

Dedicated to Wolfram Pohlers on his retirement

Introduction.

One of the major problems in reductive proof theory in the early 1970s was to give a proof-theoretic reduction of classical theories of iterated arithmetical inductive definitions to corresponding constructive systems. This problem was solved in [BFPS] in various ways which all were based on the method of cut-elimination (normalization, reps.) for infinitary Tait-style sequent calculi (infinitary systems of natural deduction, resp.). Only quite recently Avigad and Towsner [AT09] succeeded in giving a reduction of classical iterated ID theories to constructive ones by the method of functional interpretation. For a thorough exposition and discussion of all this cf. [Fef].

In the present paper we give yet another reduction of classical ID_ν to $ID_\nu^i(\mathcal{W})$ based on cut-elimination arguments. \mathcal{W} is a particularly simple accessibility ID; its corresponding operator form $\mathcal{W}(P, Q, y, x)$ (cf. [BFPS]) has the shape $A(x, y) \wedge \forall z(\tilde{Q}(t(x), z) \rightarrow Pq(x, z))$ with primitive recursive A, t, q , and $\tilde{Q}(u, z) := u \geq 1 \wedge (u \geq 2 \rightarrow Q(u \div 2, z))$. There are two reasons which, as we hope, justify a publication of this additional proof. First, it is considerably more direct than all the existing ones. Second, the method used here stems to a great extent from [Ge36] and therefore may be interesting for historical reasons too. Actually I have already used a variant of this method under the label “notations for infinitary derivations” in several papers (e.g. [Bu91], [Bu97], [Bu01]) without mentioning its close relationship to [Ge36]. When writing [Bu91] I was definitely not aware of this connection; but cf. [Bu95]. The method from [Ge36] can be roughly described as follows: By (primitive) recursion on the build-up of h , for each derivation h in a suitably designed finitary proof system Z of first order arithmetic a family $(h[i])_{i \in I_h}$ of Z -derivations is defined such that $\frac{\dots \Gamma(d[i]) \dots (i \in I_h)}{\Gamma(h)}$ (where $\Gamma(h)$ denotes the endsequent of h) forms an inference in cutfree ω -arithmetic (with repetition-rule). Then the consistency of Z is obtained by quantifierfree transfinite induction over the relation $\prec := \{(h[i], h) : h \in Z \ \& \ i \in I_h\}$. In the present paper we proceed similarly. Let ID_ν be the finitary Tait-style system of ν -fold iterated inductive definitions as introduced in [Bu02]. We extend ID_ν by certain inferences $E, D_\sigma, S_{\mathcal{P}, \mathcal{F}}^{\Pi}$ (which do not alter the set of derivable sequents) to a finitary system ID_ν^* . This step corresponds very much to the passage from BI_1^- to BI_1^* in [Bu01]. Then by primitive recursion on the height of h , for each closed ID_ν^* -derivation h we define a family $(h[\iota])_{\iota \in I_h}$ of closed ID_ν^* -derivations such that $\frac{\dots \Gamma(h[\iota]) \dots (\iota \in I_h)}{\Gamma(h)}$ is an inference in the infinitary system ID_ν^∞ . Formulated more technical, we assign to h an inference symbol $\mathbf{tp}(h)$ of ID_ν^∞ , and for each $\iota \in |\mathbf{tp}(h)|$ a closed ID_ν^* -derivation $h[\iota]$ such that $\frac{\dots \Gamma(h[\iota]) \dots (\iota \in |\mathbf{tp}(h)|)}{\Gamma(h)}$ is a $\mathbf{tp}(h)$ -inference (Lemma 1). On first sight the present system ID_ν^∞ looks exactly like the system ID_ν^∞ in [Bu02] (which itself is the Tait-style version of the natural deduction system ID_ν^∞ from [Bu81]), but there is some subtle difference concerning the index sets $|\tilde{\Omega}_P|$ of instances of the Ω -rule. In [Bu02], $|\tilde{\Omega}_P|$ is a set of infinitary derivations while in the present paper $|\tilde{\Omega}_P|$ is a set of finite derivations, namely $|\tilde{\Omega}_P| = \mathbf{I}_\mu =$ set of all closed ID_ν^* -derivations h with $\deg(h) = 0$ and $\Gamma(h) \subseteq \text{Pos}_\mu$, where $\mu := \text{lev}(P)$. Now let \mathcal{W}_σ be the accessible part of the relation $\{(h[\iota], h) : h \in \mathbf{I}_\sigma \ \& \ \iota \in |\mathbf{tp}(h)|_{\mathcal{W}}\}$, where $|\mathcal{I}|_{\mathcal{W}} := \mathcal{W}_\mu$ if $\mathcal{I} = \tilde{\Omega}_P$ with $\mu := \text{lev}(P) < \sigma$, and $|\mathcal{I}|_{\mathcal{W}} := |\mathcal{I}|$ otherwise. The proof-theoretic reduction of ID_ν to $ID_\nu^i(\mathcal{W})$ will be established by a proof of transfinite induction over the relation $\{(h[i], h) : h \in \mathbf{I}_0 \ \& \ i \in |\mathbf{tp}(h)|\}$ which can be locally formalized in $ID_\nu^i(\mathcal{W})$. The difficulty here is to come along without the uppermost set \mathcal{W}_ν , which would be available in $ID_{\nu+1}^i(\mathcal{W})$ but not in $ID_\nu^i(\mathcal{W})$. We overcome this difficulty by using (a generalization of) Gentzen’s technique (cf. [Ge43]) for proving transfinite induction up to ordinals $< \varepsilon_0$ within Z .

In order to avoid some annoying but inessential technicalities we restrict our treatment to $\nu < \omega$. So in the whole paper ν is a fixed natural number > 0 .

Preliminaries. For the reader's convenience we repeat some basic definitions and abbreviations from [Bu02] (with some minor deviations). Let \mathcal{L} be an arbitrary first order language (i.e. set of function and predicate symbols). Atomic \mathcal{L} -formulas are $Rt_1\dots t_n$ where R is an n -ary predicate symbol (of \mathcal{L}), and t_1, \dots, t_n are \mathcal{L} -terms. Expressions of the shape A or $\neg A$, where A is an atomic \mathcal{L} -formula, are called *literals*. \mathcal{L} -formulas are built up from literals by means of $\wedge, \vee, \forall x, \exists x$. $\text{FV}(A)$ denotes the set of free variables of A . A formula or term A is called *closed* if $\text{FV}(A) = \emptyset$. The *negation* $\neg A$ of a non-atomic formula A is defined via de Morgan's laws. The *rank* $\text{rk}(A)$ of a formula A is defined by: $\text{rk}(A) := 0$ if A is a literal, $\text{rk}(A \wedge B) := \text{rk}(A \vee B) := \max\{\text{rk}(A), \text{rk}(B)\} + 1$, $\text{rk}(\forall x A) := \text{rk}(\exists x A) := \text{rk}(A) + 1$. By $A(x/t)$ we denote the result of substituting t for (every free occurrence of) x in A (renaming bound variables if necessary). Expressions $\lambda x.F$ (where F is a formula) are called *predicates* and denoted by \mathcal{F} . For $\mathcal{F} = \lambda x.F$ we set $\mathcal{F}(t) := F(x/t)$. If \mathcal{P} is a unary predicate symbol then $B(\mathcal{P}/\mathcal{F})$ denotes the result of substituting \mathcal{F} for \mathcal{P} in B , i.e. the formula resulting from B by replacing every atom $\mathcal{P}t$ by $\mathcal{F}(t)$. Let X be unary predicate symbol not in \mathcal{L} . A *positive operator form in \mathcal{L}* is an $\mathcal{L} \cup \{X\}$ -formula \mathfrak{A} in which X occurs only positively (i.e. \mathfrak{A} has no subformula $\neg Xt$) and which has at most one free variable x . We use the following abbreviations: $\mathfrak{A}(\mathcal{F}, t) := \mathfrak{A}(x/t)(X/\mathcal{F})$, $\mathfrak{A}(\mathcal{F}) \subseteq \mathcal{F} := \forall x(\mathfrak{A}(\mathcal{F}, x) \rightarrow \mathcal{F}(x))$. For each positive operator form \mathfrak{A} we introduce a new unary predicate symbol $\mathcal{P}_{\mathfrak{A}}$. Finite sets of formulas are called *sequents*. They are denoted by Γ, Δ, Π . We mostly write A_1, \dots, A_n for $\{A_1, \dots, A_n\}$, and A, Γ, Δ for $\{A\} \cup \Gamma \cup \Delta$, etc.

