

Section 9.3 The fundamental group of the circle ^(higher)

The path-space $\Omega(\text{base}) \equiv \text{base} \underset{s_1}{=} \text{base}$

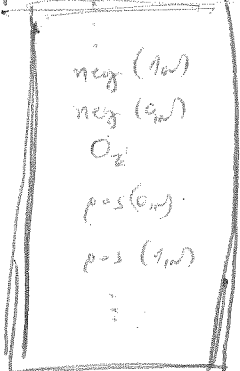
$\Omega(S^1, \text{base})$

Definition 9.2.1

The integers are a ^(inductive) special type (in HOTT book an \mathbb{Z} quotient of \mathbb{N} , see in E. Rijke's Martin's Theorem p. 23) we avoid the theory of quotients here!

• Fam $_{\mathbb{Z}}$ $\mathbb{Z} = \mathbb{U}$

• Inp $_{\mathbb{Z}}$ $\frac{0 : \mathbb{Z}}{\mathbb{Z}}$ $\frac{n : \mathbb{N}}{\text{pos}(n) : \mathbb{Z}}$ $\frac{n : \mathbb{N}}{\text{neg}(n) : \mathbb{Z}}$



• Rec $_{\mathbb{Z}}$: All st. $a_0 : A$ $p : \mathbb{N} \rightarrow A$ $v : \mathbb{N} \rightarrow A$

There is $f : \mathbb{Z} \rightarrow A$ st. $f(0_{\mathbb{Z}}) \equiv a_0$

• $f(\text{pos}(n)) \equiv p(n)$ $n : \mathbb{N}$
 • $f(\text{neg}(n)) \equiv v(n)$ $n : \mathbb{N}$

• Ind $_{\mathbb{Z}}$: $P : \mathbb{Z} \rightarrow \mathbb{U}$

$a_0 : P(0_{\mathbb{Z}})$

$G : \prod_{n : \mathbb{N}} P(\text{pos}(n))$, $H : \prod_{n : \mathbb{N}} P(\text{neg}(n))$

There is $F : \prod_{x : \mathbb{Z}} P(x)$ st. $F(0_{\mathbb{Z}}) \equiv a_0$

$F(\text{pos}(n)) \equiv G(n)$, $n : \mathbb{N}$

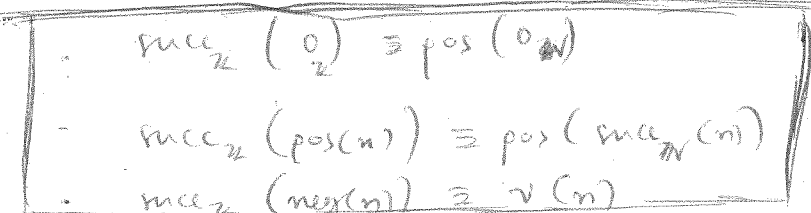
$F(\text{neg}(n)) \equiv H(n)$, $n : \mathbb{N}$

• Ind $_{\mathbb{Z}} \Rightarrow$ Rec $_{\mathbb{Z}}$: $P : \mathbb{Z} \rightarrow A$, $P \equiv \bar{A}_{\mathbb{Z}}$, then $a_0 : A$, $G : \mathbb{N} \rightarrow A$, $H : \mathbb{N} \rightarrow A$

$F(\text{pos}(n)) \equiv G(n)$, $F(\text{neg}(n)) \equiv H(n)$

Proposition 9.3.2 The function $\text{succ}_{\mathbb{Z}} : \mathbb{Z} \rightarrow \mathbb{Z}$ defined by ~~the following~~

~~the following~~



where $\nu: \mathbb{N} \rightarrow \mathbb{Z}$ is defined by $\text{Rec}_{\mathbb{N}}$:

$$\nu(0) \equiv 0_{\mathbb{Z}}$$

$$\nu(\text{succ}_{\mathbb{N}}(n)) \equiv \text{neg}(n)$$

is well-defined and an equivalence.

