

Chapter 4: Higher Inductive Types

(Every recent theory, under development, HTTs)

Section 4.1. The higher interval (formal representation of the interval in type theory)

Definition 4.1.1

Form_I : $I = U$

Int_I : $\frac{}{0_I : I} \quad \frac{}{1_I : I}$, point-constructors

$\frac{}{\text{seg} : 0_I = 1_I}$, path-constructor (the new thing w.r.t. inductive types)

Rec_I : $B : U$

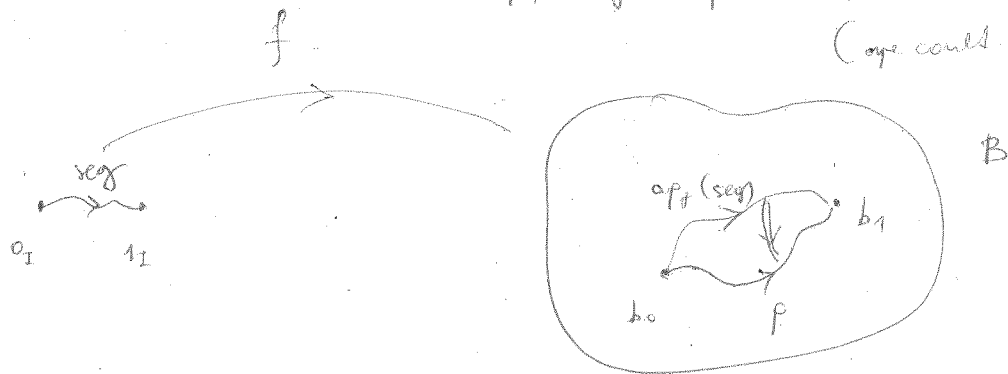
$b_0, b_1 : B$, $p : b_0 =_B b_1$ (completing type)

Then in $f : I \rightarrow B$ s.t. $f(0_I) \equiv b_0$

$f(1_I) \equiv b_1$

$\text{ap}_f(\text{seg}) := p$ (propositionally equal by definition)

(one could consider \equiv , circular??)



$\text{ap}_f : (0_I = 1_I) \rightarrow (b_0 =_B b_1)$

Ind_I : $P : I \rightarrow U$

$b_0 : P(0_I)$, $b_1 : P(1_I)$

$\text{seg}_*^P : P(0_I) \rightarrow P(1_I)$

$p = \text{seg}_*^P(b_0) =_{P(1_I)} b_1$

Then there is $F : \prod_{x:I} P(x)$ s.t. $F(0_I) \equiv b_0$

$F(1_I) \equiv b_1$

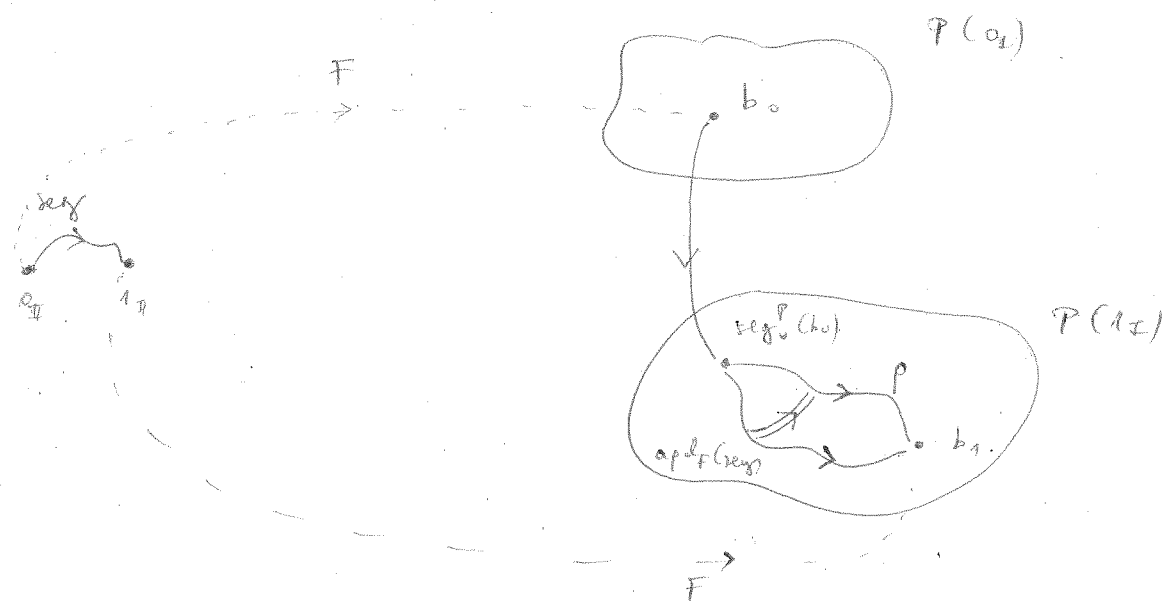
$\text{ap}_F(\text{seg}) := p$

where $\text{apod}_F = \prod_{q: x \equiv y} (q \circ^P (F(x)) =_{P(y)} F(y))$

hence $\text{apod}_F(\text{seg}) = \left(\text{seg}_*^P (F(o_I)) =_{P(t_I)} F(t_I) \right) \equiv \left(\text{seg}_*^P (b_0) =_{P(t_I)} b_1 \right)$

i.e. apod_F is of the same type as p and the equality is meaningful.

Actually, ~~seg~~ $\text{apod}_F(\text{seg})$ is a path from $\text{seg}_*^P(b_0)$ to b_1 in $P(t_I)$ and by nat-thesis it has to be equal to p .



i.e., since you need to have some $F: \prod_{x: I} P(x) \Rightarrow F(o_I) \equiv b_0, F(t_I) \equiv b_1$, and since

from the data given you can generate only $\text{apod}_F(\text{seg}) = \text{seg}_*^P(b_0) =_{P(t_I)} b_1$

you must have from an arbitrary $p: \text{seg}_*^P(b_0) =_{P(t_I)} b_1$ and apod_F is unique to last clause.