Definition (\mathcal{L}_σ , Pos_σ , level).

Let \mathcal{L}_0 be a language consisting of the constant 0 (*zero*), the unary function symbol S (*successor*), and some predicate symbols R for primitive recursive relations, such that the set TRUE_0 of all true closed \mathcal{L}_0 -literals is itself primitive recursive (under some canonical arithmetization of syntax). The only closed \mathcal{L}_0 -terms are the *numerals* $0, S0, SS0, \dots$ which we identify with the corresponding natural numbers (elements of \mathbb{N}). Arbitrary \mathcal{L}_0 -terms will be denoted by t, t_1, \dots , and (number) variables by x, y .

$\mathcal{L}_{\sigma+1} := \mathcal{L}_0 \cup \{\mathcal{P}_{\mathfrak{A}} : \mathfrak{A} \text{ positive operator form in } \mathcal{L}_\sigma\}$ ($\sigma < \omega$)

$\text{Pos}_\sigma :=$ set of all $\mathcal{L}_{\sigma+1}$ -formulas C such every $\mathcal{P}_{\mathfrak{A}}$ occurring negatively in C belongs to \mathcal{L}_σ .

$\text{lev}(\mathcal{P}_{\mathfrak{A}}) := \text{lev}(\mathcal{P}_{\mathfrak{A}}t) := \min\{\sigma : \mathcal{P}_{\mathfrak{A}}t \in \text{Pos}_\sigma\}$ (level)

Note that this "level" is not exactly the same as "level" in [Bu02].

Proposition.

(1) \mathcal{L}_σ -formulas $\subseteq \text{Pos}_\sigma \subseteq \mathcal{L}_{\sigma+1}$ -formulas

(2) $\mathcal{P}_{\mathfrak{A}}t \in \text{Pos}_\sigma \Rightarrow \mathfrak{A}(\mathcal{P}_{\mathfrak{A}}, t) \in \text{Pos}_\sigma$.

Abbreviations.

\mathcal{L}_0 -lit := set of all \mathcal{L}_0 -literals.

\wedge -for := set of all formulas of the shape $A \wedge B$ or $\forall x A$.

$C \in \wedge^+$ -for $:\Leftrightarrow C \in \wedge$ -for or C has the shape $\mathcal{P}_{\mathfrak{A}}t$

$$C[k] := \begin{cases} C_k & \text{if } C = C_0 \vee C_1 \text{ and } k \in \{0, 1\} \\ A(x/k) & \text{if } C = \exists x A \text{ and } k \in \mathbb{N} \end{cases}$$

Definition (Inference symbols).

An *inference symbol* is a formal expression \mathcal{I} for which the following entities are given

- a set $|\mathcal{I}|$ (the *arity* of \mathcal{I}),
- a sequent $\Delta(\mathcal{I})$ (*principal formula(s)*),
- for each $\iota \in |\mathcal{I}|$ a sequent $\Delta_\iota(\mathcal{I})$ (*minor formula(s)*),

An inference symbol is called (*in*)*finitary* if its arity is (in)finite.

Notation. By writing $(\mathcal{I}) \frac{\dots \Delta_\iota \dots (\iota \in I)}{\Delta}$ we declare \mathcal{I} as an inference symbol with $|\mathcal{I}| = I$, $\Delta(\mathcal{I}) = \Delta$, $\Delta_\iota(\mathcal{I}) = \Delta_\iota$. If $I = \{0, \dots, n-1\}$ we write $\frac{\Delta_0 \ \Delta_1 \ \dots \ \Delta_{n-1}}{\Delta}$, instead of $\frac{\dots \Delta_\iota \dots (\iota \in I)}{\Delta}$. Inference symbols \mathcal{I} with $|\mathcal{I}| = \emptyset$ are called *axioms*.

Definition (Proof systems).

A *proof system* is given by a language \mathcal{L} and a set of inference symbols in this language, where “ \mathcal{I} in \mathcal{L} ” means that all elements of $\Delta(\mathcal{I}) \cup \bigcup_{\iota \in |\mathcal{I}|} \Delta_\iota(\mathcal{I})$ are \mathcal{L} -formulas. A proof system is called *finitary* if all its inference symbols are finitary; otherwise it is called *infinitary*.

From now on the letters A, B, C always denote \mathcal{L}_ν -formulas, and \mathcal{P} ranges over predicate symbols $\mathcal{P}_\mathfrak{A} \in \mathcal{L}_\nu$.

Definition (The finitary proof systems ID_ν and ID_ν^*).

The language of ID_ν is \mathcal{L}_ν , and the inference symbols of ID_ν are

$$(\text{Ax}_\Gamma) \frac{}{\Gamma} \quad \text{if } \Gamma \in \text{Ax}(\nu)$$

where $\text{Ax}(\nu)$ is a set of \mathcal{L}_ν -sequents such that

$$(i) \ \Gamma \in \text{Ax}(\nu) \implies \Gamma(\vec{x}/\vec{t}) \in \text{Ax}(\nu)$$

$$(ii) \ \Gamma \in \text{Ax}(\nu) \ \& \ \text{FV}(\Gamma) = \emptyset \implies \Gamma \cap \text{TRUE}_0 \neq \emptyset \quad \text{or} \quad \Gamma = \{\neg \mathcal{P}n, \mathcal{P}n\} \quad \text{or} \quad \Gamma = \{n \neq n, \neg \mathcal{P}n, \mathcal{P}n\}$$

$$(iii) \ \{\neg A, A\} \in \text{Ax}(\nu) \text{ for each atomic } \mathcal{L}_\nu\text{-formula } A$$

$$(\bigwedge_{A_0 \wedge A_1}) \frac{A_0 \quad A_1}{A_0 \wedge A_1}, \quad (\bigvee_{A_0 \vee A_1}^k) \frac{A_k}{A_0 \vee A_1} \quad (k \in \{0, 1\}), \quad (\bigwedge_{\forall x A}^y) \frac{A(x/y)}{\forall x A}, \quad (\bigvee_{\exists x A}^t) \frac{A(x/t)}{\exists x A},$$

$$(\text{Cut}_C) \frac{C \quad \neg C}{\emptyset} \quad (C \in \bigwedge^+\text{-for} \cup \mathcal{L}_0\text{-lit}), \quad (\text{Ind}_{\mathcal{F}}^t) \frac{}{\neg \mathcal{F}(0), \neg \forall x(\mathcal{F}(x) \rightarrow \mathcal{F}(Sx)), \mathcal{F}(t)},$$

$$(\text{Cl}_{\mathcal{P}_\mathfrak{A}t}) \frac{\mathfrak{A}(\mathcal{P}_\mathfrak{A}t)}{\mathcal{P}_\mathfrak{A}t}, \quad (\text{Ind}_{\mathcal{F}}^{\mathcal{P}_\mathfrak{A}t}) \frac{}{\neg(\mathfrak{A}(\mathcal{F}) \subseteq \mathcal{F}), \neg \mathcal{P}_\mathfrak{A}t, \mathcal{F}(t)}.$$