Proof: We use $\text{Rec}_{\mathbb{Z}}: 0_{\mathbb{Z}} = \mathbb{Z}$, $\rho: \mathbb{N} \rightarrow \mathbb{Z}$, $\nu: \mathbb{N} \rightarrow \mathbb{Z}$

where $\rho \equiv \text{pos} \circ \text{succ}_{\mathbb{N}} = \mathbb{N} \rightarrow \mathbb{Z}$

$$\text{succ}_{\mathbb{Z}}(\text{pos}(n)) \equiv \rho(n) \equiv \text{pos}(\text{succ}_{\mathbb{N}}(n))$$

Let $\text{pred}_{\mathbb{Z}}: \mathbb{Z} \rightarrow \mathbb{N}$ defined similarly ($\text{pos} \supseteq \text{neg}$).

$$\text{pred}_{\mathbb{Z}}(0_{\mathbb{Z}}) \equiv \text{neg}(0_{\mathbb{N}})$$

$$\text{pred}_{\mathbb{Z}}(\text{neg}(n)) \equiv \text{neg}(\text{succ}_{\mathbb{N}}(n))$$

$$\text{pred}_{\mathbb{Z}}(\text{pos}(n)) \equiv \rho(n)$$

$$\text{pred}_{\mathbb{Z}}(\text{pos}(0)) \equiv 0_{\mathbb{Z}}$$

$$\text{pred}_{\mathbb{Z}}(\text{pos}(\text{succ}_{\mathbb{N}}(n))) \equiv \text{pos}(n)$$

and $\rho(0) \equiv 0_{\mathbb{Z}}$

$$\rho(\text{succ}_{\mathbb{N}}(n)) \equiv \text{pos}(n)$$

Clearly: $\prod_{x \in \mathbb{Z}} \text{succ}_{\mathbb{Z}}(\text{pred}_{\mathbb{Z}}(x)) = x$ and $\prod_{x \in \mathbb{Z}} \text{pred}_{\mathbb{Z}}(\text{succ}_{\mathbb{Z}}(x)) = x$

By $\text{Ind}_{\mathbb{Z}}$ we need $G(n) = (\text{succ}_{\mathbb{Z}}(\text{pred}_{\mathbb{Z}}(\text{pos}(n))) = \text{pos}(n) \wedge \text{succ}_{\mathbb{Z}}(\rho(n)) = \text{pos}(n))$

$\text{Ind}_{\mathbb{N}}$ $n=0$: $\text{succ}_{\mathbb{Z}}(0) \equiv \text{pos}(0_{\mathbb{N}})$ ✓

$\text{Ind}_{\mathbb{N}}$ $\rho(\text{succ}_{\mathbb{N}}(n)) = \text{pos}(n)$

which is the recursive definition... $\text{succ}_{\mathbb{Z}}(\rho(\text{succ}_{\mathbb{N}}(n))) \equiv \text{succ}_{\mathbb{Z}}(\text{pos}(n)) \equiv \text{pos}(\text{succ}_{\mathbb{N}}(n))$ □

Proposition 4.3.3 $U(\mathbb{Z}) \cong \prod_{x \neq 2} \prod_{p \neq x} (p=9)$ (to be done in Munich) rebebrae

(like IV) (Just mention it.)

Schulman/Picota: 2013

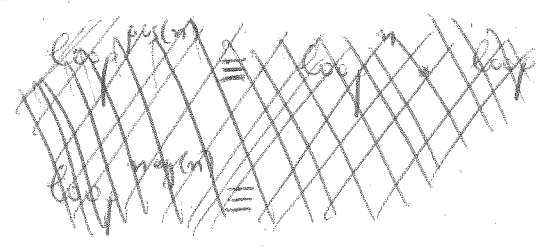
Remark 4.3.4

loop $\circlearrowleft \mathbb{Z} \rightarrow (\text{base} \equiv_{\mathbb{Z}} \text{base})$ is defined by $\text{pec}_{\mathbb{Z}}$