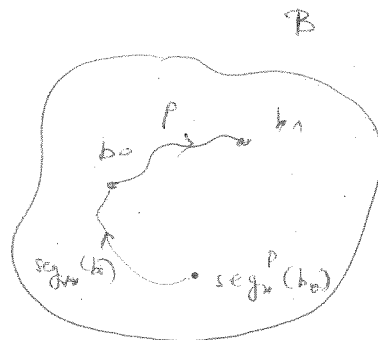
Proposition 4.1.2: $\text{Ind}_I \Rightarrow \text{Rec}_I$

Proof: Let $P \equiv \overline{B}_I$ and $p: b_0 =_B b_1$

$\text{seg}_*^P = P(b_0) \rightarrow P(b_1) \equiv B \rightarrow B$

By Proposition 2.3.2 there is a term δ

$$\text{seg}_{**}^P(b_0) = \left(\text{seg}_*^P(b_0) =_B b_0 \right)$$



$\text{FP}: A \rightarrow U \quad P \in \mathcal{B}, \text{ for every } x, y: A, p: x \equiv y, b_0 \in B, \text{ then there is } p_{**}^P(b_0) =_{P(x)} b_0$
 $\text{it. } (\text{ref}_{**}^P(b_0) \equiv \text{ref}_{**}^P(b_0))$

Hence $\text{seg}_{x_0}(b_0) \approx p : (\text{seg}_{x_1}^p(b_0) = b_1)$

By Ind II there is $F: I \rightarrow B$ st. $F(0) = b_0, F(1) = b_1$ and

$$\text{apd}_F(\text{seg}) = \text{seg}_{x_0}(b_0) \approx p \quad (1)$$

By Proposition 2.3.4 ($f: A \rightarrow B, p: x \approx y$, then $\text{apd}_f(p) = f_{x_0}(f(x)) \approx \text{ap}_f(p)$) which relates the dependent application to the non-dependent one we get

$$\begin{aligned} \text{apd}_F(\text{seg}) &= \text{seg}_{x_0}(F(0)) \approx \text{ap}_F(\text{seg}) \\ &\equiv \text{seg}_{x_0}(b_0) \approx \text{ap}_F(\text{seg}) \quad (2) \end{aligned}$$

(1), (2)
 \Rightarrow
 $\times \text{seg}_{x_0}(b_0) \rightarrow$
 \Rightarrow
 both sides

$$\text{seg}_{x_0}(b_0) \approx p = \text{seg}_{x_0}(b_0) \approx \text{ap}_F(\text{seg})$$

$$p = \text{ap}_F(\text{seg}) \quad \square$$

Remark 4.1.3

If X is a top. space and $x_0, x_1 \in X$, a path in X from x_0 to x_1 is a continuous map $\gamma: I \rightarrow X$ st. $\gamma(0) = x_0$ and $\gamma(1) = x_1$. In ITT+HITS

a path p from x_0 to x_1 is an object equal to $\text{ap}_\gamma(\text{seg})$. This is the type-theoretic translation of the above topological concept. Actually one shows:

$$(x \approx_A y) \simeq_{\text{u}} \sum_{f: I \rightarrow A} ((f(0) =_A x) \times (f(1) =_A y))$$

• If $x \approx_A y$ then by Def 1 there is $f: I \rightarrow A$ $f(0) = x$ ($\Rightarrow f(1) = x$) and $f(1) = y$. take refl.

• If $f: I \rightarrow A$ st. $f(0) =_A x$ and $f(1) =_A y$, then

$$\text{ap}_f = \left(\begin{array}{c} 0 \\ \text{id} \\ 1 \end{array} \right) \rightarrow \left(\begin{array}{c} f(0) =_A f(1) \\ \parallel \\ x \quad y \end{array} \right)$$

$\text{ap}_f(\text{seg}) : f(0) =_A f(1)$ and then with the obvious concatenation you take a path from x to y .

Corollary 9.1.4

Let $P: I \rightarrow U$

$b_0: P(0_I)$

$b_1 \equiv \text{seg}_x^P(b_0) = P(1_I)$

$\text{refl}_{b_1}^P: \text{seg}_x^P(b_0) =_{P(1_I)} b_1$

$\text{seg}_x: 0_I =_I 1_I$

$\text{seg}_x^P: P(0_I) \rightarrow P(1_I)$

By Ind_I, now in $F = \prod_{x \in I} P(x)$ st. $F(0_I) \equiv b_0$

$F(1_I) \equiv b_1$

$\text{apd}_F(\text{seg}) = \text{refl}_{b_1}^P$

Corollary 9.1.5

If $P: I \rightarrow U$, the inhabitability of $\prod_{x \in I} P(x)$ rests on the inhabitability of $P(0_I)$. (ie if we find $b_0: P(0_I)$, then we get by Cor. 9.1.4. some $F = \prod_{x \in I} P(x)$.)

Cor. Prop 9.1.6

TFTII: $\prod_{x \in I} (0_I =_I x)$

Proof: By Cor. 9.1.5 it suffices to find $b_0: P(0_I) \equiv 0_I =_I 0_I$.

Take $b_0 \equiv \text{refl}_{0_I}$.

Remark 9.1.7

Corol. 9.1.6 expresses that I is contractible (shrinkable to a point)

where id $A = U$

is $\text{Cont}(A) \equiv \sum_{x:A} \prod_{y:A} (x =_A y)$

For I take eg $x \equiv 0_I$.

