

# Computing with partial continuous functionals

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Proof: 2 aspects

- ▶ provides insight (uniformity)
- ▶ may have **computational content**

For proofs we need: logic + data + inductive definitions

- ▶ Logic: minimal, intro and elim for  $\rightarrow$ ,  $\forall$
- ▶ Proof  $\sim$  lambda-term (Curry-Howard correspondence)
- ▶ Can embed classical and intuitionistic logic

- (a). The model of partial continuous functionals
  - ▶ Information systems
  - ▶ Algebras and types
  - ▶ A common extension  $T^+$  of Gödel's  $T$  and Plotkin's PCF
  - ▶ Denotational semantics
- (b). A theory of computable functionals
  - ▶ Formulas and predicates
  - ▶ A theory of computable functionals
  - ▶ Brouwer - Heyting - Kolmogorov and decorations
  - ▶ The type of a formula or predicate
  - ▶ Realizability
  - ▶ Extracted terms
- (c). Extracting programs from proofs
  - ▶ Computing with infinite data: Gray-coded reals
  - ▶ Ishihara's trick

# Computable functionals

General view: computations are finite.

Arguments not only numbers and functions, but also functionals of any finite type.

- ▶ **Principle of finite support.** If  $\mathcal{H}(\Phi)$  is defined with value  $n$ , then there is a finite approximation  $\Phi_0$  of  $\Phi$  such that  $\mathcal{H}(\Phi_0)$  is defined with value  $n$ .
- ▶ **Monotonicity principle.** If  $\mathcal{H}(\Phi)$  is defined with value  $n$  and  $\Phi'$  extends  $\Phi$ , then also  $\mathcal{H}(\Phi')$  is defined with value  $n$ .
- ▶ **Effectivity principle.** An object is computable iff its set of finite approximations is (primitive) recursively enumerable (or equivalently,  $\Sigma_1^0$ -definable).

- ▶ Information systems
- ▶ Algebras and types
- ▶ A common extension  $T^+$  of Gödel's  $T$  and Plotkin's PCF
- ▶ Denotational semantics

**Information system**  $\mathbf{A} = (A, \text{Con}, \vdash)$ :

- ▶  $A$  countable set of “tokens”,
- ▶  $\text{Con}$  set of finite subsets of  $A$ ,
- ▶  $\vdash$  (“entails”) subset of  $\text{Con} \times A$ .

such that

$$U \subseteq V \in \text{Con} \rightarrow U \in \text{Con},$$

$$\{a\} \in \text{Con},$$

$$U \vdash a \rightarrow U \cup \{a\} \in \text{Con},$$

$$a \in U \in \text{Con} \rightarrow U \vdash a,$$

$$U, V \in \text{Con} \rightarrow \forall a \in V (U \vdash a) \rightarrow V \vdash b \rightarrow U \vdash b.$$

$x \subseteq A$  is an **ideal** if

$$U \subseteq x \rightarrow U \in \text{Con} \quad (x \text{ is consistent}),$$

$$x \supseteq U \vdash a \rightarrow a \in x \quad (x \text{ is deductively closed}).$$

## Function spaces

Let  $\mathbf{A} = (A, \text{Con}_A, \vdash_A)$  and  $\mathbf{B} = (B, \text{Con}_B, \vdash_B)$  be information systems. Define  $\mathbf{A} \rightarrow \mathbf{B} := (C, \text{Con}, \vdash)$  where

▶  $C := \text{Con}_A \times B,$

▶

$$\begin{aligned} \{ (U_i, b_i) \mid i \in I \} \in \text{Con} := \\ \forall J \subseteq I \left( \bigcup_{j \in J} U_j \in \text{Con}_A \rightarrow \{ b_j \mid j \in J \} \in \text{Con}_B \right), \end{aligned}$$

▶  $\{ (U_i, b_i) \mid i \in I \} \vdash (U, b)$  means  $\{ b_i \mid U \vdash_A U_i \} \vdash_B b.$

$\mathbf{A} \rightarrow \mathbf{B}$  is an information system.

## Characterizing ideals in $\mathbf{A} \rightarrow \mathbf{B}$

$\mathbf{A} = (A, \text{Con}_A, \vdash_A)$ ,  $\mathbf{B} = (B, \text{Con}_B, \vdash_B)$  information systems.

