that

$$(M \xrightarrow{1 \otimes \mathrm{db}} M \otimes {}^*M \otimes M \xrightarrow{\mathrm{ev} \otimes 1} M) = 1_M$$
$$({}^*M \xrightarrow{\mathrm{db} \otimes 1} {}^*M \otimes M \otimes {}^*M \xrightarrow{1 \otimes \mathrm{ev}} {}^*M) = 1_{{}^*M}$$

A monoidal category is called *right rigid* if each object $M \in \mathcal{C}$ has a left dual.

The morphisms ev and db are called the *evaluation* respectively the *dual basis*.

Remark 3.3.2. If (M^*, ev) is a left dual for M then obviously (M, ev) is a right dual for M^* and conversely. One uses the same morphism db : $I \to M \otimes M^*$.

Lemma 3.3.3. Let (M^*, ev) be a left dual for M. Then there is a natural isomorphism

$$\operatorname{Mor}_{\mathcal{C}}(\operatorname{-}\otimes M, \operatorname{-}) \cong \operatorname{Mor}_{\mathcal{C}}(\operatorname{-}, \operatorname{-}\otimes M^*)$$

i. e. the functor $\cdot \otimes M : \mathcal{C} \to \mathcal{C}$ *is left adjoint to the functor* $\cdot \otimes M^* : \mathcal{C} \to \mathcal{C}$.

PROOF. We give the unit and the counit of the pair of adjoint functors. We define $\Phi(A) := 1_A \otimes db : A \to A \otimes M \otimes M^* \text{ and } \Psi(B) := 1_B \otimes ev : B \otimes M^* \otimes M \to B.$ These are obviously natural transformations. We have commutative diagrams

$$(A \otimes M \xrightarrow{\mathcal{F}\Phi(A)=} A \otimes M \otimes M^* \otimes M \xrightarrow{\Psi\mathcal{F}(A)=} A \otimes M) = 1_{A \otimes M}$$

and

$$(B \otimes M^* \xrightarrow{\Phi \mathcal{G}(B)=} B \otimes M^* \otimes M \otimes M^* \xrightarrow{\mathcal{G}\Psi(B)=} B \otimes M^*) = 1_{B \otimes M^*}$$

Shus the Lemma has been proved by Corollary A.9.11.

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The converse holds as well. If $-\otimes M$ is left adjoint to $-\otimes M^*$ then the unit Φ gives a morphism db := $\Phi(I) : I \to M \otimes M^*$ and the counit Ψ gives a morphism $ev := \Psi(I) : M^* \otimes M \to I$ satisfying the required properties. Thus we have

Corollary 3.3.4. If $- \otimes M : \mathcal{C} \to \mathcal{C}$ is left adjoint to $- \otimes M^* : \mathcal{C} \to \mathcal{C}$ then M^* is a left dual for M.

Corollary 3.3.5. (M^*, ev) is a left dual for M if and only if there is a natural isomorphism

$$\operatorname{Mor}_{\mathcal{C}}(M^* \otimes \operatorname{-}, \operatorname{-}) \cong \operatorname{Mor}_{\mathcal{C}}(\operatorname{-}, M \otimes \operatorname{-}),$$

i. e. the functor $M^* \otimes -: \mathcal{C} \to \mathcal{C}$ is left adjoint to the functor $M \otimes -: \mathcal{C} \to \mathcal{C}$. The natural isomorphism if given by

$$(f: M^* \otimes N \to P) \mapsto ((1_M \otimes f)(\mathrm{db} \otimes 1_N): N \to M \otimes M^* \otimes N \to M \otimes P)$$

and

$$(g: N \to M \otimes P) \mapsto ((\mathrm{ev} \otimes 1_P)(1_{M^*} \otimes g): M^* \otimes N \to M^* \otimes M \otimes P \to P).$$

PROOF. We have a natural isomorphism

$$\operatorname{Mor}_{\mathcal{C}}(M^* \otimes \operatorname{-}, \operatorname{-}) \cong \operatorname{Mor}_{\mathcal{C}}(\operatorname{-}, M \otimes \operatorname{-}),$$

iff (M, ev) is a right dual for M^* (as a symmetric statement to Lemma 3.3.3) iff (M^*, ev) is a left dual for M.