Corollary 9.1.8

$A: U$, $f: I \rightarrow A$. Then $f(0_I) =_A f(1_I)$

proof: $\text{ap}_f: 0_I =_I 1_I \rightarrow f(0_I) =_A f(1_I)$

$\text{ap}_f(\text{seg}) = f(0_I) =_A f(1_I)$

Remark 9.1.9

If we know that $n =_m m \circ n =_m$, then $f: I \rightarrow M$ implies that f is constant. Proof: By 9.1.6 $f(0) =_M f(x)$ for every x (so $f(x) = f(0)$).

Theorem 9.1.10 (function-extensionality) Let $f, g: A \rightarrow B$ s.t. $\Phi: \prod_{x \in A} f(x) =_B g(x)$

Then $f =_{A \rightarrow B} g$

Proof: By Cor. 9.1.8 it suffices to show that there is

$$h: I \rightarrow (A \rightarrow B) \text{ s.t. } h(0_I) \equiv f \text{ and } h(1_I) \equiv g$$

• Let $x \in A$, $f(x), g(x) \in B$ and $\Phi(x) = f(x) =_B g(x)$

By Prop. 9.1.1 there is $h_x: I \rightarrow B$ s.t.

$$h_x(0_I) \equiv f(x)$$

$$h_x(1_I) \equiv g(x)$$

$$\text{ap}_{h_x}(xy) \equiv \Phi(x)$$

• We define $h(i) \equiv \lambda(x:A). h_x(i)$, for every $i \in I$.

Hence $h(0_I) \equiv \lambda(x:A). h_x(0_I) \equiv \lambda(x:A). f(x) \equiv f$

$$h(1_I) \equiv \lambda(x:A). h_x(1_I) \equiv \lambda(x:A). g(x) \equiv g \quad \square$$

Remark 9.1.11

Similarly one gets that if $P: A \rightarrow U$

$$\Phi, \Psi: \prod_{x \in A} P(x) \text{ s.t. } \Psi(x) \equiv \Phi(x) \text{ for all } x \in A$$

then $\Phi =_{\prod_{x \in A} P(x)} \Psi$

Example: $B = U$, $b_0, b_1 \in U$, $p = b_0 =_B b_1$

$$f, g: I \rightarrow B \text{ s.t. } f(0_I) \equiv b_0 \equiv g(0_I)$$

$$f(1_I) \equiv b_1 \equiv g(1_I)$$

($\text{ap}_f(xy) = p = \text{ap}_g(xy)$) not needed.

Then $f =_{I \rightarrow B} g$

Proof: By Th. 9.1.10 it suffices to show $\prod_{x \in I} (f(x) =_B g(x))$

By $f(0) \equiv f(0) \equiv g(0) \equiv g(x)$, our use of Cor. 9.1.8

allows us to forget that $f(0) \equiv g(0)$ is unneeded.

Section 4.2 The higher circle

Definition 4.2.1

• $\text{Fam}_{S^1} : S^1 = \mathcal{U}$

• $\text{Inj}_{S^1} : \text{base} = S^1$ point-constructor

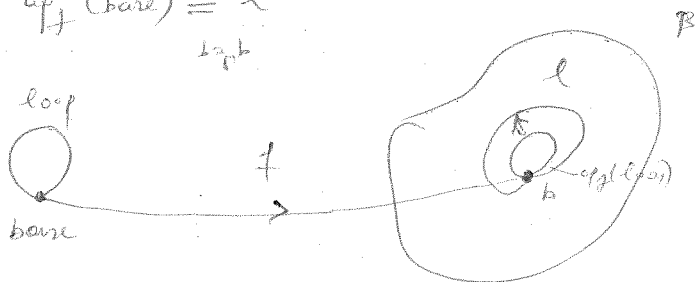
$\text{loop} : \text{base} \equiv_{S^1} \text{base}$ path-constructor



• $\text{Rec}_{S^1} : \left. \begin{array}{l} B = \mathcal{U} \\ b = B \\ l = b \equiv_B b \end{array} \right\} \text{(computation type)}$

There is $f : S^1 \rightarrow B$ s.t. $f(\text{base}) \equiv b$

$\text{ap}_f(\text{loop}) \equiv_{\text{Inj}_B} l$



• $\text{Fam}_{S^1} : P : S^1 \rightarrow \mathcal{U}$

$b = P(\text{base})$

$l : \text{loop}_0^P(b) \equiv_{P(\text{base})} b$

$\text{loop}_0^P : P(\text{base}) \rightarrow P(\text{base})$

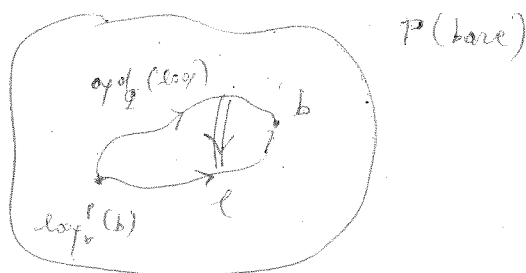
There is $\Phi : \prod_{x : S^1} P(x)$ s.t. $\Phi(\text{base}) \equiv b$

$\text{ap}_\Phi(\text{loop}) = l$

$\text{ap}_\Phi : \prod_{P : X \rightarrow Y} P \circ \Phi =_{P(Y)} \Phi(y)$

↓

$\text{ap}_\Phi(\text{loop}) : \text{loop}_0^P(b) \equiv_{P(\text{base})} b$



Remark 9.2.2 Without UA, ITT is compatible with the fact that all loops are equal to refl.
 i.e. Without UA we cannot say that $\text{loop} \neq \text{refl}_{\text{base}}$. (Here \bar{c} = model of
 ITT s.t. all loops = refl)
ITT + K-axioms here = model (Streicher) (K-axioms: even by = refl)