Definition ( $r \subseteq \text{Con}_A \times B$  **approximable map**)

- ▶ If  $r(U, b_1), \dots, r(U, b_n)$ , then  $\{b_1, \dots, b_n\} \in \text{Con}_B$ .
- ▶ If  $r(U, b_1), \dots, r(U, b_n)$  and  $\{b_1, \dots, b_n\} \vdash_B b$ , then  $r(U, b)$ .
- ▶ If  $r(U', b)$  and  $U \vdash_A U'$ , then  $r(U, b)$ .

### Theorem

*The ideals in  $\mathbf{A} \rightarrow \mathbf{B}$  are the approximable maps from  $\mathbf{A}$  to  $\mathbf{B}$ .*

**Application** of an ideal  $r$  in  $\mathbf{A} \rightarrow \mathbf{B}$  to an ideal  $x$  in  $\mathbf{A}$  is defined by

$$\{ b \in B \mid \exists U \subseteq x r(U, b) \}.$$



- ▶ Information systems
- ▶ Algebras and types
- ▶ A common extension  $T^+$  of Gödel's  $T$  and Plotkin's PCF
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Concrete information systems, from free algebras.

- ▶ Types will be built from base types  $\iota$  by  $\rho \rightarrow \sigma$ .
- ▶ Information systems for base types are built from **non-flat** free algebras, given by their constructors (reason: want constructors to be injective and with disjoint ranges).

Inductively define **type forms**:

$$\rho, \sigma ::= \alpha \mid \rho \rightarrow \sigma \mid \mu_{\xi}((\rho_{i\nu})_{\nu < n_i} \rightarrow \xi)_{i < k}$$

with  $\alpha, \xi$  type variables and  $k \geq 1$  (since we want our algebras to be inhabited).  $(\rho_{\nu})_{\nu < n} \rightarrow \sigma$  means  $\rho_0 \rightarrow \dots \rightarrow \rho_{n-1} \rightarrow \sigma$ .

## Strict positivity

We define  $\alpha$  occurs at most strictly positive in  $\rho$ , by induction on  $\rho$ .

$$\text{SP}(\alpha, \beta) \quad \frac{\alpha \notin \text{FV}(\rho) \quad \text{SP}(\alpha, \sigma)}{\text{SP}(\alpha, \rho \rightarrow \sigma)}$$
$$\frac{\text{SP}(\alpha, \rho_{i\nu}) \text{ for all } i < k, \nu < n_i}{\text{SP}(\alpha, \mu_\xi((\rho_{i\nu})_{\nu < n_i} \rightarrow \xi)_{i < k})}$$

We define  $\text{Ty}(\rho)$  “ $\rho$  is a **type**”, again by induction on  $\rho$ .

$$\text{Ty}(\alpha) \quad \frac{\text{Ty}(\rho) \quad \text{Ty}(\sigma)}{\text{Ty}(\rho \rightarrow \sigma)}$$

$$\frac{\text{Ty}(\rho_{i\nu}) \text{ and SP}(\xi, \rho_{i\nu}) \text{ for all } i < k, \nu < n_i}{\text{Ty}(\mu_\xi((\rho_{i\nu})_{\nu < n_i} \rightarrow \xi)_{i < k})}$$

We call

$$\iota := \mu_{\xi}((\rho_{i\nu})_{\nu < n_i} \rightarrow \xi)_{i < k}$$

an **algebra**.

Let  $(\rho_{\nu}(\xi))_{\nu < n} \rightarrow \xi$  be the  $i$ -th component of  $\iota$ . Call

$$(\rho_{\nu}(\iota))_{\nu < n} \rightarrow \iota$$

the  $i$ -th **constructor type** of  $\iota$ .