Corollary 3.3.6. If M has a left dual then this is unique up to isomorphism.

PROOF. Let (M^*, ev) and $(M^!, ev^!)$ be left duals for M. Then the functors $-\otimes M^*$ and $-\otimes M^!$ are isomorphic by Lemma A.9.7. In particular we have $M^* \cong I \otimes M^* \cong$ $I \otimes M^! \cong M^!$. If we consider the construction of the isomorphism then we get in particular that $(ev^! \otimes 1)(1 \otimes db) : M^! \to M^! \otimes M \otimes M^* \to M^*$ is the given isomorphism.

Problem 3.3.1. Let (M^*, ev) be a left dual for M. Then there is a *unique* morphism db : $I \to M \otimes M^*$ satisfying the conditions of Definition 3.3.1.

Definition 3.3.7. Let (M^*, ev_M) and (N^*, ev_N) be left duals for M resp. N. For each morphism $f: M \to N$ we define the *transposed morphism*

$$(f^*: N^* \to M^*) := (N^* \stackrel{1 \otimes \mathrm{db}_M}{\longrightarrow} N^* \otimes M \otimes M^* \stackrel{1 \otimes f \otimes 1}{\longrightarrow} N^* \otimes N \otimes M^* \stackrel{\mathrm{ev}_N \otimes 1}{\longrightarrow} M^*).$$

With this definition we get that the left dual is a contravariant functor, since we have

Lemma 3.3.8. Let (M^*, ev_M) , (N^*, ev_N) , and (P^*, ev_P) be left duals for M, N and P respectively.

1. We have $(1_M)^* = 1_{M^*}$.

2. If $f: M \to N$ and $g: N \to P$ are given then $(gf)^* = f^*g^*$ holds.

PROOF. 1. $(1_M)^* = (\operatorname{ev} \otimes 1)(1 \otimes 1 \otimes 1)(1 \otimes \operatorname{db}) = 1_{M^*}.$

2. The following diagram commutes

$$M \xrightarrow{\mathrm{db}_{N} \otimes 1} N \otimes N^{*} \otimes M$$

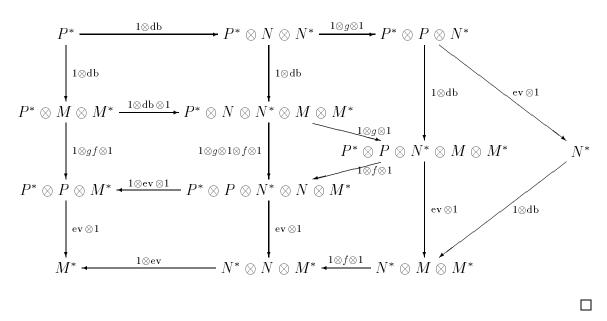
$$\downarrow f \qquad \qquad \downarrow 1 \otimes 1 \otimes f$$

$$N \xrightarrow{\mathrm{db}_{N} \otimes 1} N \otimes N^{*} \otimes N \xrightarrow{\mathrm{1} \otimes \mathrm{ev}_{N}} N$$

$$\downarrow g \otimes 1 \otimes 1 \qquad \qquad \downarrow g$$

$$P \otimes N^{*} \otimes N \xrightarrow{\mathrm{1} \otimes \mathrm{ev}_{N}} P$$

Hence we have $gf = (1 \otimes ev_N)(g \otimes 1 \otimes f)(db_N \otimes 1)$. Thus the following diagram commutes



Problem 3.3.2. 1. In the category of \mathbb{N} -graded vector spaces determine all objects M that have a left dual.

2. In the category of chain complexes \mathbb{K} -Comp determine all objects M that have a left dual.

3. In the category of cochain complexes \mathbb{K} -Cocomp determine all objects M that have a left dual.

4. Let (M^*, ev) be a left dual for M. Show that $db : I \to M \otimes M^*$ is uniquely determined by M, M^* , and ev. (Uniqueness of the dual basis.)