Proposition 9.2.3 $\text{And}_{S^1} \Rightarrow \text{Pec}_{S^1}$

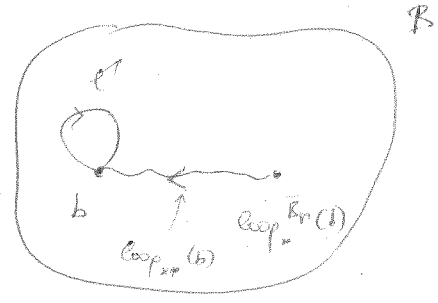
Proof: Let $P \equiv \bar{B}_{S^1}$ $\left\{ \begin{array}{l} B:U, b:B, e! = b =_F t \text{ given.} \\ \text{We find } f: S^1 \rightarrow B \text{ s.t. } f(\text{base}) = b \text{ and } \text{ap}_f(\text{loop}) = e! \end{array} \right.$

$b: P(\text{base}) \equiv B$
 $\text{loop}_* \bar{B}_{S^1} = B \rightarrow B, \text{loop}_* \bar{P}_1(b) = B$

By Prop. 2.3.2. there is $\text{loop}_* (b): \text{loop}_* \bar{B}_{S^1}(b) = b$
 Take $l \equiv \text{loop}_* (b)$ & $l': \text{loop}_* \bar{P}_1(b) = b$

By And_{S^1} there is $\Phi: \prod B \equiv S^1 \rightarrow B$
 $x: S^1$

s.t. $\Phi(\text{base}) \equiv b$



$\text{ap}_\Phi(\text{loop}) = \text{loop}_* (b) * l'$
 $\stackrel{Pr. 2.1.4}{=} \text{loop}_* (b) * \text{ap}_\Phi(\text{loop})$

Hence, $\text{ap}_\Phi(\text{loop}) = l'$ □

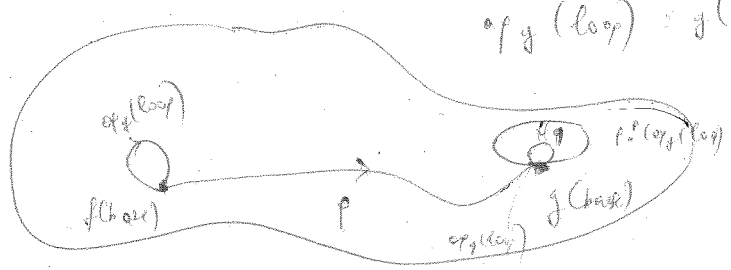
Proposition 9.2.4 $A:U, P: A \rightarrow U, P(x) \equiv (x =_A x)$

Ex. $f, g: S^1 \rightarrow A$

- $f = f(\text{base}) =_A g(\text{base})$
- $g: f_* (\text{ap}_f(\text{loop})) = \text{ap}_g(\text{loop})$

$\left[f_*^P = P(f(\text{base})) \rightarrow P(g(\text{base})) \equiv (f(\text{base}) =_A f(\text{base})) \rightarrow (g(\text{base}) =_A g(\text{base})) \right]$

$\text{loop} = \text{base} =_A \text{base} \Rightarrow \text{ap}_f(\text{loop}) = f(\text{base}) =_A f(\text{base})$
 $\text{ap}_g(\text{loop}) = g(\text{base}) =_A g(\text{base})$



Then $\prod_{x: S^1} (f(x) =_A g(x))$
 $x: S^1$
 is inhabited.

Proof: For Ind₁: let $\alpha: S^1 \rightarrow U$

$$\alpha(x) \equiv (f(x) \equiv_A g(x))$$

$$p: \alpha(\text{base}) \equiv (f(\text{base}) \equiv_A g(\text{base}))$$

We need to find $\boxed{e: \text{loop}_*^\alpha(p) = p}$ in order to apply Ind₁.

By Exercise

$$\begin{aligned} \text{loop}_*^\alpha(p) &= [\text{ap}_f(\text{loop})]^{-1} * p * \text{ap}_g(\text{loop}) \Rightarrow \\ &= \text{ap}_f(\text{loop}^{-1}) * p * \text{ap}_g(\text{loop}) \end{aligned}$$

$$\left(\text{ap}_f(\text{loop}) * \text{loop}_*^\alpha(p) = p * \text{ap}_g(\text{loop}) \right) \stackrel{\text{Th. 2.11.5}}{\simeq} p * (\text{ap}_f(\text{loop})) = \text{ap}_g(\text{loop})$$

Since the right type is inhabited by q , the left type is also inhabited.

$$\begin{aligned} \text{But } (\text{loop}_*^\alpha(p) = p) &\simeq (\text{ap}_f(\text{loop})^{-1} * p * \text{ap}_g(\text{loop}) = p) \\ &\simeq p * \text{ap}_g(\text{loop}) = \text{ap}_f(\text{loop}) * p \\ &\simeq * \\ &\simeq \gamma \end{aligned}$$

$$\left(\begin{array}{l} \xrightarrow{\text{Ex.}} \textcircled{*} \quad p: x \equiv_A y \quad q: x \equiv_A x \quad r: y \equiv_A y \quad \text{Then} \\ \left(p * \text{ap}_f(\text{loop})^{-1} * p * \text{ap}_g(\text{loop}) = p \right) \simeq (q * p = p * r) \end{array} \right) \quad \square$$

Theorem 4.25 (UA) $\text{loop} \neq \text{refl}_{\text{base}}$

Proof: Let $q: \text{loop} = \text{refl}_{\text{base}}$

and let $A: U, x: A, p: x \equiv_A x$

By Rec_{S¹}, there is $f: S^1 \rightarrow A$ s.t.