Examples of algebras:

$$\mathbf{U} := \mu_{\xi} \xi \quad (\text{unit}),$$

$$\mathbf{B} := \mu_{\xi}(\xi, \xi) \quad (\text{booleans}),$$

$$\mathbf{N} := \mu_{\xi}(\xi, \xi \rightarrow \xi) \quad (\text{natural numbers, unary}),$$

$$\mathbf{D} := \mu_{\xi}(\xi, \xi \rightarrow \xi \rightarrow \xi) \quad (\text{binary trees, or derivations}),$$

Examples of algebras strictly positive in their type parameters:

$$\mathbf{L}(\alpha) := \mu_{\xi}(\xi, \alpha \rightarrow \xi \rightarrow \xi) \quad (\text{lists}),$$

$$\alpha \times \beta := \mu_{\xi}(\alpha \rightarrow \beta \rightarrow \xi) \quad (\text{product}),$$

$$\alpha + \beta := \mu_{\xi}(\alpha \rightarrow \xi, \beta \rightarrow \xi) \quad (\text{sum}).$$

Example of a **nested** algebra:

$$\mathbf{T} := \mu_{\xi}(\mathbf{L}(\xi) \rightarrow \xi) \quad (\text{finitely branching trees}).$$

Note that  $\mathbf{T}$  has a total inhabitant since  $\mathbf{L}(\alpha)$  has one (Nil).

Standard names for constructors:

$\text{tt}^{\mathbf{B}}, \text{ff}^{\mathbf{B}}$

$0^{\mathbf{N}}, S^{\mathbf{N} \rightarrow \mathbf{N}}$

$0^{\mathbf{D}}, C^{\mathbf{D} \rightarrow \mathbf{D} \rightarrow \mathbf{D}}$  for the type  $\mathbf{D}$  of binary trees,

$\text{Nil}^{\mathbf{L}(\rho)}, \text{Cons}^{\rho \rightarrow \mathbf{L}(\rho) \rightarrow \mathbf{L}(\rho)}$  for the type  $\mathbf{L}(\rho)$  of lists,

$(\text{Inl}_{\rho\sigma})^{\rho \rightarrow \rho + \sigma}, (\text{Inr}_{\rho\sigma})^{\sigma \rightarrow \rho + \sigma}$  for the sum type  $\rho + \sigma$ ,

$\text{Branch}: \mathbf{L}(\mathbf{T}) \rightarrow \mathbf{T}$  for the type  $\mathbf{T}$  of finitely branching trees.

## Information systems $\mathbf{C}_\rho = (\mathbf{C}_\rho, \text{Con}_\rho, \vdash_\rho)$

$\mathbf{C}_{\rho \rightarrow \sigma} := \mathbf{C}_\rho \rightarrow \mathbf{C}_\sigma$ . At base types  $\iota$ :

**Tokens** are type correct constructor expressions  $\mathbf{C}a_1^* \dots a_n^*$ .  
(Examples:  $0$ ,  $\mathbf{C}^*0$ ,  $\mathbf{C}0^*$ ,  $\mathbf{C}(\mathbf{C}^*0)0$ .)

$U = \{a_1, \dots, a_n\}$  is **consistent** if

- ▶ all  $a_i$  start with the same constructor,
- ▶ (proper) tokens at  $j$ -th argument positions are consistent.

(Example:  $\{\mathbf{C}^*0, \mathbf{C}0^*\}$ .)

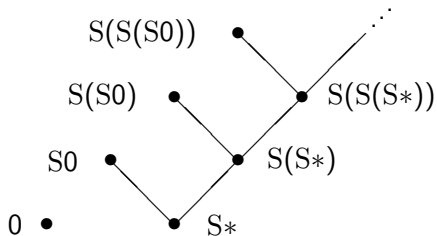
$U \vdash a$  (**entails**) if

- ▶ all  $a_i \in U$  and also  $a$  start with the same constructor,
- ▶ (proper) tokens at  $j$ -th argument positions of  $a_i$  entail  $j$ -th argument of  $a$ .

(Example:  $\{\mathbf{C}^*0, \mathbf{C}0^*\} \vdash \mathbf{C}00$ .)



# Tokens and entailment for **N**



$\{a\} \vdash b$  iff there is a path from  $a$  (up) to  $b$  (down).

## Why non-flat domains?

$$r_C(\vec{x}) := \{ C\vec{a}^* \mid \exists \vec{U} \subseteq \vec{x} (\vec{U} \vdash \vec{a}^*) \}.$$

### Lemma

- (a)  $r_C(\vec{x}) \subseteq r_C(\vec{y}) \leftrightarrow \vec{x} \subseteq \vec{y}$ . Hence  $r_C$  is injective.
- (b)  $r_{C_1}(\vec{x}) \neq r_{C_2}(\vec{y})$ , since the two ideals are non-empty and disjoint. Hence distinct constructors have disjoint ranges.