5. Let (M^*, ev) be a left dual for M. Show that $ev : M^* \otimes M \to I$ is uniquely determined by M, M^* , and db.

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Corollary 3.3.9. Let M, N have the left duals (M^*, ev_M) and (N^*, ev_N) and let $f: M \to N$ be a morphism in \mathcal{C} . Then the following diagram commutes

$$I \xrightarrow{\mathrm{db}_M} M \otimes M^*$$
$$\downarrow^{db_N} \downarrow^{f \otimes 1} \qquad \qquad \downarrow^{f \otimes 1}$$
$$N \otimes N^* \xrightarrow{1 \otimes f^*} N \otimes M^*.$$

PROOF. The following diagram commutes

$$M \xrightarrow{\mathrm{db} \otimes 1} N \otimes N^* \otimes M$$

$$f \downarrow \qquad \qquad \downarrow 1 \otimes 1 \otimes f$$

$$N \xrightarrow{\mathrm{db} \otimes 1} N \otimes N^* \otimes N$$

$$1 \qquad \qquad \downarrow 1 \otimes \mathrm{ev}$$

$$N$$

This implies $(f \otimes 1_{M^*}) db_M = ((1_N \otimes ev_N)(1_N \otimes 1_{N^*} \otimes f)(db_N \otimes 1_M) \otimes 1_{M^*}) db_M = (1_N \otimes ev_N \otimes 1_{M^*})(1_N \otimes 1_{N^*} \otimes f \otimes 1_{M^*})(db_N \otimes 1_M \otimes 1_{M^*}) db_M = (1_N \otimes ev_N \otimes 1_{M^*})(1_N \otimes 1_{N^*} \otimes f \otimes 1_{M^*})(1_N \otimes 1_{N^*} \otimes db_M) db_N = (1_N \otimes (ev_N \otimes 1_{M^*})(1_{N^*} \otimes f \otimes 1_{M^*})(1_{N^*} \otimes db_M) db_N = (1_N \otimes (ev_N \otimes 1_{M^*})(1_{N^*} \otimes f \otimes 1_{M^*})(1_{N^*} \otimes db_M)) db_N = (1_N \otimes f^*) db_N.$

Corollary 3.3.10. Let M, N have the left duals (M^*, ev_M) and (N^*, ev_N) and let $f: M \to N$ be a morphism in C. Then the following diagram commutes

PROOF. This statement follows immediately from the symmetry of the definition of a left dual. $\hfill \Box$

Example 3.3.11. Let $M \in {}_{R}\mathcal{M}_{R}$ be an R-R-bimodule. Then $\operatorname{Hom}_{R}(M, R)$ is an R-R-bimodule by (rfs)(x) = rf(sx). Furthermore we have the morphism ev : $\operatorname{Hom}_{R}(M, R) \otimes_{R} M \to R$ defined by $\operatorname{ev}(f \otimes_{R} m) = f(m)$.

(Dual Basis Lemma:) A module $M \in \mathcal{M}_R$ is called *finitely generated and projective* if there are elements $m_1, \ldots, m_n \in M$ und $m^1, \ldots, m^n \in \operatorname{Hom}_R(M, R)$ such that

$$\forall m \in M : \sum_{i=1}^{n} m_i m^i(m) = m.$$

Actually this is a consequence of the dual basis lemma. But this definition is equivalent to the usual definition.

Let $M \in {}_{R}\mathcal{M}_{R}$. *M* as a right *R*-module is finitely generated and projective iff *M* has a left dual. The left dual is isomorphic to $\operatorname{Hom}_{R}(M, R)$.

If M_R is finitely generated projective then we use db : $R \to M \otimes_R \operatorname{Hom}_R(M, R)$ with db(1) = $\sum_{i=1}^n m_i \otimes_R m^i$. In fact we have $(1 \otimes_R \operatorname{ev})(\operatorname{db} \otimes_R 1)(m) = (1 \otimes_R \operatorname{ev})(\sum m_i \otimes_R m^i \otimes_R m) = \sum m_i m^i(m) = m$. We have furthermore (ev $\otimes_R 1)(1 \otimes_R \operatorname{db})(f)(m) = (\operatorname{ev} \otimes_R 1)(\sum_{i=1}^n f \otimes_R m_i \otimes_R m^i)(m) = \sum f(m_i)m^i(m) = f(\sum m_i m^i(m))$ = f(m) for all $m \in M$ hence (ev $\otimes_R 1)(1 \otimes_R \operatorname{db})(f) = f$.