- $f(\text{base}) \equiv x$
- $\text{ap}_f(\text{loop}) = p$

$$\text{ap}_f: (\text{base} \equiv_{S^1} \text{base}) \rightarrow (x \equiv_A x)$$

$$\text{ap}_{\text{ap}_f}: (\text{loop} = \text{refl}_{\text{base}}) \rightarrow (\text{ap}_f(\text{loop}) = \text{ap}_f(\text{refl}_{\text{base}}))$$

Hence $ap_{apf}(q) = ap_f(\text{loop}) = ap_f(\text{refl}_{\text{base}})$

||

|||

f

$\text{refl}_{f(\text{base})} \equiv \text{refl}_x$

In every $p = x \circ_{\text{refl}} x$ is equal to refl_x

But in the proof of Proposition 3.28 (U is not a set)

we constructed (with U) a path $\underset{u}{=} 2 = 2$ which was not equal to refl_2 .

□

Better writing of the proof



next page

In analogy to the proof of σ_2, τ_2 we need the following version for the proof of loop refl_{base}:

$S^1 + (S^1)'$: There is a function $g: S^1 \rightarrow S^1$ st. $g(\text{base}) \equiv \text{base}'$
 $ap_g(\text{loop}) = \text{loop}'$

where base', loop' refer to $(S^1)'$ in U' .

Corollary: If $p = \text{loop} = \text{refl}_{\text{base}}$ then there is $p': \text{loop}' = \text{refl}_{\text{base}'}$

Proof: $ap_{ap_g}(\text{loop} = \text{refl}_{\text{base}}) \rightarrow ap_g(\text{loop}) = ap_g(\text{refl}_{\text{base}}) (\equiv \text{refl}_{\text{base}'})$
 \parallel
 loop'

Hence, $\text{refl}_{ap_{ap_g}}(p) = \text{loop}' = \text{refl}_{\text{base}'}$

where $r = ap_g(\text{loop}) = \text{loop}'$.

Theorem 4.25 $ITT + UA + S^1 + (S^1 + (S^1)') \vdash \text{loop} \neq \text{refl}_{\text{base}}$

Proof: Let $q = \text{loop} = \text{refl}_{\text{base}}$, and hence $q': \text{loop}' = \text{refl}_{\text{base}'}$

Let $M \models U'$, $2 = M$, $p = 2 \equiv u_2$

By $\text{Rec}_{(S^1)'}^U$ there is $f: (S^1)' \rightarrow U$ st. $f(\text{base}') \equiv 2$
 $ap_f(\text{loop}') = p$

Hence, $ap_{ap_f}(\text{loop}' = \text{refl}_{\text{base}'}) \rightarrow ap_f(\text{loop}') = ap_f(\text{refl}_{\text{base}'})$
 \parallel \parallel
 p refl_2

It's from q' we get $p = \text{refl}_2$.

Since in Prop 3.28 we have $p = \text{refl}_t \rightarrow 0$, for some p (generated by U')

from $\sigma_2 + U'$, $\tau_2 + U'$ we get a formula.

$q \mapsto t = 0$

□

Theorem 4.26.

S^1 is not contractible (on the topological circle) i.e.

$\text{is } \text{Cont}(S^1) \rightarrow 0$ is inhabited

Proof: Let $u: \text{is } \text{Cont}(S^1) \equiv \sum_{x: S^1} \prod_{y: S^1} (x =_{S^1} y)$. We also define $p: S^1 \rightarrow U$, $p(x) \equiv \prod_{y: S^1} (x =_{S^1} y)$

Hence, $\text{pr}_1(u): S^1$ and $\text{pr}_2(u) = \prod_{y: S^1} (\text{pr}_1(u) =_{S^1} y)$ i.e.

$\text{pr}_2(u)(y) = \text{pr}_1(u) =_{S^1} y$ and consequently

$$[\text{pr}_2(u)(y)]_v^p = P(\text{pr}_1(u)) \rightarrow P(y) \equiv \left(\prod_{y: S^1} (\text{pr}_1(u) =_{S^1} y) \right) \rightarrow \left(\prod_{z: S^1} (y =_{S^1} z) \right)$$

hence

$$[\text{pr}_2(u)(y)]_v^p (\text{pr}_2(u)) = \prod_{z: S^1} (y =_{S^1} z)$$

If $y \equiv \text{base}$:

$$\underbrace{[\text{pr}_2(u)(\text{base})]_v^p}_{W} (\text{pr}_2(u)) = \prod_{x: S^1} (\text{base} =_{S^1} x)$$

hence

$$W(\text{base}) = (\text{base} =_{S^1} \text{base})$$

and

$$\text{apd}_W = \prod_{p: \text{base} =_{S^1} \text{base}} (p^{\text{Q}} (W(\text{base}) = W(\text{base})))$$

hence

$$\text{apd}_W(\text{loop}) = \text{loop}_{\text{base}}^{\text{xt} + \text{base} = \text{base}} (W(\text{base})) = W(\text{base}) \quad \Bigg\} \Rightarrow$$

$$\parallel$$

$$W(\text{base}) = \text{loop}$$

loop = refl_{base} \square

L.6.9.2 . Then is $F = \prod_{x \in S'} (x =_{S'} x)$ s.t.

$$F \neq \text{Ref}_{S'}$$

$$\text{where } \text{Ref}_{S'} = \prod_{x \in S'} (x =_R x)$$

$$\text{Ref}_{S'}(x) \equiv \text{ref}_x$$

Proof: We'll use Ind_{S'}

$$\text{let } P: S' \rightarrow U \quad P(x) \equiv (x =_{S'} x) \quad x \mapsto (x = x)$$

$$P(\text{base}) \equiv (\text{base} =_R \text{base})$$

$$\text{let } \text{loop} = P(\text{base})$$

$$\text{We need to find } l: \text{loop}^P(\text{loop}) = \text{loop}$$

$$\text{loop}_* = (\text{base} =_R \text{base}) \rightarrow (\text{base} =_R \text{base})$$

$$\text{loop}_*^P(\text{loop}) \equiv \text{loop}_*^{x \mapsto (x =_R x)}(\text{loop})$$