(in Kijte it is named wind)

$$\text{loop}^x = \text{base} \equiv_{\mathbb{Z}} \text{base}, \quad x \in \mathbb{Z}$$

$$[\text{loop}^{\circlearrowleft \mathbb{Z}} \equiv \text{refl}_{\text{base}} : \Omega(\text{base})]$$



$$\begin{aligned} \text{loop}^{\text{pos}(0)} &\equiv \text{loop} \\ \text{loop}^{\text{pos}(\text{succ}_{\mathbb{Z}}(m))} &\equiv \text{loop}^{\text{pos}(m)} * \text{loop} \\ &\equiv \text{loop} * \dots * \text{loop} \\ \text{loop}^{\text{neg}(0)} &\equiv \text{loop}^{-1} \\ \text{loop}^{\text{neg}(\text{succ}_{\mathbb{Z}}(m))} &\equiv \text{loop}^{\text{neg}(m)} * \text{loop}^{-1} \\ &\equiv \text{loop}^{-1} * \dots * \text{loop}^{-1} \end{aligned}$$

(succ(m)-times)

We'd like to know, as it is expected by the ^{infinite} Universality Principle for paths for the S^1 -torus there are all paths - loops at base. From this one can infer (using more data about the fundamental group of $S^1 \cong \mathbb{Z}$).

Remark/definition 4.3.5 By $\text{Reg}_{(S^1)}$ there is a function

$$\begin{aligned} \text{code}' : (S^1)' &\rightarrow U \\ \text{code}'(\text{base}') &\equiv \mathbb{Z} \\ \text{code}'(\text{loop}') &= u \alpha(\text{succ}_{\mathbb{Z}}) \end{aligned}$$

$$U = U', \quad \mathbb{Z} : U \quad \text{succ}_{\mathbb{Z}} : \mathbb{Z} \cong_u \mathbb{Z}, \text{ hence } u \alpha(\text{succ}_{\mathbb{Z}}) = \mathbb{Z} = u \mathbb{Z}$$

Corollary/Def 4.3.6 Through $S' \rightarrow (S')'$ there is a function

$$\begin{aligned} \text{code} &= S' \rightarrow U \quad \text{st.} \\ \text{code}(\text{base}) &\equiv \mathbb{Z} \quad \text{and} \\ \text{ap}_{\text{code}}(\text{loop}) &= \text{ua}(\text{succ}_{\mathbb{Z}}) \end{aligned}$$

(code is called the universal cover of S')

Proof: Let $g: S' \rightarrow (S')'$ st. $g(\text{base}) \equiv \text{base}'$

$$\begin{array}{ccc} & & \text{ap}_g(\text{loop}) = \text{loop}' \\ & \downarrow \text{code}' & \\ & U & \end{array}$$

Define $\text{code} \equiv \text{code}' \circ g$

Then, $\text{code}(\text{base}) \equiv \text{code}'(g(\text{base})) \equiv \text{code}'(\text{base}') \equiv \mathbb{Z}$.

$$\begin{aligned} \text{ap}_{\text{code}' \circ g}(\text{loop}) &= \text{ap}_{\text{code}'}(\text{ap}_g(\text{loop})) \\ &= \text{ap}_{\text{code}'}(\text{loop}') \\ &= \text{ua}(\text{succ}_{\mathbb{Z}}) \end{aligned} \quad \left(\begin{array}{l} \text{use of } \text{ap} \\ \text{twice} \end{array} \right)$$

□

(Remark: This is sloppily written in the Π -book.)

Lemma 4.3.7 $\text{loop}_*^{\text{code}} : \text{code}(\text{base}) \rightarrow \text{code}(\text{base}') \equiv \mathbb{Z} \rightarrow \mathbb{Z}$

$$\left(\text{loop}^{-1} \right)_*^{\text{code}}$$

$$\begin{aligned} \text{(i)} \quad \text{loop}_*^{\text{code}}(x) &= \text{succ}_{\mathbb{Z}}(x) \\ \text{(ii)} \quad \left(\text{loop}^{-1} \right)_*^{\text{code}}(x) &= \text{pred}_{\mathbb{Z}}(x) \end{aligned} \quad x \in \mathbb{Z}$$