Conversely if M has a left dual M^* then $\operatorname{ev} : M^* \otimes_R M \to R$ defines a homomorphism $\iota : M^* \to \operatorname{Hom}_R(M, R.)$ in ${}_R\mathcal{M}_R$ by $\iota(m^*)(m) = \operatorname{ev}(m^* \otimes_R m)$. We define $\sum_{i=1}^n m_i \otimes m^i := \operatorname{db}(1) \in M \otimes M^*$, then $m = (1 \otimes \operatorname{ev})(\operatorname{db} \otimes 1)(m) = (1 \otimes \operatorname{ev})(\sum m_i \otimes m^i \otimes m) = \sum m_i \iota(m^i)(m)$ so that $m_1, \ldots, m_n \in M$ and $\iota(m^1), \ldots, \iota(m^n) \in \operatorname{Hom}_R(M, R.)$ form a dual basis for M, i. e. M is finitely generated and projective as an R-module. Thus M^* and $\operatorname{Hom}_R(M, R.)$ are isomorphic by the map ι .

Analogously $\operatorname{Hom}_R(.M, .R)$ is a right dual for M iff M is finitely generated and projective as a left R-module.

Problem 3.3.3. Find an example of an object M in a monoidal category C that has a left dual but no right dual.

Definition 3.3.12. Given objects M, N in C. An object [M, N] is called a *left inner Hom* of M and N if there is a natural isomorphism $Mor_{\mathcal{C}}(-\otimes M, N) \cong Mor_{\mathcal{C}}(-, [M, N])$, i. e. if it represents the functor $Mor_{\mathcal{C}}(-\otimes M, N)$.

If there is an isomorphism $\operatorname{Mor}_{\mathcal{C}}(P \otimes M, N) \cong \operatorname{Mor}_{\mathcal{C}}(P, [M, N])$ natural in the three variable M, N, P then the category \mathcal{C} is called *monoidal and left closed*.

If there is an isomorphism $\operatorname{Mor}_{\mathcal{C}}(M \otimes P, N) \cong \operatorname{Mor}_{\mathcal{C}}(P, [M, N])$ natural in the three variable M, N, P then the category \mathcal{C} is called *monoidal and right closed*.

If M has a left dual M^* in \mathcal{C} then there are inner Homs [M, -] defined by $[M, N] := N \otimes M^*$. In particular left rigid monoidal categories are left closed.

- **Example 3.3.13.** 1. The category of finite dimensional vector spaces is a monoidal category where each object has a (left and right) dual. Hence it is (left and right) closed and rigid.
- 2. Let **Ban** be the category of (complex) Banach spaces where the morphisms satisfy $|| f(m) || \le || m ||$ i. e. the maps are bounded by 1 or contracting. **Ban** is a monoidal category by the complete tensor product $M \otimes N$. In **Ban** exists an inner Hom functor [M, N] that consists of the set of bounded linear maps from M to N made into a Banach space by an appropriate topology. Thus **Ban** is a monoidal closed category.
- 3. Let *H* be a Hopf algebra. The category *H*-**Mod** of left *H*-modules is a monoidal category (see Example 3.2.4 2.). Then $\operatorname{Hom}_{\mathbb{K}}(M, N)$ is an object in *H*-**Mod** by the multiplication

$$(hf)(m) := \sum h_{(1)} f(mS(h_{(2)}))$$

as in Proposition 3.1.14.