$$\stackrel{2.11.2}{=} \text{loop}^{-1} \cdot \text{loop} \cdot \text{loop}$$

$$= \text{ref}_{\text{base}} \cdot \text{loop}$$

$$= \text{loop}$$

$$\text{is } l: \text{loop} = \text{loop}$$

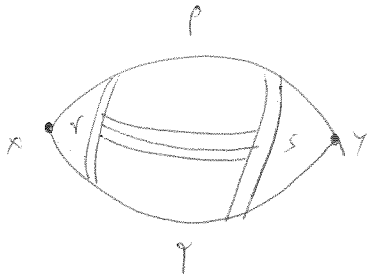
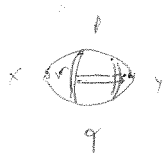
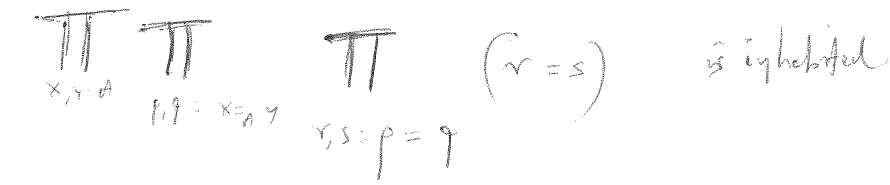
$$\text{Top } l \equiv \text{ref}_{\text{loop}}$$

$$\text{Here, by Ind_{S'} we is } F = \prod_{x \in S'} (x =_{S'} x) \quad \text{s.t. } F(\text{base}) \equiv \text{loop}$$

$$\cdot \text{apd}_F(\text{loop}) = \text{ref}_{\text{loop}}$$

$$\neg (F = \text{Ref}_R) \quad \text{since } F(\text{base}) = \text{loop} \neq \text{ref}_{\text{base}} = \text{Ref}_{S'}(\text{base}) \quad \cdot \text{II}$$

A_6
 1-type $\stackrel{\text{def}}{=} \dots$



$\text{id}_{S^1} = \text{id}_{S^1}$ in not a mere proportion (i.e. it is not: $p, q: \text{id}_{S^1} = \text{id}_{S^1}$, then $p=q$)

~~Prop~~ (the map is ineq. p/q) $\downarrow \checkmark$

Proof: $\left(\text{id}_{S^1} = \text{id}_{S^1} \right)$

$\simeq \left(\text{id}_{S^1} = \text{id}_{S^1} \right)$

EXT $\simeq \prod_{X=S^1} (X = X)$

$\text{id}_{S^1} = S^1 \rightarrow S^1$

$\text{id}_{S^1} = S^1 \simeq S^1$

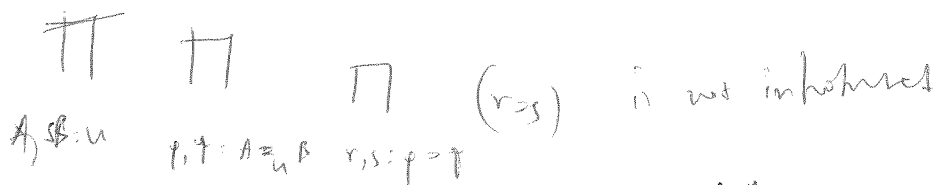
which by 6.4.2

implies the version of ineq. (id_{S^1})

ineq. (f) is a not prop.

It contains two unequal elements.

Cor. 6.4.3. $S^1 = U \Rightarrow U$ is not a 1-type (we know already that it is not a 0-type)



$A=B=S^1$

$p, q: S^1 \rightarrow S^1$

$\left(S^1 = S^1 \right) \simeq \left(S^1 = S^1 \right)$

S^1

~~id~~

$\text{id}_{S^1}: S^1 \simeq S^1$

and $\text{id}_{S^1} = \text{id}_{S^1}$

contains ≥ 2 unequal elements.

□

Section 4.3 The fundamental group of the circle ^(higher).

The path-space $\Omega(\text{base}) \equiv \text{base} \underset{s_1}{=} \text{base}$
 $\Omega(S^1, \text{base})$

Definition 4.2.1 The integers are ^(indicate) a special type (in HOTT book an \mathbb{Z} -point of \mathbb{N} , see in E. Rijke's Martin's Theorem p. 33) We avoid the theory of quotients here!

• $\text{Form}_{\mathbb{Z}}$ $\mathbb{Z} = \mathbb{U}$

• $\text{Info}_{\mathbb{Z}}$ $\frac{0 = \mathbb{Z}}{\mathbb{Z}}$ $\frac{n : \mathbb{N}}{\text{pos}(n) = \mathbb{Z}}$ $\frac{n : \mathbb{N}}{\text{neg}(n) = \mathbb{Z}}$

- neg(1_n)
 - neg(2_n)
 - 0_z
 - pos(1_n)
 - pos(2_n)
 - ...

• $\text{Rec}_{\mathbb{Z}}$ Add to $a_0 : A$ $p : \mathbb{N} \rightarrow A$ $v : \mathbb{N} \rightarrow A$

There is $f : \mathbb{Z} \rightarrow A$ st. $f(0_{\mathbb{Z}}) \equiv a_0$

$f(\text{pos}(n)) \equiv p(n) \quad n : \mathbb{N}$
 $f(\text{neg}(n)) \equiv v(n) \quad n : \mathbb{N}$

• $\text{Ind}_{\mathbb{Z}}$ $P : \mathbb{Z} \rightarrow \mathbb{U}$

$a_0 = P(0_{\mathbb{Z}})$

$G = \prod_{n : \mathbb{N}} P(\text{pos}(n))$, $H = \prod_{n : \mathbb{N}} P(\text{neg}(n))$