Neither property holds for flat information systems, since for them, by monotonicity, constructors are **strict** (i.e., if one argument is the empty ideal, then the value is as well). But then

$$\begin{aligned} r_C(\emptyset, y) &= \emptyset = r_C(x, \emptyset), \\ r_{C_1}(\emptyset) &= \emptyset = r_{C_2}(\emptyset). \end{aligned}$$

## Definition

- ▶ A **partial continuous functional** of type  $\rho$  is an ideal in  $\mathbf{C}_\rho$ .
- ▶ A partial continuous functional is **computable** if it is a (primitive) recursively enumerable set of tokens.

Ideals in  $\mathbf{C}_\rho$ : Scott-Ershov domain of type  $\rho$ .

Principles of finite support and monotonicity hold (“continuity”).

### Note.

- ▶ The set of all ideals of  $\mathbf{A}$  is denoted by  $|\mathbf{A}|$ .
- ▶ Define  $\mathcal{O}_U \subseteq |\mathbf{A}|$  by  $\mathcal{O}_U := \{x \in |\mathbf{A}| \mid U \subseteq x\}$ .
- ▶ The system of all  $\mathcal{O}_U$  with  $U \in \text{Con}$  forms the basis of a topology on  $|\mathbf{A}|$ , called the **Scott topology**.

## Definition (Totality)

- ▶  $x^\iota$  is total if it is generated from a total token (no  $*$ 's).
- ▶  $f^{\rho \rightarrow \sigma}$  is total if it maps total arguments to total values.

## Definition (Cototality)

- ▶  $x^\iota$  is cototal if every token (i.e., constructor tree)  $P(*) \in x$  has a “one-step extension”  $P(C\vec{*}) \in x$ .
- ▶  $f^{\rho \rightarrow \sigma}$  is cototal if it maps cototal arguments to cototal values.

Similar: finite or infinite “locally correct” derivations [Mints 78].

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## Computable functionals

Recall: a partial continuous functional  $f^\rho$  is **computable** if it is a (primitive) recursively enumerable set of tokens.

How to define computable functionals? By **computation rules**

$$D\vec{P}_i(\vec{y}_i) = M_i \quad (i = 1, \dots, n)$$

with free variables of  $\vec{P}_i(\vec{y}_i)$  and  $M_i$  among  $\vec{y}_i$ , where  $\vec{P}_i(\vec{y}_i)$  are “constructor patterns”.

## Structural recursion operators

Important example for such  $D$  [Hilbert 1925, Gödel 1958]. The type of the recursion operator  $\mathcal{R}_\iota^\tau$  for  $\iota = \mu_\xi((\rho_{i\nu}(\xi))_{\nu < n_i} \rightarrow \xi)_{i < k}$  with result type  $\tau$  is

$$\iota \rightarrow ((\rho_{i\nu}(\iota \times \tau))_{\nu < n_i} \rightarrow \tau)_{i < k} \rightarrow \tau.$$

- ▶  $\iota$  is the type of the recursion argument.
- ▶ Each  $(\rho_{i\nu}(\iota \times \tau))_{\nu < n_i} \rightarrow \tau$  is called a **step type**.
- ▶ Usage of  $\iota \times \tau$  (not  $\tau$ ) in the step types is a **strengthening**: more data are available to construct the value of type  $\tau$ .
- ▶ We avoid the product type in  $\vec{\sigma} \rightarrow \iota \times \tau$  and take the two argument types  $\vec{\sigma} \rightarrow \iota$  and  $\vec{\sigma} \rightarrow \tau$  instead.

## Examples

$$\mathcal{R}_{\mathbf{B}}^{\tau}: \mathbf{B} \rightarrow \tau \rightarrow \tau \rightarrow \tau,$$

$$\mathcal{R}_{\mathbf{N}}^{\tau}: \mathbf{N} \rightarrow \tau \rightarrow (\mathbf{N} \rightarrow \tau \rightarrow \tau) \rightarrow \tau,$$

$$\mathcal{R}_{\mathbf{D}}^{\tau}: \mathbf{D} \rightarrow \tau \rightarrow (\mathbf{D} \rightarrow \tau \rightarrow \mathbf{D} \rightarrow \tau \rightarrow \tau) \rightarrow \tau,$$