 $\operatorname{Hom}_{\mathbb{K}}(M, N)$ is an inner Hom functor in the monoidal category H-Mod. The isomorphism $\phi : \operatorname{Hom}_{\mathbb{K}}(P, \operatorname{Hom}_{\mathbb{K}}(M, N)) \cong \operatorname{Hom}_{\mathbb{K}}(P \otimes M, N)$ can be restricted to an isomorphism

$$\operatorname{Hom}_{H}(P, \operatorname{Hom}_{\mathbb{K}}(M, N)) \cong \operatorname{Hom}_{H}(P \otimes M, N),$$

because $\phi(f)(h(p \otimes m)) = \phi(f)(\sum h_{(1)}p \otimes h_{(2)}m) = \sum f(h_{(1)}p)(h_{(2)}m) = \sum (h_{(1)}(f(p)))(h_{(2)}m) = \sum h_{(1)}(f(p)(S(h_{(2)})h_{(3)}m)) = h(f(p)(m)) = h(\phi(f))(p \otimes m)$ and conversely $(h(f(p)))(m) = \sum h_{(1)}(f(p)(S(h_{(2)})m)) = \sum h_{(1)}(\phi(f))(p \otimes S(h_{(2)})m)) = \sum \phi(f)(h_{(1)}p \otimes h_{(2)}S(h_{(3)})m) = \phi(f)(hp \otimes m) = f(hp)(m).$ Thus *H*-**Mod** is left closed.

If $M \in H$ -Mod is a finite dimensional vector space then the dual vector space $M^* := \operatorname{Hom}_{\mathbb{K}}(M, \mathbb{K})$ again is an *H*-module by (hf)(m) := f(S(h)m). Furthermore M^* is a left dual for M with the morphisms

$$\mathrm{db}: \mathbb{K} \ni 1 \mapsto \sum_{i} m_i \otimes m^i \in M \otimes M^*$$

and

$$\operatorname{ev}: M^* \otimes M \ni f \otimes m \mapsto f(m) \in \mathbb{K}$$

where m_i and m^i are a dual basis of the vector space M. Clearly we have $(1 \otimes \text{ev})(\text{db} \otimes 1) = 1_M$ and $(\text{ev} \otimes 1)(1 \otimes \text{db}) = 1_{M^*}$ since M is a finite dimensional vector space. We have to show that db and ev are H-module homomorphisms. We have

$$(h \operatorname{db}(1))(m) = (h(\sum m_i \otimes m^i))(m) = (\sum h_{(1)}m_i \otimes h_{(2)}m^i)(m) = \\ \sum (h_{(1)}m_i)((h_{(2)}m^i)(m)) = \sum (h_{(1)}m_i)(m^i(S(h_{(2)})m)) = \\ \sum h_{(1)}S(h_{(2)})m = \varepsilon(h)m = \varepsilon(h)(\sum m_i \otimes m^i)(m) = \varepsilon(h)\operatorname{db}(1)(m) = \\ \operatorname{db}(\varepsilon(h)1)(m) = \operatorname{db}(h1)(m),$$

hence h db(1) = db(h1). Furthermore we have

$$h \operatorname{ev}(f \otimes m) = h f(m) = \sum h_{(1)} f(S(h_{(2)})h_{(3)}m) = \sum (h_{(1)}f)(h_{(2)}m) = \sum \operatorname{ev}(h_{(1)}f \otimes h_{(2)}m) = \operatorname{ev}(h(f \otimes m)).$$

4. Let H be a Hopf algebra. Then the category of left H-comodules (see Example 3.2.4.3.) is a monoidal category. Let $M \in H$ -Comod be a finite dimensional vector space. Let m_i be a basis for M and let the comultiplication of the comodule be $\delta(m_i) = \sum h_{ij} \otimes m_j$. Then we have $\Delta(h_{ik}) = \sum h_{ij} \otimes h_{jk}$. $M^* := \text{Hom}_{\mathbb{K}}(M, \mathbb{K})$ becomes a left H-comodule $\delta(m^j) := \sum S(h_{ij}) \otimes m^i$. One verifies that M^* is a left dual for M.