There is $F = \prod_{x : \mathbb{Z}} P(x)$ st. $F(0_{\mathbb{Z}}) \equiv a_0$

$F(\text{pos}(n)) \equiv G(n)$, $n : \mathbb{N}$

$F(\text{neg}(n)) \equiv H(n)$, $n : \mathbb{N}$

• $\text{Ind}_{\mathbb{Z}} \Rightarrow \text{Rec}_{\mathbb{Z}}$: $P : \mathbb{Z} \rightarrow A$, $P \supseteq \overline{A}_{\mathbb{Z}}$, for $a_0 = A$, $G : \mathbb{N} \rightarrow A$, $H : \mathbb{N} \rightarrow A$

$F(\text{pos}(n)) \equiv G(n)$, $F(\text{neg}(n)) \equiv H(n)$

Proposition 4.3.2 The function $\text{succ}_{\mathbb{Z}} : \mathbb{Z} \rightarrow \mathbb{Z}$ defined by ~~the following~~

- $\text{succ}_{\mathbb{Z}}(0_{\mathbb{Z}}) \equiv \text{pos}(0_{\mathbb{N}})$
 - $\text{succ}_{\mathbb{Z}}(\text{pos}(n)) \equiv \text{pos}(\text{succ}_{\mathbb{N}}(n))$, $n : \mathbb{N}$
 - $\text{succ}_{\mathbb{Z}}(\text{neg}(n)) \equiv v(n)$, $n : \mathbb{N}$

where $v: \mathbb{N} \rightarrow \mathbb{Z}$ is defined by $\text{Rec}_{\mathbb{N}}$:

$$v(0) \equiv 0_{\mathbb{Z}}$$

$$v(\text{succ}_{\mathbb{N}}(n)) \equiv \text{neg}(n)$$

is well-defined and an equivalence.

Proof: We use $\text{Rec}_{\mathbb{Z}}: 0_{\mathbb{Z}} = \mathbb{Z}$, $p: \mathbb{N} \rightarrow \mathbb{Z}$, $v: \mathbb{N} \rightarrow \mathbb{Z}$

where $p \equiv \text{pos} \circ \text{succ}_{\mathbb{N}}: \mathbb{N} \rightarrow \mathbb{Z}$

$$\text{succ}_{\mathbb{Z}}(\text{pos}(n)) \equiv p(n) \equiv \text{pos}(\text{succ}_{\mathbb{N}}(n))$$

Let $\text{pred}_{\mathbb{Z}}: \mathbb{Z} \rightarrow \mathbb{N}$ defined similarly ($\text{pos} \equiv \text{neg}$).

$$\begin{aligned} \text{pred}_{\mathbb{Z}}(0_{\mathbb{Z}}) &\equiv \text{neg}(0_{\mathbb{N}}) \\ \text{pred}_{\mathbb{Z}}(\text{neg}(n)) &\equiv \text{neg}(\text{succ}_{\mathbb{N}}(n)) \\ \text{pred}_{\mathbb{Z}}(\text{pos}(n)) &\equiv n \end{aligned}$$

$$\text{pred}_{\mathbb{Z}}(\text{pos}(0)) \equiv 0_{\mathbb{N}}$$

$$\text{pred}_{\mathbb{Z}}(\text{pos}(\text{succ}_{\mathbb{N}}(n))) \equiv \text{pos}(n)$$

and $r(0) \equiv 0_{\mathbb{Z}}$

$$r(\text{succ}_{\mathbb{N}}(n)) \equiv \text{pos}(n)$$

Clearly: $\prod_{x \in \mathbb{Z}} \text{succ}_{\mathbb{Z}}(\text{pred}_{\mathbb{Z}}(x)) = x$ and $\prod_{x \in \mathbb{Z}} \text{pred}_{\mathbb{Z}}(\text{succ}_{\mathbb{Z}}(x)) = x$

By $\text{Ind}_{\mathbb{Z}}$ we need $G(n) = (\text{succ}_{\mathbb{Z}}(\text{pred}_{\mathbb{Z}}(\text{pos}(n))) = \text{pos}(n) \wedge \text{succ}_{\mathbb{Z}}(r(n)) = \text{pos}(n))$

$\text{Ind}_{\mathbb{N}}$ $n=0: \text{succ}_{\mathbb{Z}}(0) \equiv \text{pos}(0_{\mathbb{N}}) \quad \checkmark$

$\text{Ind}_{\mathbb{N}}$ $\text{succ}_{\mathbb{Z}}(r(n)) = \text{pos}(n)$

Induction hypothesis: $\text{succ}_{\mathbb{Z}}(r(\text{succ}_{\mathbb{N}}(n))) \equiv \text{succ}_{\mathbb{Z}}(\text{pos}(n)) \equiv \text{pos}(\text{succ}_{\mathbb{N}}(n)) \quad \square$

Proposition 4.3.3 $\text{isot}(\mathbb{Z}) \cong \prod_{x \neq 0} \prod_{y \neq 0} (p=q)$ (to be done in Munich) (rebebrae)

(like IV) (just mention it)

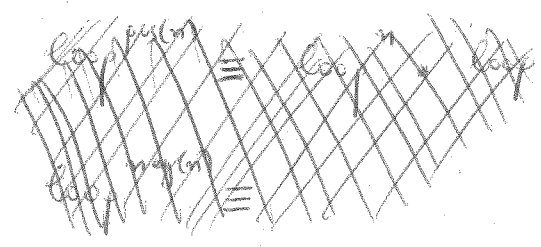
Schulman/Picota: 2013

Remark 4.3.4

$\text{loop} : \mathbb{Z} \rightarrow (\text{base} \simeq_{\mathbb{S}^1} \text{base})$ is defined by $\text{rec}_{\mathbb{Z}}$ (in Kijbe it is named wind)

$\text{loop}^x = \text{base} \simeq_{\mathbb{S}^1} \text{base}, x \in \mathbb{Z}$

$[\text{loop}^{\mathbb{Z}} \cong \text{refl}_{\text{base}} : \Omega(\text{base})$



$$\begin{aligned} \text{loop}^{\text{pos}(0)} &\cong \text{loop} \\ \text{loop}^{\text{pos}(\text{succ}_n(m))} &\cong \text{loop}^{\text{pos}(m)} * \text{loop} \\ &\cong \text{loop} * \dots * \text{loop} \\ \text{loop}^{\text{neg}(0)} &\cong \text{loop}^{-1} \\ \text{loop}^{\text{neg}(\text{succ}_n(m))} &\cong \text{loop}^{\text{neg}(m)} * \text{loop}^{-1} \\ &\cong \dots * \text{loop}^{-1} \end{aligned}$$

(succ(m)-times)

We'd like to know, as it is expected by the ^{infinite} Uniqueness Principle for paths for the S^1 -tor there are all paths - loops at base. From this one can infer (using more facts about the fundamental group of $S^1 \cong \mathbb{Z}$).