Proof: (i) By Coroll 3.2.7. ($A=U, P=A \rightarrow U, p=x \rightarrow x, u: P \rightarrow A, f: P \rightarrow P$ is loop),
 $\text{ap}_P(f) = \text{ua}(f)$, then $p_*^P(u) = f(u)$

$$\text{loop}_*^{\text{code}}(x) = \text{succ}_{\mathbb{Z}}(x) \quad \text{ap}_{\text{code}}(\text{loop}) = \text{ua}(\text{succ}_{\mathbb{Z}})$$

(ii) By Corol. 2.4.3 $(p^{-1})^P : P(S) \rightarrow P(\mathbb{Z})$ is the image of p^P

Hence $(loop^{-1})^P$ is the image of $succ_{\mathbb{Z}}$ i.e., $pred_{\mathbb{Z}}$. \square

Definition 4.3.8 $\text{encode} : \prod_{x \in S^1} (\text{base} =_n x) \rightarrow \text{code}(X)$

$$\boxed{\text{encode}(x, p) \equiv p_*^{\text{code}}(0_{\mathbb{Z}})}$$

where

$$p : \text{base} =_n x$$

$$p_*^{\text{code}} : \text{code}(\text{base}) \rightarrow \text{code}(X) \cong \mathbb{Z} \rightarrow \text{code}(X)$$

$$\text{hence } p_*^{\text{code}}(0) = \text{code}(x)$$

Definition 4.3.9 There is a dec function

$$\boxed{\text{decode} : \prod_{x \in S^1} (\text{code}(x) \rightarrow (\text{base} =_n x))}$$

Proposition

$$P : S^1 \rightarrow U \text{ s.t.}$$

$$\text{Proof: } P(x) \equiv \text{code}(x) \rightarrow (\text{base} =_n x)$$

$$P(\text{base}) \equiv \mathbb{Z} \rightarrow (\text{base} =_n \text{base})$$

$$\text{We take } loop^{-1} : \mathbb{Z} \rightarrow (\text{base} =_n \text{base})$$

We also need, in order to apply Ind_{S^1} , range

$$l = \left(loop_*^P (loop^{-1}) =_{\text{range}} loop^{-1} \right)$$

But,

$$loop_*^P (loop^{-1}) \equiv loop_*^{a_1 \rightarrow a_2} (loop^{-1})$$

$$= loop_*^{a_2} \circ (loop^{-1}) \circ (loop^{-1})^{a_1}$$

$$= loop_*^{x \mapsto (\text{base} =_n x)} \circ ((loop^{-1}) \circ pred_{\mathbb{Z}})$$

$$= ((loop^{-1}) \circ pred_{\mathbb{Z}}) \circ loop$$

$$= (\lambda(x:Z). loop^{pred(x)}) \circ loop$$

• Prop. 2.4.5

$$p_*^{f \rightarrow g}(f) =$$

$$p_*^a \circ f \circ (p^{-1})^a$$

• Lemma 4.3.7

$$\text{Ex. } p_*^{a \rightarrow a}(f) = f \circ p$$

$$\begin{aligned} & \stackrel{\circledast}{=} \int (x: \mathbb{Z}). \text{loop}^-(x) \\ & \equiv \text{loop}^- \end{aligned}$$

Here we use (\ast) $\prod_{x: \mathbb{Z}} (\text{loop}^{\text{pred}(x)} \ast \text{loop} = \text{loop}^x)$

(b) function-extensionality.

For (a) we prove it inductively: (Ex).

$$\begin{aligned} 0_2: \quad \text{loop}^{\text{pred}(0_2)} \ast \text{loop} & \equiv \text{loop}^{\text{neg}(0_1)} \ast \text{loop} \\ & \equiv \text{loop}^- \ast \text{loop} \\ & = \text{refl}_{\text{base}} \\ & \equiv \text{loop}^{0_2} \end{aligned}$$