Lemma 3.3.14. Let $M \in C$ be an object with left dual (M^*, ev) . Then 1. $M \otimes M^*$ is an algebra with multiplication

$$\nabla := 1_M \otimes \operatorname{ev} \otimes 1_{M^*} : M \otimes M^* \otimes M \otimes M^* \to M \otimes M^*$$

and unit

$$u := \mathrm{db} : I \to M \otimes M^*;$$

2. $M^* \otimes M$ is a coalgebra with comultiplication

$$\Delta := 1_{M^*} \otimes \mathrm{db} \otimes 1_M : M^* \otimes M \to M^* \otimes M \otimes M^* \otimes M$$

and counit

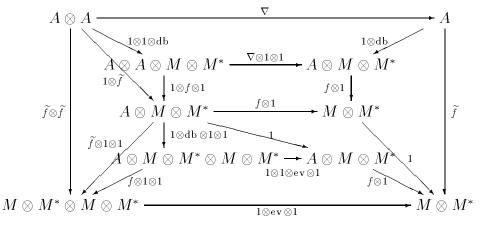
$$\varepsilon := \mathrm{ev} : M^* \otimes M \to I.$$

PROOF. 1. The associativity is given by $(\nabla \otimes 1)\nabla = (1_M \otimes \operatorname{ev} \otimes 1_{M^*} \otimes 1_M \otimes 1_{M^*})(1_M \otimes \operatorname{ev} \otimes 1_{M^*}) = 1_M \otimes \operatorname{ev} \otimes \operatorname{ev} \otimes 1_{M^*} = (1_M \otimes 1_{M^*} \otimes 1_M \otimes \operatorname{ev} \otimes 1_{M^*})(1_M \otimes \operatorname{ev} \otimes 1_{M^*}) = (1 \otimes \nabla)\nabla$. The axiom for the left unit is $\nabla(u \otimes 1) = (1_M \otimes \operatorname{ev} \otimes 1_{M^*})(\operatorname{db} \otimes 1_M \otimes 1_{M^*}) = 1_M \otimes 1_{M^*}$.

2. is dual to the statement for algebras.

Lemma 3.3.15. 1. Let A be an algebra in C and left $M \in C$ be a left rigid object with left dual (M^*, ev) . There is a bijection between the set of morphisms $f : A \otimes M$ $\rightarrow M$ making M a left A-module and the set of algebra morphisms $\tilde{f} : A \rightarrow M \otimes M^*$. 2. Let C be a coalgebra in C and left $M \in C$ be a left rigid object with left dual (M^*, ev) . There is a bijection between the set of morphisms $f : M \rightarrow M \otimes C$ making M a right C-comodule and the set of coalgebra morphisms $\tilde{f} : M^* \otimes M \rightarrow C$.

PROOF. 1. By Lemma 3.3.14 the object $M \otimes M^*$ is an algebra. Given $f: A \otimes M \to M$ such that M becomes an A-module. By Lemma 3.3.3 we associate $\tilde{f} := (f \otimes 1)(1 \otimes db) : A \to A \otimes M \otimes M^* \to M \otimes M^*$. The compatibility of \tilde{f} with the multiplication is given by the commutative diagram

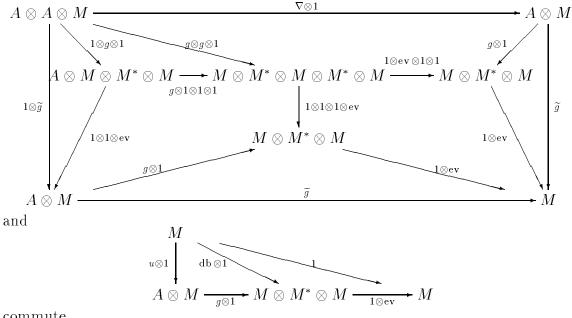


The unit axiom is given by

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Conversely let $g: A \to M \otimes M^*$ be an algebra homomorphism and consider $\widetilde{g} :=$ $(1 \otimes ev)(g \otimes 1) : A \otimes M \to M \otimes M^* \otimes M \to M$. Then M becomes a left A-module since



commute.

2. (M^*, ev) is a left dual for M in the category \mathcal{C} if and only if (M^*, db) is the right dual for M in the dual category \mathcal{C}^{op} . So if we dualize the result of part 1. we have to change sides, hence 2.