The inference symbols Ax_Γ , $\bigwedge_{A \wedge B}$, $\bigvee_{A \vee B}^k$, $\bigvee_{\exists x A}^t$, $\text{Cl}_{\mathcal{P}_\mathfrak{A}t}$, and Cut_C with $C \in \mathcal{L}_0\text{-lit}$ are called *simple*.

The proof system ID_ν^* is obtained from ID_ν by adding the following inference symbols

$$(\text{J}_{\forall x A}^t) \frac{\forall x A}{A(x/t)}, \quad (\text{J}_{A_0 \wedge A_1}^k) \frac{A_0 \wedge A_1}{A_k},$$

$$(\text{S}_{\mathcal{P}, \mathcal{F}}^\Pi) \frac{\Pi}{\neg(\mathfrak{A}(\mathcal{F}) \subseteq \mathcal{F}), \Pi(\mathcal{P}/\mathcal{F})} \quad \text{with } \mathcal{P} = \mathcal{P}_\mathfrak{A} \text{ and } \Pi \subseteq \text{Pos}_{\text{lev}(\mathcal{P})},$$

$$(E) \frac{\emptyset}{\emptyset}, \quad (D_\sigma) \frac{\emptyset}{\emptyset} \quad (\sigma < \nu).$$

The role of E and D_σ will become clear in the definition of h^+ below.

Inductive Definition of ID_ν^* -derivations

If \mathcal{I} is an inference symbol of ID_ν^* of arity l and h_0, \dots, h_{l-1} are ID_ν^* -derivations such that for $\Gamma := \Delta(\mathcal{I}) \cup \bigcup_{i < l} (\Gamma(h_i) \setminus \Delta_i(\mathcal{I}))$ we have

- $\mathcal{I} = \bigwedge_{\forall x A}^y \Rightarrow y \notin \text{FV}(\Gamma)$,
- $\mathcal{I} = \text{Cut}_C \Rightarrow \text{FV}(C) \subseteq \text{FV}(\Gamma)$,
- $\mathcal{I} = \bigvee_C^t \Rightarrow \text{FV}(t) \subseteq \text{FV}(\Gamma)$,
- $\mathcal{I} = \text{S}_{\mathcal{P}, \mathcal{F}}^\Pi \Rightarrow \text{FV}(\Pi) \subseteq \text{FV}(\Gamma)$ and $h_0 = D_\sigma h_{00}$ with $\sigma := \text{lev}(\mathcal{P})$,
- $\mathcal{I} = D_\sigma \Rightarrow \Gamma(h_0) \subseteq \text{Pos}_\sigma$ & $\text{deg}(h_0) = 0$,

then $h := \mathcal{I}h_0 \dots h_{l-1}$ is an ID_ν^* -derivation and

$$\Gamma(h) := \Gamma \quad (\text{endsequent of } h), \quad \text{deg}(h) := \begin{cases} \text{deg}(h_0) \div 1 & \text{if } \mathcal{I} = E \\ \max\{\text{rk}(C), \text{deg}(h_0), \text{deg}(h_1)\} & \text{if } \mathcal{I} = \text{Cut}_C \\ \sup_{i < l} \text{deg}(h_i) & \text{otherwise} \end{cases}$$

An ID_ν -derivation h is called *closed* if its endsequent $\Gamma(h)$ is closed, i.e. if $\text{FV}(\Gamma(h)) = \emptyset$.

Abbreviations.

ID_ν^* := set of all closed ID_ν^* -derivations.

$h \vdash_m \Gamma$: \Leftrightarrow $h \in \text{ID}_\nu^*$ with $\Gamma(h) \subseteq \Gamma$ and $\text{deg}(h) \leq m$.

$h \vdash_m^\sigma \Gamma$: \Leftrightarrow $h \vdash_m \Gamma$ and $\Gamma \subseteq \text{Pos}_\sigma$.

$\mathbf{I}_\sigma := \{\mathbf{D}_\sigma h : h \vdash_0^\sigma \Gamma(h)\}$ (= $\{\mathbf{D}_\sigma h : h \in \text{ID}_\nu^* \ \& \ \text{deg}(h) = 0 \ \& \ \Gamma(h) \subseteq \text{Pos}_\sigma\}$) ($\sigma < \nu$)

Definition of $h(y/k)$ (Substitution of numerals).

For $h = \mathcal{I}h_0 \dots h_{n-1}$ let

$$h(y/k) := \begin{cases} h & \text{if } \mathcal{I} = \bigwedge_{\forall xA}^y \\ \mathcal{I}(y/k)h_0(y/k) \dots h_{l-1}(y/k) & \text{otherwise} \end{cases}$$

where $\mathcal{I}(y/k)$ is defined as expected, i.e., in such a way that the following holds:

$$h \vdash_m \Gamma \Rightarrow h(y/k) \vdash_m \Gamma(y/k).$$

Convention.

From now on we use h as syntactic variable for closed ID_ν^* -derivations (i.e., elements of ID_ν^*).

Definition (The infinitary proof system ID_ν^∞).

The language of ID_ν^∞ consists of all *closed* \mathcal{L}_ν -formulas.

We use P as syntactic variable for formulas of the form $\mathcal{P}_{\mathfrak{A}}n$ with $\mathcal{P}_{\mathfrak{A}} \in \mathcal{L}_\nu$.

The inference symbols of ID_ν^∞ are

- All simple inference symbols of ID_ν (restricted to closed formulas)
where $\Delta(\text{Ax}_\Gamma)$ is slightly modified, namely $\Delta(\text{Ax}_\Gamma) := \begin{cases} \Gamma \cap \text{TRUE}_0 & \text{if } \Gamma \cap \text{TRUE}_0 \neq \emptyset \\ \Gamma & \text{otherwise} \end{cases}$.
- $(\bigwedge_{\forall xA}) \frac{\dots A(x/i) \dots (i \in \mathbb{N})}{\forall xA}$, $(\text{Cut}_C) \frac{C \quad \neg C}{\emptyset}$ ($C \in \bigwedge^+$ -for), $(\text{Rep}) \frac{\emptyset}{\emptyset}$,
- $(\tilde{\Omega}_P) \frac{P \quad \dots \Gamma(q) \setminus \{P\} \dots (q \in \mathbf{I}_\mu)}{\emptyset}$ with $\mu := \text{lev}(P)$.

Definition of h^+ , $\text{tp}(h)$, $h[l]$

To each $h \in \text{ID}_\nu^*$ we assign

- an inference symbol $\text{tp}(h)$ of ID_ν^∞ ,
- for each $l \in |\text{tp}(h)|$, a derivation $h[l] \in \text{ID}_\nu^*$.