$\text{pos}(n)$ -case: $\text{loop}^{\text{pred}(\text{pos}(n))} \ast \text{loop} = \text{loop}^{\text{pos}(n)}$ is to be proved

and for that we use inductiv:

$$\begin{aligned} n=0: \quad \text{loop}^{\text{pred}(\text{pos}(0))} \ast \text{loop} & \equiv \text{loop}^{0_2} \ast \text{loop} \\ & \equiv \text{refl}_{\text{base}} \ast \text{loop} \\ & \equiv \text{loop} \\ & \equiv \text{loop}^{\text{pos}(0)} \end{aligned}$$

$$\begin{aligned} \text{succ}(n)\text{-case: } \text{loop}^{\text{pred}(\text{pos}(\text{succ}(n)))} \ast \text{loop} & \equiv \\ \text{loop}^{\text{pos}(n)} \ast \text{loop} & \equiv \text{loop}^{\text{pos}(\text{succ}(n))} \end{aligned}$$

Similarly for the $\text{neg}(n)$ -case.

By Ind_g
 $\text{decode}(\text{base}) \equiv \text{loop}^-$
 $\text{apod}_{\text{decode}}(\text{loop}) = \text{!}$

 $\text{and apod}_{\text{decode}}: \prod_{p: \text{base}} \text{pr}^{\text{!}}(\text{decode}(\text{base})) = \text{decode}(p)$

 loop^-
 \equiv
 loop^-

Lemma 4.3.10 Let $x \in S^1$, $p = \text{base} \circ x$. Then

$$\boxed{\text{decode}(x, \text{encode}(x, p)) = p}$$

Proof: $\text{decode}(x, \text{encode}(x, p)) \equiv \text{decode}(x, p \circ_{\text{code}}(o_2)) \equiv \text{decode}(x) \circ_{\text{code}}(o_2)$
 $= p \circ_{\text{code}}(\text{loop}^{-1}(p \circ_{\text{code}}(o_2)))$

Since $\text{decode}(p) = \left(p \circ_{\text{code}}(\text{loop}^{-1}(p)) = \text{decode}(p) \right)$ $(p \equiv a_i \rightarrow e_i)$
 $= p \circ_{\text{code}}(\text{loop}^{-1}(\text{loop}^{-1}(p \circ_{\text{code}}(o_2))))$
 $= p \circ_{\text{code}}(\text{loop}^{-1}((p \circ_{\text{code}})^{-1} \circ_{\text{code}}(o_2)))$
 $= p \circ_{\text{code}}(\text{loop}^{-1}(\text{refl}_{\text{base}} \circ_{\text{code}}(o_2)))$
 $= p \circ_{\text{code}}(\text{loop}^{-1}(\text{id}_{\text{code}(\text{base})} \circ_{\text{code}}(o_2)))$
 $= p \circ_{\text{code}}(\text{loop}^{-1}(o_2))$
 $\equiv p \circ_{\text{code}}(\text{refl}_{\text{base}})$
 $= \text{refl}_{\text{base}} \circ p$
 $= p.$

No induction on S^1 is needed here \square

Lemma 4.3.11 Let $x \in S^1$, $c = \text{code}(x)$. Then

$$\boxed{\text{encode}(x, \text{decode}(x, c)) = c}$$

~~$\text{encode}(x, \text{decode}(x, c)) = c$~~

Proof: We show $\prod_{x \in S'} \left(\prod_{c = \text{code}(x)} (\text{encode}(x, \text{decode}(x, c)) = c) \right)$

We need to find $a_0: P(\text{base}) \equiv \prod_{c = \text{code}(\text{base})} (\text{encode}(\text{base}, \text{decode}(\text{base}, c)) = c)$
 $\equiv \prod_{x \in \mathbb{Z}} \text{encode}(\text{base}, \text{decode}(\text{base}, x)) = x$

~~Find $\text{decode}(\text{base}) = \text{loop}^x$ by induction on x~~

$$\equiv \prod_{x \in \mathbb{Z}} \text{encode}(\text{base}, \text{decode}(\text{base}, x)) = x$$

$\text{decode}(\text{base}) \equiv \text{loop}$

$$\equiv \prod_{x \in \mathbb{Z}} (\text{encode}(\text{base}, \text{loop}^x) = x)$$

$$\equiv \prod_{x \in \mathbb{Z}} [(\text{encode}(\text{base})) (\text{loop}^x) = x]$$

$$n \equiv 0_{\mathbb{Z}} = (\text{encode}(\text{base})) (\text{loop}^{0_{\mathbb{Z}}}) \equiv \text{encode}(\text{base}, \text{refl}_{\text{base}})$$