$$\mathcal{R}_{\mathbf{L}(\rho)}^{\tau}: \mathbf{L}(\rho) \rightarrow \tau \rightarrow (\rho \rightarrow \mathbf{L}(\rho) \rightarrow \tau \rightarrow \tau) \rightarrow \tau,$$

$$\mathcal{R}_{\rho+\sigma}^{\tau}: \rho + \sigma \rightarrow (\rho \rightarrow \tau) \rightarrow (\sigma \rightarrow \tau) \rightarrow \tau,$$

$$\mathcal{R}_{\rho \times \sigma}^{\tau}: \rho \times \sigma \rightarrow (\rho \rightarrow \sigma \rightarrow \tau) \rightarrow \tau,$$

$$\mathcal{R}_{\mathbf{T}}^{\tau}: \mathbf{T} \rightarrow (\mathbf{L}(\mathbf{T} \times \tau) \rightarrow \tau) \rightarrow \tau.$$



# Map operators

Let  $\rho(\vec{\alpha})$  be a type and  $\vec{\alpha}$  strictly positive type parameters. We define the **map operator**

$$\mathcal{M}_{\lambda_{\vec{\alpha}}\rho(\vec{\alpha})}^{\vec{\sigma}\rightarrow\vec{\tau}}: \rho(\vec{\sigma}) \rightarrow (\vec{\sigma} \rightarrow \vec{\tau}) \rightarrow \rho(\vec{\tau})$$

where  $(\vec{\sigma} \rightarrow \vec{\tau}) \rightarrow \rho := (\sigma_1 \rightarrow \tau_1) \rightarrow \dots \rightarrow (\sigma_n \rightarrow \tau_n) \rightarrow \rho$ .

- ▶ If none of  $\vec{\alpha}$  appears free in  $\rho(\vec{\alpha})$  let

$$\mathcal{M}_{\lambda_{\vec{\alpha}}\rho(\vec{\alpha})}^{\vec{\sigma}\rightarrow\vec{\tau}}x\vec{f} = x.$$

- ▶ Otherwise we use an outer recursion on  $\rho(\vec{\alpha})$  and if  $\rho(\vec{\alpha})$  is  $\iota(\vec{\alpha})$  an inner one on  $x$ .
- ▶ If  $\rho(\vec{\alpha})$  is  $\iota(\vec{\alpha})$  abbreviate  $\mathcal{M}_{\lambda_{\vec{\alpha}}\iota(\vec{\alpha})}^{\vec{\sigma}\rightarrow\vec{\tau}}$  by  $\mathcal{M}_{\iota}^{\vec{\sigma}\rightarrow\vec{\tau}}$  or  $\mathcal{M}_{\iota(\vec{\sigma})}^{\vec{\tau}}$ .

Immediate cases for the outer recursion:

$$\mathcal{M}_{\lambda_{\vec{\alpha}}\alpha_i}^{\vec{\sigma}\rightarrow\vec{\tau}}x\vec{f} = f_i x, \quad \mathcal{M}_{\lambda_{\vec{\alpha}}(\sigma\rightarrow\rho)}^{\vec{\sigma}\rightarrow\vec{\tau}}h\vec{f}x = \mathcal{M}_{\lambda_{\vec{\alpha}}\rho}^{\vec{\sigma}\rightarrow\vec{\tau}}(hx)\vec{f}.$$

It remains to consider  $\iota(\vec{\pi}(\vec{\alpha}))$ .

- ▶ In case  $\vec{\pi}(\vec{\alpha})$  is not  $\vec{\alpha}$  let

$$\mathcal{M}_{\lambda_{\vec{\alpha}}\iota(\vec{\pi}(\vec{\alpha}))}^{\vec{\sigma}\rightarrow\vec{\tau}}x\vec{f} = \mathcal{M}_{\iota}^{\vec{\pi}(\vec{\sigma})\rightarrow\vec{\pi}(\vec{\tau})}x(\mathcal{M}_{\lambda_{\vec{\alpha}}\pi_i(\vec{\alpha})}^{\vec{\sigma}\rightarrow\vec{\tau}} \cdot \vec{f})_{i < |\vec{\pi}|}$$

with  $\mathcal{M}_{\lambda_{\vec{\alpha}}\pi_i(\vec{\alpha})}^{\vec{\sigma}\rightarrow\vec{\tau}} \cdot \vec{f} = \lambda_x \mathcal{M}_{\lambda_{\vec{\alpha}}\pi_i(\vec{\alpha})}^{\vec{\sigma}\rightarrow\vec{\tau}}x\vec{f}$ .