For the sake of conciseness we write

$$h^+ = \mathcal{I}(h_\iota)_{\iota \in I} \text{ for } \text{tp}(h) = \mathcal{I} \ \& \ |\mathcal{I}| = I \ \& \ \forall \iota \in I (h[l] = h_\iota).$$

The definition proceeds by (primitive) recursion on the height of h .

In clause 3. we make use of the following abbreviation:

$$\text{Cut}_C^\circ(h_0, h_1) := \begin{cases} \text{Cut}_C(h_0, h_1) & \text{if } C \in \bigwedge^+\text{-for} \cup \mathcal{L}_0\text{-lit} \\ \text{Cut}_{\neg C}(h_1, h_0) & \text{otherwise} \end{cases}$$

Further we denote by \mathbf{d}_A the canonical cutfree ID_ν -derivation of $\{\neg A, A\}$.

- 1.1. $(\mathcal{I}h_0 \dots h_{l-1})^+ := \mathcal{I}(h_i)_{i < l}$ if \mathcal{I} is simple.
- 1.2. $(\bigwedge_{\forall xA}^y \tilde{h})^+ := \bigwedge_{\forall xA} (\tilde{h}(y/i))_{i \in \mathbb{N}}$
- 1.3. $(\text{Ind}_{\mathcal{F}}^{\mathcal{P}n})^+ := \tilde{\Omega}_{\mathcal{P}n} \text{Ax}_{\{\neg \mathcal{P}n, \mathcal{P}n\}} (\mathbf{S}_{\mathcal{P}, \mathcal{F}}^{\{\mathcal{P}n\}} q)_{q \in \mathbf{I}_\mu}$ with $\mu := \text{lev}(\mathcal{P})$.
2. $(\text{Ind}_{\mathcal{F}}^n)^+ := \text{Rep}(d_n)$ with $d_0 := \mathbf{d}_{\mathcal{F}(0)}$, $d_{i+1} := \bigvee_{\exists x(\mathcal{F}(x) \wedge \neg \mathcal{F}(Sx))} \bigwedge_{\mathcal{F}(i) \wedge \neg \mathcal{F}(Si)} d_i \mathbf{d}_{\mathcal{F}(Si)}$

3. If $C \in \wedge^+$ -for and $h_1^+ = \mathcal{I}(h_{1\iota})_{\iota \in I}$ then:

$$(\text{Cut}_C h_0 h_1)^+ := \begin{cases} \mathcal{I}(\text{Cut}_C h_0 h_{1\iota})_{\iota \in I} & \text{if } \neg C \notin \Delta(\mathcal{I}) \\ \text{Cut}_{C[k]}^{\circ}(\text{J}_C^k h_0, \text{Cut}_C h_0 h_{10}) & \text{if } \mathcal{I} = \bigvee_{\neg C}^k \\ \text{Rep}(h_0) & \text{if } \neg C \in \Delta(\mathcal{I}) \text{ and } C = \mathcal{P}n \end{cases}$$

4. If $h^+ = \mathcal{I}(h_{\iota})_{\iota \in I}$ then $(\text{E}h)^+ := \begin{cases} \text{Rep}(\text{Cut}_C \text{E}h_0 \text{E}h_1) & \text{if } \mathcal{I} = \text{Cut}_C \text{ with } C \in \wedge^+\text{-for} \\ \mathcal{I}(\text{E}h_{\iota})_{\iota \in I} & \text{otherwise} \end{cases}$

5. If $C \in \wedge$ -for and $h^+ = \mathcal{I}(h_{\iota})_{\iota \in I}$ then $(\text{J}_C^k h)^+ := \begin{cases} \text{Rep}(\text{J}_C^k h_k) & \text{if } \mathcal{I} = \wedge_C \\ \mathcal{I}(\text{J}_C^k h_{\iota})_{\iota \in I} & \text{otherwise} \end{cases}$.

6. If $\mathcal{P} = \mathcal{P}_{\mathfrak{A}}$, $\mu := \text{lev}(\mathcal{P}) (< \nu)$, and $d \in \mathbf{I}_{\mu}$ with $d^+ = \mathcal{I}(d_{\iota})_{\iota \in I}$ then

$$(\text{S}_{\mathcal{P}, \mathcal{F}}^{\Pi} d)^+ := \begin{cases} \bigvee_{\neg(\mathfrak{A}(\mathcal{F}) \subseteq \mathcal{F})}^n (\bigwedge_{\mathfrak{A}(\mathcal{F}, n) \wedge \neg \mathcal{F}(n)} (\text{S}_{\mathcal{P}, \mathcal{F}}^{\Pi \cup \Delta_0(\mathcal{I})} d_0) \mathbf{d}_{\mathcal{F}(n)}) & \text{if } \mathcal{I} = \text{Cl}_{\mathcal{P}n} \text{ with } \mathcal{P}n \in \Pi \\ \mathcal{I}^*(\text{S}_{\mathcal{P}, \mathcal{F}}^{\Pi \cup \Delta_{\iota}(\mathcal{I})} d_{\iota})_{\iota \in I} & \text{if } \mathcal{I} = \bigwedge_A, \bigvee_A^k \text{ with } A \in \Pi \\ \mathcal{I}(\text{S}_{\mathcal{P}, \mathcal{F}}^{\Pi} d_{\iota})_{\iota \in I} & \text{otherwise} \end{cases}$$

where $(\bigwedge_A)^* := \bigwedge_{A(\mathcal{P}/\mathcal{F})}$, $(\bigvee_A^k)^* := \bigvee_{A(\mathcal{P}/\mathcal{F})}^k$.

7. If $h^+ = \mathcal{I}(h_{\iota})_{\iota \in I}$ then $(\text{D}_{\sigma} h)^+ := \begin{cases} \text{Rep}(\text{D}_{\sigma} h_{\text{D}_{\mu} h_0}) & \text{if } \mathcal{I} = \tilde{\Omega}_P \text{ with } \mu := \text{lev}(P) \geq \sigma \\ \mathcal{I}(\text{D}_{\sigma} h_{\iota})_{\iota \in I} & \text{otherwise} \end{cases}$

Definitions.

$$\text{ID}_{\nu}^{\infty}[\sigma := \text{ID}_{\nu}^{\infty} \setminus \{\tilde{\Omega}_P : \text{lev}(P) \geq \sigma\}$$

$$\text{deg}(\mathcal{I}) := \begin{cases} \text{rk}(C) + 1 & \text{if } \mathcal{I} = \text{Cut}_C \text{ with } C \in \wedge^+\text{-for} \\ 0 & \text{otherwise} \end{cases}$$

Lemma 1.

If $h \vdash_m^{\sigma} \Gamma$ & $h^+ = \mathcal{I}(h_{\iota})_{\iota \in I}$ then

$\mathcal{I} \in \text{ID}_{\nu}^{\infty}[\sigma$ & $\Delta(\mathcal{I}) \subseteq \Gamma$ & $\text{deg}(\mathcal{I}) \leq m$ & $\forall \iota \in I (h_{\iota} \vdash_m^{\sigma} \Gamma, \Delta_{\iota}(\mathcal{I}))$.

The proof of this lemma is routine and can be left to the reader (cf. Theorem 3 in [Bu97] and Theorem 5 in [Bu01]).