~~let $\text{refl}_{\text{base}}$ be $\text{code}(0_{\mathbb{Z}})$~~

$$\equiv (\text{refl}_{\text{base}})_{\text{code}}(0_{\mathbb{Z}})$$

$$\equiv \text{id}_{\text{code}(\text{base})}(0_{\mathbb{Z}})$$

$$\equiv \text{id}_{\mathbb{Z}}(0_{\mathbb{Z}})$$

$$\equiv 0_{\mathbb{Z}}$$

$k \in \text{pos}(\mathbb{N})$

Ind on \mathbb{N} :

$$n = 0_{\mathbb{N}}: \text{encode}(\text{base}, \text{loop}^{\text{pos}(0)}) \equiv \text{encode}(\text{base}, \text{loop})$$

$$\equiv \text{loop}_*^{\text{code}}(0_{\mathbb{Z}})$$

$$\stackrel{\text{L.9.37}}{=} \text{succ}_{\mathbb{Z}}(0_{\mathbb{Z}})$$

$$\equiv \text{pos}(0)$$

$$\text{succ}_m(m) : \text{encode}(\text{base}, \text{loop}_*^{\frac{\text{pos}(\text{succ}(m))}{m}}) \equiv \text{encode}(\text{base}, \text{loop}_*^{\text{pos}(m)} \circ \text{loop}_*)$$

$$\equiv (\text{loop}_*^{\text{pos}(m)} \circ \text{loop}_*)^{\text{code}}(0_{\mathbb{Z}})$$

$$= \text{loop}_*^{\text{code}} \left((\text{loop}_*^{\text{pos}(m)})^{\text{code}}(0_{\mathbb{Z}}) \right)$$

$$\stackrel{\text{I.H.}}{\equiv} \text{loop}_*^{\text{code}}(\text{pos}(m))$$

$$\stackrel{\text{L.9.37}}{=} \text{succ}_{\mathbb{Z}}(\text{pos}(m))$$

$$\stackrel{\text{def}}{\equiv} \text{pos}(\text{succ}_m(m))$$

Similarly for

$$u = \text{neg}(m) \begin{cases} u=0 \\ \text{succ}(m) \end{cases}$$

So, there is such $a_0 : P(\text{base})$

To apply Ind_S we need $\exists i \text{ loop}_*^P(a_0) = a_0$

But a_0 and $\text{loop}_*^P(a_0)$ are terms of type $\prod_{x \in \mathbb{Z}} (\text{encode}(\text{base}, \text{loop}_*^P) = x)$

$$a_0(x), \text{loop}_*^P(a_0)(x) : \text{encode}(\text{base}, \text{loop}_*^P) = x$$

Since \mathbb{Z} is a set: $a_0(0) = \text{loop}_*^P(a_0)(0)$

By function equality $a_0 = \text{loop}_*^P(a_0)$. So, we can use Ind_S to complete the proof. □

Theorem 4.3.12

$$\prod_{x=S^1} \left[(\text{base} =_{S^1} x) \simeq \text{code}(x) \right]$$

Proof: Use for $x \in S^1$ lemmas 4.3.10, 4.3.11.

Corollary 4.3.11

$$\boxed{\Omega(S^1, \text{base}) \simeq \mathbb{Z}}$$

Proof: Run Th. 4.3.12 for $x = \text{base}$.

With an appropriate set of the fundamental group

$$\pi_1(S^1) = \mathbb{Z}$$

where $\pi_1(S^1) \cong \|\Omega(S^1, \text{base})\|_0 = \|\mathbb{Z}\|_0 = \mathbb{Z}$

(and $\|\mathbb{Z}\|_0$ is the ^{set} ~~set~~ ~~of~~ ~~elements~~ of \mathbb{Z}) the direct map to A .

□

• Fundamental Theorem (Canonicity property)

• Lemmas of HoTT