- ▶ In case  $\vec{\pi}(\vec{\alpha})$  is  $\vec{\alpha}$  we use recursion on  $x$  and define for a constructor  $C_i: (\rho_\nu(\vec{\sigma}, \iota(\vec{\sigma})))_{\nu < n} \rightarrow \iota(\vec{\sigma})$

$$\mathcal{M}_{\iota}^{\vec{\sigma}\rightarrow\vec{\tau}}(C_i\vec{x})\vec{f}$$

to be the result of applying  $C'_i$  of type

$(\rho_\nu(\vec{\tau}, \iota(\vec{\tau})))_{\nu < n} \rightarrow \iota(\vec{\tau})$  (the same constructor as  $C_i$  with only the type changed) to, for each  $\nu < n$ ,

$$\mathcal{M}_{\lambda_{\vec{\alpha}, \beta}^{\vec{\sigma}, \iota(\vec{\sigma})\rightarrow\vec{\tau}, \iota(\vec{\tau})}}x_\nu\vec{f}(\mathcal{M}_{\iota}^{\vec{\sigma}\rightarrow\vec{\tau}} \cdot \vec{f}).$$

The final function argument provides the recursive call w.r.t. the recursion on  $x$ .

Example:  $\mathcal{M}_{\mathbf{L}(\sigma)}^\tau : \mathbf{L}(\sigma) \rightarrow (\sigma \rightarrow \tau) \rightarrow \mathbf{L}(\tau)$  is defined by

$$\mathcal{M}_{\mathbf{L}(\sigma)}^\tau \text{Nil } f^{\sigma \rightarrow \tau} = \text{Nil},$$

$$\mathcal{M}_{\mathbf{L}(\sigma)}^\tau (x^\sigma :: \mathbf{L}(\sigma)) f^{\sigma \rightarrow \tau} = (fx) :: (\mathcal{M} / f).$$

## Definition

Terms of **Gödel's T** (for nested algebras) are generated from typed variables  $x^\rho$  and constants for

- ▶ constructors  $C_i^\iota$ ,
- ▶ recursion operators  $\mathcal{R}_\iota^\tau$  and
- ▶ map operators  $\mathcal{M}_{\lambda_{\vec{\alpha}}\pi}^{\vec{\rho} \rightarrow \vec{\tau}}$

by abstraction  $\lambda_{x^\rho} M^\sigma$  and application  $M^{\rho \rightarrow \sigma} N^\rho$ .

Computation rules for  $\mathcal{R}_\iota^\tau$ :

$$\mathcal{R}_\iota^\tau(C_i^\iota \vec{N}) \vec{M} = M_i(\mathcal{M}_{\lambda_\alpha \rho_\nu(\alpha)}^{\iota \rightarrow \iota \times \tau} N_\nu \lambda_x \langle x^\iota, \mathcal{R}_\iota^\tau x \vec{M} \rangle)_{\nu < n}$$

where  $(\rho_\nu(\iota))_{\nu < n} \rightarrow \iota$  is the type of the  $i$ -th constructor  $C_i$ .

In the special case  $\rho_\nu(\alpha) = \alpha$  we can avoid the product type and instead of the pair

$$\mathcal{M}_{\lambda_\alpha \alpha}^{\iota \rightarrow \iota \times \tau} N_\nu \lambda_x \langle x^\iota, \mathcal{R}_\iota^\tau x \vec{M} \rangle \quad \text{i.e.,} \quad \langle N_\nu^\iota, \mathcal{R}_\iota^\tau N_\nu \vec{M} \rangle$$

take its components  $N_\nu^\iota$  and  $\mathcal{R}_\iota^\tau N_\nu \vec{M}$  as separate arguments of  $M_i$ .