Iterated Inductive Definition of \mathcal{W}_{σ} ($\sigma < \nu$)

1. If $h \in \mathbf{I}_{\sigma}$ with $|\text{tp}(h)| \subseteq \mathbb{N}$ and $\forall i \in |\text{tp}(h)| (h[i] \in \mathcal{W}_{\sigma})$ then $h \in \mathcal{W}_{\sigma}$.

2. If $h \in \mathbf{I}_{\sigma}$ with $\text{tp}(h) = \tilde{\Omega}_P$, $\text{lev}(P) < \sigma$ and $\forall \iota \in \mathcal{W}_{\text{lev}(P)} (h[\iota] \in \mathcal{W}_{\sigma})$ then $h \in \mathcal{W}_{\sigma}$.

Note that (according to Lemma 1) if $h \in \mathbf{I}_{\sigma}$ and $\text{tp}(h) = \tilde{\Omega}_P$ then $\text{lev}(P) < \sigma$.

Note further that \mathcal{W}_{σ} is by definition a subset of \mathbf{I}_{σ} .

Our goal is now to show that ID_{ν} is ID_2^0 -conservative over $\text{ID}_{\nu}^i(\mathcal{W})$ (where \mathcal{W} denotes the operator form corresponding to the iterated inductive definition of $(\mathcal{W}_{\sigma})_{\sigma < \nu}$). We will achieve this goal by giving an informal proof of

(1) “If h is an ID_{ν} -derivation of a ID_2^0 -sentence A and if h has height and degree $\leq m$ then A holds.”

which for each fixed $m \in \mathbb{N}$ can be formalized in $\text{ID}_{\nu}^i(\mathcal{W})$.

Abbreviations.

$$\mathcal{W}^* := \{h : \forall \sigma < \nu (h \vdash_0^{\sigma} \Gamma(h) \Rightarrow \text{D}_{\sigma} h \in \mathcal{W}_{\sigma})\},$$

$$\text{FALSE}_0 := \{\neg A : A \in \text{TRUE}_0\},$$

$$\text{E}^m h := \underbrace{\text{E} \dots \text{E}}_{m \text{ times}} h.$$

Lemma 2.

Let R be a binary relation symbol of \mathcal{L}_0 .

- (a) If \tilde{h} is an ID_ν -derivation of $\exists yR(x, y)$ with $\text{deg}(\tilde{h}) = m$, then for all n we have:

$$\mathbf{E}^m \tilde{h}(x/n) \in \mathcal{W}^* \Rightarrow \mathcal{W}_0 \ni \mathbf{D}_0 \mathbf{E}^m \tilde{h}(x/n) \vdash \exists yR(n, y).$$
- (b) $\mathcal{W}_0 \ni h \vdash \Gamma, \exists yR(n, y)$ with $\Gamma \subseteq \text{FALSE}_0 \Rightarrow$ there exists k with $R(n, k)$.

Proof:

- (a) Obviously $\mathbf{E}^m h(x/n) \vdash_0^0 \exists yR(n, y)$ which yields the claim.
 (b) Induction over \mathcal{W}_0 : We have $h^+ = \mathcal{I}(h_i)_{i \in I}$ with $h_i \in \mathcal{W}_0$ for all $i \in I$.
 By Lemma 1 one of the following cases holds:

1. $\mathcal{I} = \text{Rep}$ and $h_0 \vdash \Gamma, \exists yR(n, y)$.
2. $\mathcal{I} = \text{Cut}_C$ with $C \in \text{FALSE}_0$ and $h_0 \vdash \Gamma, C, \exists yR(n, y)$.
3. $\mathcal{I} = \text{Cut}_C$ with $\neg C \in \text{FALSE}_0$ and $h_1 \vdash \Gamma, \neg C, \exists yR(n, y)$.
4. $\mathcal{I} = \bigvee_{\exists yR(n, y)}^k$ with $R(n, k) \in \text{FALSE}_0$ and $h_0 \vdash \Gamma, R(n, k), \exists yR(n, y)$.
5. $\mathcal{I} = \bigvee_{\exists yR(n, y)}^k$ and $R(n, k) \in \text{TRUE}_0$.

In cases 1-4 the claim follows immediately from the IH (induction hypothesis).

In case 5 we are done.

Now for establishing (1) it remains to prove

- (2) $\mathbf{E}^m h \in \mathcal{W}^*$ holds for each closed ID_ν -derivation h and each $m \in \mathbb{N}$.

Definitions.

For $\mathcal{I} \in \text{ID}_\nu^\infty$ let $|\mathcal{I}|_{\mathcal{W}} := \begin{cases} \{0\} \cup \mathcal{W}_\mu & \text{if } \mathcal{I} = \tilde{\Omega}_P \text{ and } \mu = \text{lev}(P) \\ |\mathcal{I}| & \text{if } \mathcal{I} \text{ is not of the form } \tilde{\Omega}_P \end{cases}$.

Note that $|\mathcal{I}|_{\mathcal{W}} \subseteq |\mathcal{I}|$ (since $\mathcal{W}_\mu \subseteq \mathbf{I}_\mu$).

$\Phi(\mathcal{X}) := \{h : \forall \iota \in |\text{tp}(h)|_{\mathcal{W}} (h[\iota] \in \mathcal{X})\}$ and $\text{Prog}(\mathcal{X}) := \Leftrightarrow \Phi(\mathcal{X}) \subseteq \mathcal{X}$,
 where \mathcal{X} ranges over subsets of ID_ν^* .

Then \mathcal{W}_σ (for $\sigma < \nu$) satisfies the following ‘‘axioms’’:

- (\mathcal{W}_σ .1) $\mathbf{I}_\sigma \cap \Phi(\mathcal{W}_\sigma) \subseteq \mathcal{W}_\sigma$,
 (\mathcal{W}_σ .2) $\mathbf{I}_\sigma \cap \Phi(\mathcal{X}) \subseteq \mathcal{X} \Rightarrow \mathcal{W}_\sigma \subseteq \mathcal{X}$.

Lemma 3. $\text{Prog}(\mathcal{W}^*)$.

Proof:

Let $\mathbf{H}_\sigma := \{h : \text{deg}(h) = 0 \ \& \ \Gamma(h) \subseteq \text{Pos}_\sigma\}$. Then $\mathcal{W}^* = \{h : \forall \sigma < \nu (h \in \mathbf{H}_\sigma \Rightarrow \mathbf{D}_\sigma h \in \mathcal{W}_\sigma)\}$.

Suppose $h \in \Phi(\mathcal{W}^*)$ & $\sigma < \nu$ & $h \in \mathbf{H}_\sigma$. To prove: $\mathbf{D}_\sigma h \in \mathcal{W}_\sigma$. Trivially $\mathbf{D}_\sigma h \in \mathbf{I}_\sigma$.

1. $\text{tp}(h) = \tilde{\Omega}_P$ with $\sigma \leq \mu := \text{lev}(P)$:

From $h \in \mathbf{H}_\sigma$ by Lemma 1 we get $h[0] \in \mathbf{H}_\sigma \subseteq \mathbf{H}_\mu$. Together with $h \in \Phi(\mathcal{W}^*)$ this yields $q := \mathbf{D}_\mu h[0] \in \mathcal{W}_\mu$.

From $q \in \mathcal{W}_\mu$ and $h \in \mathbf{H}_\sigma \cap \Phi(\mathcal{W}^*)$ we conclude $h[q] \in \mathbf{H}_\sigma \cap \mathcal{W}^*$. Hence $\mathbf{D}_\sigma h[q] \in \mathcal{W}_\sigma$ which yields $\mathbf{D}_\sigma h \in \mathcal{W}_\sigma$, since $(\mathbf{D}_\sigma h)^+ = \text{Rep}(\mathbf{D}_\sigma h[q])$.

2. Otherwise: Then $\text{tp}(\mathbf{D}_\sigma h) = \text{tp}(h)$, $|\text{tp}(h)|_{\mathcal{W}} \subseteq |\text{tp}(h)|$ and $(\mathbf{D}_\sigma h)[\iota] = \mathbf{D}_\sigma h[\iota]$ for all $\iota \in |\text{tp}(h)|$ (*).

From $h \in \mathbf{H}_\sigma \cap \Phi(\mathcal{W}^*)$ by L.1 we get $\forall \iota \in |\text{tp}(h)|_{\mathcal{W}} (h[\iota] \in \mathbf{H}_\sigma \cap \mathcal{W}^*)$, and then $\forall \iota \in |\text{tp}(h)|_{\mathcal{W}} (\mathbf{D}_\sigma h[\iota] \in \mathcal{W}_\sigma)$.

Together with (*) this yields $\mathbf{D}_\sigma h \in \mathcal{W}_\sigma$.

Remark.

Now for establishing (2) it remains to prove

- (3) $\text{Prog}(\mathcal{X}) \Rightarrow h \in \mathcal{X}$, for each closed ID_ν -derivation h and each \mathcal{X} ,
 and to find a *jump* operation $\mathcal{X} \mapsto \bar{\mathcal{X}}$ (à la [Ge43]) such that
 (4) $h \in \bar{\mathcal{X}} \Rightarrow \mathbf{E}h \in \mathcal{X}$ and $\text{Prog}(\mathcal{X}) \Rightarrow \text{Prog}(\bar{\mathcal{X}})$.

Lemma 4. $\text{Prog}(\mathcal{X}) \ \& \ \text{lev}(\mathcal{P}) = \sigma < \nu \ \& \ d \in \mathcal{W}_\sigma \Rightarrow \mathbf{S}_{\mathcal{P}, \mathcal{F}}^\Pi d \in \mathcal{X}$.

Proof by induction on “ $d \in \mathcal{W}_\sigma$ ”:

Assume $d \in \mathcal{W}_\sigma$ with $d^+ = \mathcal{I}(d_\iota)_{\iota \in |\mathcal{I}|}$. Then $d \in \mathbf{I}_\sigma$ and $\forall \iota \in |\mathcal{I}|_{\mathcal{W}} (d_\iota \in \mathcal{W}_\sigma)$.

We have to prove: $h := \mathbf{S}_{\mathcal{P}, \mathcal{F}}^\Pi d \in \mathcal{X}$.

1.1. $\mathcal{I} = \text{Cl}_{\mathcal{P}n}$ with $\mathcal{P}n \in \Pi$: Then $h^+ = \bigvee_{\neg(\mathfrak{A}(\mathcal{F}) \subseteq \mathcal{F})}^n (\bigwedge_{\mathfrak{A}(\mathcal{F}, n) \wedge \neg \mathcal{F}(n)} (\mathbf{S}_{\mathcal{P}, \mathcal{F}}^{\Pi \cup \Delta_0(\mathcal{I})} d_0) \mathbf{d}_{\mathcal{F}(n)})$ (*).

By IH from $d_0 \in \mathcal{W}_\sigma$ we get $\mathbf{S}_{\mathcal{P}, \mathcal{F}}^{\Pi \cup \Delta_0(\mathcal{I})} d_0 \in \mathcal{X}$. Further, the premise $\text{Prog}(\mathcal{X})$ yields $\mathbf{d}_{\mathcal{F}(n)} \in \mathcal{X}$.

From $\mathbf{S}_{\mathcal{P}, \mathcal{F}}^{\Pi \cup \Delta_0(\mathcal{I})} d_0 \in \mathcal{X}$ & $\mathbf{d}_{\mathcal{F}(n)} \in \mathcal{X}$ by (*) and $\text{Prog}(\mathcal{X})$ we get $h \in \mathcal{X}$.

1.2. $\mathcal{I} = \bigwedge_A \bigvee_A^k$ with $A \in \Pi$: Then $h^+ = \mathcal{I}^*(\mathbf{S}_{\mathcal{P}, \mathcal{F}}^{\Pi \cup \Delta_i(\mathcal{I})} d_i)_{i \in |\mathcal{I}|}$ (*).

By IH we get $\forall i \in |\mathcal{I}| (\mathbf{S}_{\mathcal{P}, \mathcal{F}}^{\Pi \cup \Delta_i(\mathcal{I})} d_i \in \mathcal{X})$, and then $h \in \mathcal{X}$ by (*) and $\text{Prog}(\mathcal{X})$.

1.3. otherwise: Then $h^+ = \mathcal{I}(\mathbf{S}_{\mathcal{P}, \mathcal{F}}^\Pi d_\iota)_{\iota \in |\mathcal{I}|}$ (*).

By IH we get $\forall \iota \in |\mathcal{I}|_{\mathcal{W}} (\mathbf{S}_{\mathcal{P}, \mathcal{F}}^\Pi d_\iota \in \mathcal{X})$, and then $h \in \mathcal{X}$ by (*) and $\text{Prog}(\mathcal{X})$.

Lemma 5. $\text{Prog}(\mathcal{X}) \ \& \ C \in \wedge\text{-for} \Rightarrow \text{Prog}(\{h_0 : \mathbf{J}_C^k h_0 \in \mathcal{X}\})$.

Proof: Left to the reader.

Definition. $\mathcal{X}^{C, h_0} := \{h_1 : \text{Cut}_C h_0 h_1 \in \mathcal{X}\}$

Lemma 6. Assume $\text{Prog}(\mathcal{X})$.

(a) $C \in \wedge\text{-for} \ \& \ \forall k (\mathbf{J}_C^k h_0 \in \mathcal{X}) \Rightarrow \text{Prog}(\mathcal{X}^{C, h_0})$

(b) $h_0 \in \mathcal{X} \Rightarrow \text{Prog}(\mathcal{X}^{P, h_0})$.

Proof:

(a) Assume $C \in \wedge\text{-for} \ \& \ \forall k (\mathbf{J}_C^k h_0 \in \mathcal{X})$ & $h_1 \in \Phi(\mathcal{X}^{C, h_0})$.

To prove: $h_1 \in \mathcal{X}^{C, h_0}$, i.e. $h := \text{Cut}_C h_0 h_1 \in \mathcal{X}$.

Assume $h_1^+ = \mathcal{I}(h_{1\iota})_{\iota \in I}$. Then $\forall \iota \in |\mathcal{I}|_{\mathcal{W}} (h_{1\iota} \in \mathcal{X}^{C, h_0})$ and thus $\forall \iota \in |\mathcal{I}|_{\mathcal{W}} (\text{Cut}_C h_0 h_{1\iota} \in \mathcal{X})$.

1. $\neg C \notin \Delta(\mathcal{I})$: From $h^+ = \mathcal{I}(\text{Cut}_C h_0 h_{1\iota})_{\iota \in I}$ and $\forall \iota \in |\mathcal{I}|_{\mathcal{W}} (\text{Cut}_C h_0 h_{1\iota} \in \mathcal{X})$ we get $h \in \Phi(\mathcal{X}) \subseteq \mathcal{X}$.