## Examples

- ▶  $\mathcal{R}_{\mathbf{N}}^{\tau}: \mathbf{N} \rightarrow \tau \rightarrow (\mathbf{N} \rightarrow \tau \rightarrow \tau) \rightarrow \tau$  defined by

$$\mathcal{R}_{\mathbf{N}}^{\tau} 0 x f = x,$$

$$\mathcal{R}_{\mathbf{N}}^{\tau} (S n) x f = f x (\mathcal{R}_{\mathbf{N}}^{\tau} n x f).$$

- ▶  $\mathcal{R}_{\mathbf{T}}^{\tau}: \mathbf{T} \rightarrow (\mathbf{L}(\mathbf{T} \times \tau) \rightarrow \tau) \rightarrow \tau$  defined by

$$\mathcal{R}_{\mathbf{T}}^{\tau} (\text{Branch } \mathfrak{a}) f^{\mathbf{L}(\mathbf{T} \times \tau) \rightarrow \tau} = f(\mathcal{M}_{\mathbf{L}(\mathbf{T})}^{\mathbf{T} \times \tau} \mathfrak{a} \lambda_a \langle a^{\mathbf{T}}, \mathcal{R}_{\mathbf{T}}^{\tau} a f \rangle).$$

## A common extension $T^+$ of Gödel's $T$ and Plotkin's PCF

**Terms** of  $T^+$  are built from (typed) variables and (typed) constants (constructors  $C$  or defined constants  $D$ , see below) by (type-correct) application and abstraction:

$$M, N ::= x^\rho \mid C^\rho \mid D^\rho \mid (\lambda_{x^\rho} M^\sigma)^{\rho \rightarrow \sigma} \mid (M^{\rho \rightarrow \sigma} N^\rho)^\sigma.$$

Every defined constant  $D$  comes with a system of **computation rules**, consisting of finitely many equations

$$D\vec{P}_i(\vec{y}_i) = M_i \quad (i = 1, \dots, n)$$

with free variables of  $\vec{P}_i(\vec{y}_i)$  and  $M_i$  among  $\vec{y}_i$ , where the arguments on the left hand side must be “constructor patterns”, i.e., lists of applicative terms built from constructors and distinct variables.

## Examples

- ▶  $+$ :  $\mathbf{N} \rightarrow \mathbf{N} \rightarrow \mathbf{N}$  defined by

$$n + 0 = n$$

$$n + Sm = S(n + m)$$

- ▶  $Y$ :  $(\tau \rightarrow \tau) \rightarrow \tau$  defined by

$$Yf = f(Yf)$$

- ▶  $=_{\mathbf{N}}$ :  $\mathbf{N} \rightarrow \mathbf{N} \rightarrow \mathbf{B}$

$$(0 =_{\mathbf{N}} 0) = \mathbf{tt}, \quad (Sm =_{\mathbf{N}} 0) = \mathbf{ff},$$

$$(0 =_{\mathbf{N}} Sn) = \mathbf{ff}, \quad (Sm =_{\mathbf{N}} Sn) = (m =_{\mathbf{N}} n).$$

## Corecursion

The rules for  $\mathcal{R}$  work from the leaves towards the root, and terminate because total ideals are well-founded.

For cotal ideals a similar operator defines functions with cotal ideals as values: **corecursion**. Consider  $\iota = \mu_{\xi}(\kappa_0, \dots, \kappa_{k-1})$ .

constructor type:

$$\sum_{i < k} \prod_{\nu < n_i} \rho_{i\nu}(\iota) \rightarrow \iota$$

destructor type:

$$\iota \rightarrow \sum_{i < k} \prod_{\nu < n_i} \rho_{i\nu}(\iota)$$

type of recursion operator:

$$\iota \rightarrow \left( \sum_{i < k} \prod_{\nu < n_i} \rho_{i\nu}(\iota \times \tau) \rightarrow \tau \right) \rightarrow \tau$$

type of corecursion operator:

$$\tau \rightarrow \left( \tau \rightarrow \sum_{i < k} \prod_{\nu < n_i} \rho_{i\nu}(\iota + \tau) \right) \rightarrow \iota$$



## Examples

$${}^{\text{co}}\mathcal{R}_{\mathbf{B}}^{\tau}: \tau \rightarrow (\tau \rightarrow \mathbf{U} + \mathbf{U}) \rightarrow \mathbf{B},$$

$${}^{\text{co}}\mathcal{R}_{\mathbf{N}}^{\tau}: \tau \rightarrow (\tau \rightarrow \mathbf{U} + (\mathbf{N} + \tau)) \rightarrow \mathbf{N},$$

$${}^{\text{co}}\mathcal{R}_{\mathbf{D}}^{\tau}: \tau \rightarrow (\tau \rightarrow \mathbf{U} + (\mathbf{D} + \tau) \times (\mathbf{D} + \tau)) \rightarrow \mathbf{D},$$

$${}^{\text{co}}\mathcal{R}_{\mathbf{L}(\rho)}^{\tau}: \tau \rightarrow (\tau \rightarrow \mathbf{U} + \rho \times (\mathbf{L}(\rho) + \tau)) \rightarrow \mathbf{L}(\rho).$$