2. $\mathcal{I} = \bigvee_{-C}^k$: Then $h^+ = \text{Cut}_C^{\circ[k]} (\mathbf{J}_C^k h_0, \text{Cut}_C h_0 h_{10})$ with $\mathbf{J}_C^k h_0 \in \mathcal{X}$ (by assumption) and $\text{Cut}_C h_0 h_{10} \in \mathcal{X}$ as shown above. Hence $h \in \Phi(\mathcal{X}) \subseteq \mathcal{X}$.

(b) is proved in the same way as (a).

Lemma 7. For each closed ID_ν -derivation h and each \mathcal{X} we have: $\text{Prog}(\mathcal{X}) \Rightarrow h \in \mathcal{X}$.

Proof by induction on the height of h :

Assume $\text{Prog}(\mathcal{X})$.

1. $h = \mathcal{I}h_0 \dots h_{l-1}$ with simple \mathcal{I} : Then $h^+ = \mathcal{I}(h_\iota)_{\iota < l}$ and, by IH, $h_0, \dots, h_{l-1} \in \mathcal{X}$. Hence $h \in \Phi(\mathcal{X}) \subseteq \mathcal{X}$.

2. $h = \bigwedge_{\forall x A}^y \tilde{h}$: Then $h^+ = \bigwedge_{\forall x A} (\tilde{h}(y/i))_{i \in \mathbb{N}}$ and, by IH, $\forall i \in \mathbb{N} (\tilde{h}(y/i) \in \mathcal{X})$, i.e. $h \in \Phi(\mathcal{X}) \subseteq \mathcal{X}$.

3. $h = \text{Cut}_C h_0 h_1$ with $C \in \wedge^+\text{-for}$: By IH we get $h_0 \in \mathcal{X}$.

3.1. $C \in \wedge\text{-for}$: By Lemma 5 we get $\forall k. \text{Prog}(\{d : \mathbf{J}_C^k d \in \mathcal{X}\})$ and then, by IH, $\forall k (h_0 \in \{d : \mathbf{J}_C^k d \in \mathcal{X}\})$, i.e. $\forall k (\mathbf{J}_C^k h_0 \in \mathcal{X})$. From $\text{Prog}(\mathcal{X}) \ \& \ h_0 \in \mathcal{X} \ \& \ \forall k (\mathbf{J}_C^k h_0 \in \mathcal{X})$ by Lemma 6a we conclude $\text{Prog}(\mathcal{X}^{C, h_0})$ and then, by IH, $h_1 \in \mathcal{X}^{C, h_0}$, i.e. $h \in \mathcal{X}$.

3.2. $C = P$:

From $\text{Prog}(\mathcal{X}) \ \& \ h_0 \in \mathcal{X}$ by Lemma 6b we conclude $\text{Prog}(\mathcal{X}^{P, h_0})$ and then, by IH, $h_1 \in \mathcal{X}^{P, h_0}$, i.e. $h \in \mathcal{X}$.

4. $h = \text{Ind}_{\mathcal{F}}^n$: Then $h^+ = \text{Rep}(d_n)$ with $d_0 := \mathbf{d}_{\mathcal{F}(0)}$, $d_{i+1} := \bigvee_{\exists x (\mathcal{F}(x) \wedge \neg \mathcal{F}(Sx))}^i \bigwedge_{\mathcal{F}(i) \wedge \neg \mathcal{F}(Si)} d_i \mathbf{d}_{\mathcal{F}(Si)}$.

Using $\text{Prog}(\mathcal{X})$ one easily shows $d_i \in \mathcal{X}$ by induction on i .

5. $h = \text{Ind}_{\mathcal{F}}^{Pn}$: Then $h^+ = \tilde{\Omega}_P \text{Ax}_{\{\neg P, P\}} (\mathbf{S}_{\mathcal{P}, \mathcal{F}}^{\{P\}} d)_{d \in \mathbf{I}_\sigma}$ with $\sigma := \text{lev}(\mathcal{P})$ and $P := \mathcal{P}n$.

$\text{Prog}(\mathcal{X})$ yields $\text{Ax}_{\{\neg P, P\}} \in \mathcal{X}$, and by Lemma 4 we have $\forall d \in \mathcal{W}_\sigma (\mathbf{S}_{\mathcal{P}, \mathcal{F}}^{\{P\}} d \in \mathcal{X})$. Hence $h \in \Phi(\mathcal{X}) \subseteq \mathcal{X}$.

Now we come to the last part of our proof, which begins with the definition of the jump operation $\mathcal{X} \mapsto \overline{\mathcal{X}}$ mentioned in (4) above.

Preliminary remark.

ID_ν^* -derivations have been introduced as terms in polish (prefix) notation build up from inference symbols each of which as a fixed finite arity. So every ID_ν^* -derivation is finite sequence of inference symbols.

In the following we use \mathbf{a}, \mathbf{a}' as syntactic variables for arbitrary finite sequences of inference symbols – including the empty sequence ε . Concatenation is expressed by juxtaposition. Example: If $\mathbf{a} = \text{Cut}_C h_0 J_D^k \text{Cut}_B h_1$ then $\mathbf{a}h_2$ is the derivation $\text{Cut}_C h_0 h$ with $h := J_D^k \text{Cut}_B h_1 h_2$.

Finitary Inductive Definition of $\mathbf{Q}(\mathcal{X})$

(Q1) $\varepsilon \in \mathbf{Q}(\mathcal{X})$.

(Q2) $\mathbf{a} \in \mathbf{Q}(\mathcal{X}) \ \& \ C \in \wedge\text{-for} \Rightarrow \mathbf{a} J_C^k \in \mathbf{Q}(\mathcal{X})$.

(Q3) $\mathbf{a} \in \mathbf{Q}(\mathcal{X}) \ \& \ C \in \wedge\text{-for} \ \& \ \forall k (\mathbf{a} J_C^k h \in \mathcal{X}) \Rightarrow \mathbf{a} \text{Cut}_C h \in \mathbf{Q}(\mathcal{X})$.

(Q4) $\mathbf{a} \in \mathbf{Q}(\mathcal{X}) \ \& \ \mathbf{a}h \in \mathcal{X} \Rightarrow \mathbf{a} \text{Cut}_P h \in \mathbf{Q}(\mathcal{X})$.

Note that $\mathbf{Q}(\mathcal{X})$ is arithmetical in \mathcal{X} .

Definition. $\overline{\mathcal{X}} := \{h : \forall \mathbf{a} \in \mathbf{Q}(\mathcal{X}) (\mathbf{a}Eh \in \mathcal{X})\}$.

Remark.

(i) $h \in \overline{\mathcal{X}} \Rightarrow Eh \in \mathcal{X}$.

(ii) $h \in \overline{\mathcal{X}} \ \& \ \mathbf{a} \in \mathbf{Q}(\mathcal{X}) \ \& \ C \in \wedge^+\text{-for} \Rightarrow \mathbf{a} \text{Cut}_C Eh \in \mathbf{Q}(\mathcal{X})$.

Lemma 8. Let $\mathbf{a} \in \mathbf{Q}(\mathcal{X})$.

(a) $h^+ = \text{Cut}_A(h_0, h_1) \Rightarrow (\mathbf{a}h)^+ = \text{Cut}_A(\mathbf{a}h_0, \mathbf{a}h_1)$.

(b) $h^+ = \text{Rep}(h_0) \Rightarrow (\mathbf{a}h)^+ = \text{Rep}(\mathbf{a}h_0)$.

Proof by induction on “ $\mathbf{a} \in \mathbf{Q}(\mathcal{X})$ ”.

Lemma 9. $\text{Prog}(\mathcal{X}) \ \& \ \mathbf{a} \in \mathbf{Q}(\mathcal{X}) \ \& \ h^+ = \mathcal{I}(h_\iota)_{\iota \in I} \ \& \ \forall \iota \in |I|_{\mathcal{W}} (\mathbf{a}h_\iota \in \mathcal{X}) \Rightarrow \mathbf{a}h \in \mathcal{X}$.

Proof by induction on “ $\mathbf{a} \in \mathbf{Q}(\mathcal{X})$ ”:

1. $\mathbf{a} = \varepsilon$: In this case the premises immediately yield $h \in \Phi(\mathcal{X}) \subseteq \mathcal{X}$.

2. $\mathbf{a} = \mathbf{a}' J_C^k$ with $\mathbf{a}' \in \mathbf{Q}(\mathcal{X})$:

2.1. $\mathcal{I} = \wedge_C$:

Then $(J_C^k h)^+ = \text{Rep}(J_C^k h_k)$ and $(\mathbf{a}h)^+ = (\mathbf{a}' J_C^k h)^+ \stackrel{\text{L.8b}}{=} \text{Rep}(\mathbf{a}' J_C^k h_k) = \text{Rep}(\mathbf{a}h_k)$.

From $\text{Prog}(\mathcal{X}) \ \& \ (\mathbf{a}h)^+ = \text{Rep}(\mathbf{a}h_k) \ \& \ \mathbf{a}h_k \in \mathcal{X}$ we get $\mathbf{a}h \in \Phi(\mathcal{X}) \subseteq \mathcal{X}$.

2.2. otherwise: Then $(J_C^k h)^+ = \mathcal{I}(J_C^k h_\iota)_{\iota \in I} (*)$.

$\text{Prog}(\mathcal{X}) \ \& \ \mathbf{a}' \in \mathbf{Q}(\mathcal{X}) \ \& \ (*) \ \& \ \forall \iota \in |I|_{\mathcal{W}} (\mathbf{a}' J_C^k h_\iota = \mathbf{a}h_\iota \in \mathcal{X}) \stackrel{\text{IH}}{\Rightarrow} \mathbf{a}h = \mathbf{a}' J_C^k h \in \mathcal{X}$.

3. $\mathbf{a} = \mathbf{a}' \text{Cut}_C h'$ with $\mathbf{a}' \in \mathbf{Q}(\mathcal{X}) \ \& \ C \in \wedge\text{-for} \ \& \ \forall k (\mathbf{a}' J_C^k h' \in \mathcal{X})$:

3.1. $\neg C \notin \Delta(\mathcal{I})$: Then $(\text{Cut}_C h' h)^+ = \mathcal{I}(\text{Cut}_C h' h_\iota)_{\iota \in I} (*)$.

$\text{Prog}(\mathcal{X}) \ \& \ \mathbf{a}' \in \mathbf{Q}(\mathcal{X}) \ \& \ (*) \ \& \ \forall \iota \in |I|_{\mathcal{W}} (\mathbf{a}' \text{Cut}_C h' h_\iota = \mathbf{a}h_\iota \in \mathcal{X}) \stackrel{\text{IH}}{\Rightarrow} \mathbf{a}h = \mathbf{a}' \text{Cut}_C h' h \in \mathcal{X}$.

3.2. $\mathcal{I} = \vee_{-C}^k$: Then $(\text{Cut}_C h' h)^+ = \text{Cut}_{C[k]}^\circ(J_C^k h', \text{Cut}_C h' h_0)$ and

$(\mathbf{a}h)^+ = (\mathbf{a}' \text{Cut}_C h' h)^+ \stackrel{\text{L.8a}}{=} \text{Cut}_{C[k]}^\circ(\mathbf{a}' J_C^k h', \mathbf{a}' \text{Cut}_C h' h_0) = \text{Cut}_{C[k]}^\circ(\mathbf{a}' J_C^k h', \mathbf{a}h_0)$.

Further $\mathbf{a}' J_C^k h' \in \mathcal{X}$ and $\mathbf{a}h_0 \in \mathcal{X}$. Hence $\mathbf{a}h \in \Phi(\mathcal{X}) \subseteq \mathcal{X}$.

4. $\mathbf{a} = \mathbf{a}' \text{Cut}_P h'$ with $\mathbf{a}' \in \mathbf{Q}(\mathcal{X}) \ \& \ \mathbf{a}' h' \in \mathcal{X}$:

4.1. $\neg P \notin \Delta(\mathcal{I})$: As 3.1.

4.2. $\neg P \in \Delta(\mathcal{I})$: Then $(\text{Cut}_P h' h)^+ = \text{Rep}(h')$ and thus $(\mathbf{a}h)^+ = (\mathbf{a}' \text{Cut}_C h' h)^+ \stackrel{\text{L.8b}}{=} \text{Rep}(\mathbf{a}' h')$.

Together with $\mathbf{a}' h' \in \mathcal{X}$ this yields $\mathbf{a}h \in \Phi(\mathcal{X}) \subseteq \mathcal{X}$.

Lemma 10. $\text{Prog}(\mathcal{X}) \Rightarrow \text{Prog}(\overline{\mathcal{X}})$.

Proof:

Assume $\text{Prog}(\mathcal{X}) \ \& \ h \in \Phi(\overline{\mathcal{X}}) \ \& \ \mathbf{a} \in \mathbf{Q}(\mathcal{X})$. To prove $\mathbf{a}Eh \in \mathcal{X}$.

For this it suffices to prove $\mathbf{a}Eh \in \Phi(\mathcal{X})$.

Let $h^+ = \mathcal{I}(h_\iota)_{\iota \in I}$. Then $\forall \iota \in |I|_{\mathcal{W}} (h_\iota \in \overline{\mathcal{X}})$ and thus $\forall \iota \in |I|_{\mathcal{W}} (\mathbf{a}Eh_\iota \in \mathcal{X})$.

1. $\mathcal{I} = \text{Cut}_C$ with $C \in \wedge^+\text{-for}$: Then $(Eh)^+ = \text{Rep}(\text{Cut}_C Eh_0 Eh_1)$ and therefore, by Lemma 8b,

$(\mathbf{a}Eh)^+ = \text{Rep}(\mathbf{a} \text{Cut}_C Eh_0 Eh_1)$. From $h_0, h_1 \in \overline{\mathcal{X}} \ \& \ \mathbf{a} \in \mathbf{Q}(\mathcal{X})$ we get (by Remark (ii))

$\mathbf{a} \text{Cut}_C Eh_0 \in \mathbf{Q}(\mathcal{X}) \ \& \ h_1 \in \overline{\mathcal{X}}$, and then $\mathbf{a} \text{Cut}_C Eh_0 Eh_1 \in \mathcal{X}$. Hence $\mathbf{a}Eh \in \Phi(\mathcal{X}) \subseteq \mathcal{X}$.

2. otherwise: From $(Eh)^+ = \mathcal{I}(Eh_\iota)_{\iota \in I} \ \& \ \forall \iota \in |I|_{\mathcal{W}} (\mathbf{a}Eh_\iota \in \mathcal{X})$ we conclude $\mathbf{a}Eh \in \mathcal{X}$ by Lemma 9.

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