For  $f: \rho \rightarrow \tau$ ,  $g: \sigma \rightarrow \tau$  define  $[f, g]^{\rho+\sigma \rightarrow \tau} := \lambda_x(\mathcal{R}_{\rho+\sigma}^{\tau} xfg)$ . Let  $x_1, x_2$  denote the two projections of  $x$  of type  $\rho \times \sigma$ .

$${}^{\text{co}}\mathcal{R}_{\mathbf{B}}^{\tau} NM = [\lambda_{\text{tt}}, \lambda_{\text{ff}}](MN),$$

$${}^{\text{co}}\mathcal{R}_{\mathbf{N}}^{\tau} NM = [\lambda_{\text{0}}, \lambda_x(S([\text{id}^{\mathbf{N} \rightarrow \mathbf{N}}, \lambda_y({}^{\text{co}}\mathcal{R}_{\mathbf{N}}^{\tau} yM)]x))](MN),$$

$${}^{\text{co}}\mathcal{R}_{\mathbf{D}}^{\tau} NM = [\lambda_{\text{0}}, \lambda_x(C([\text{id}, P_{\mathbf{D}}]x_1)([\text{id}, P_{\mathbf{D}}]x_2))](MN),$$

$${}^{\text{co}}\mathcal{R}_{\mathbf{L}(\rho)}^{\tau} NM = [\lambda_{\text{Nil}}, \lambda_x(x_1 :: [\text{id}, \lambda_y({}^{\text{co}}\mathcal{R}_{\mathbf{L}(\rho)}^{\tau} yM)]x_2)](MN).$$

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How to use computation rules to define a computable functional?  
 Inductively define  $(\vec{U}, a) \in \llbracket \lambda_{\vec{x}} M \rrbracket$ , where  $M$  is a term with free variables among  $\vec{x}$ .

**Case**  $\lambda_{\vec{x}, y, \vec{z}} M$  with  $\vec{x}$  free in  $M$ , but not  $y$ .

$$\frac{(\vec{U}, \vec{W}, a) \in \llbracket \lambda_{\vec{x}, \vec{z}} M \rrbracket}{(\vec{U}, V, \vec{W}, a) \in \llbracket \lambda_{\vec{x}, y, \vec{z}} M \rrbracket} (K).$$

**Case**  $\lambda_{\vec{x}} M$  with  $\vec{x}$  the free variables in  $M$ .

$$\frac{U \vdash a}{(U, a) \in \llbracket \lambda_{\vec{x}} M \rrbracket} (V), \quad \frac{(\vec{U}, V, a) \in \llbracket \lambda_{\vec{x}} M \rrbracket \quad (\vec{U}, V) \subseteq \llbracket \lambda_{\vec{x}} M \rrbracket}{(\vec{U}, a) \in \llbracket \lambda_{\vec{x}} (MN) \rrbracket} (A).$$

For every constructor  $C$  and defined constant  $D$ :

$$\frac{\vec{U} \vdash \vec{a}^*}{(\vec{U}, C\vec{a}^*) \in \llbracket C \rrbracket} (C), \quad \frac{(\vec{V}, a) \in \llbracket \lambda_{\vec{x}} M \rrbracket \quad \vec{U} \vdash \vec{P}(\vec{V})}{(\vec{U}, a) \in \llbracket D \rrbracket} (D),$$

with one rule  $(D)$  for every defining equation  $D\vec{P}(\vec{x}) = M$ .

## Properties of the denotational semantics

- ▶  $\llbracket \lambda_{\bar{x}} M \rrbracket$  is a partial continuous functional.
- ▶ The value is preserved under standard  $\beta, \eta$ -conversion and the computation rules.
- ▶ An adequacy theorem (Plotkin) holds: whenever a closed term  $M^t$  has a proper token in its denotation  $\llbracket M \rrbracket$ , then  $M$  (head) reduces to a constructor term entailing this token.