Remark/Definition 4.3.5 By $\text{Rec}_{(S^1)}$ there is a function

$$\begin{aligned} \text{code}' : (S^1)' &\rightarrow U \\ \text{code}'(\text{base}') &\cong \mathbb{Z} \\ \text{code}'(\text{loop}') &= \text{ua}(\text{succ}_{\mathbb{Z}}) \end{aligned}$$

$U = U', \mathbb{Z} : U, \text{succ}_{\mathbb{Z}} : \mathbb{Z} \simeq_u \mathbb{Z}, \text{ hence } \text{ua}(\text{succ}_{\mathbb{Z}}) = \mathbb{Z} =_u \mathbb{Z}$

Corollary / Def 9.3.6 Through $S' \rightarrow (S')'$ there is a function

$$\begin{aligned} \text{code} : S' &\rightarrow U \text{ st.} \\ \text{code}(\text{base}) &\equiv \mathbb{Z} \text{ and} \\ \text{ap code}(\text{loop}) &= \text{ua}(\text{succ}_{\mathbb{Z}}) \end{aligned}$$

(code is called the universal cover of S')

Proof : Let $g : S' \rightarrow (S')'$ st. $g(\text{base}) \equiv \text{base}'$

$$\begin{array}{ccc} & & \text{ap } g(\text{loop}) = \text{loop}' \\ & \downarrow \text{code}' & \\ & U & \end{array}$$

Define $\text{code} \equiv \text{code}' \circ g$

Then, $\text{code}(\text{base}) \equiv \text{code}'(g(\text{base})) \equiv \text{code}'(\text{base}') \equiv \mathbb{Z}$.

$$\begin{aligned} \text{ap}_{\text{code}' \circ g}(\text{loop}) &= \text{ap}_{\text{code}'}(\text{ap}_g(\text{loop})) \\ &= \text{ap}_{\text{code}'}(\text{loop}') \\ &= \text{ua}_{\mathbb{Z}}(\text{succ}_{\mathbb{Z}}) \end{aligned} \quad \left(\begin{array}{l} \text{use of } g \\ \text{times} \end{array} \right)$$

□

(Remark : This is sloppily written in the Π -book.)

Lemma 9.3.7 $\text{loop}_*^{\text{code}} : \text{code}(\text{base}) \rightarrow \text{code}(\text{base}') \equiv \mathbb{Z} \rightarrow \mathbb{Z}$

$$\left(\text{loop}^{-1} \right)_*^{\text{code}}$$

$$\begin{aligned} \text{(i)} \quad \text{loop}_*^{\text{code}}(x) &= \text{succ}_{\mathbb{Z}}(x) \\ \text{(ii)} \quad \left(\text{loop}^{-1} \right)_*^{\text{code}}(x) &= \text{pred}_{\mathbb{Z}}(x) \end{aligned} \quad x \in \mathbb{Z}$$

Proof : (i) By Coroll 3.2.7. ($A=U, P=A \rightarrow U, p=x \mapsto x, u=P \circ g, f=P \circ g \rightarrow P \circ g$ is equiv), $\text{ap}_p(f) = \text{ua}(f)$, then $p_*^P(u) = f(u)$

$$\text{loop}_*^{\text{code}}(x) = \text{succ}_{\mathbb{Z}}(x) \quad \text{ap}_{\text{code}}(\text{loop}) = \text{ua}(\text{succ}_{\mathbb{Z}})$$

(ii) By Corol. 2.4.3 $(f^{-1})^P : P(Y) \rightarrow P(X)$ is the inverse of f^P

Hence $(loop^-)^{code}$ is the inverse of $succ_Z$ i.e., $pred_Z$. \square

Definition 4.3.8

$$encode : \prod_{x \in S^1} (base \stackrel{=}{=} x) \rightarrow code(X)$$

$$encode(x, p) \equiv p_*^{code} (0_Z)$$

where

$$p : base \stackrel{=}{=} x$$

$$p_*^{code} : code(base) \rightarrow code(x) \equiv \mathbb{Z} \rightarrow code(x)$$

$$\text{hence } p_*^{code}(0) = code(x)$$

Definition 4.3.9

There is a decf function

$$decode : \prod_{x \in S^1} (code(x) \rightarrow (base \stackrel{=}{=} x))$$

Proposition

$$P : S^1 \rightarrow U \quad a_1(x)$$

Proof: $P(x) \stackrel{=}{=} code(x) \rightarrow (base \stackrel{=}{=} x)$

$$P(base) \equiv \mathbb{Z} \rightarrow (base \stackrel{=}{=} base)$$

$$\text{We take } loop^- : \mathbb{Z} \rightarrow (base \stackrel{=}{=} base)$$

We also need, in order to apply Ind $_{S^1}$, some

$$l = (loop_*^P (loop^-) \stackrel{=}{=} loop^-)$$

But,

$$loop_*^P (loop^-) \equiv loop_*^{a_1 \rightarrow a_2} (loop^-)$$

$$= loop_*^{a_2} \circ (loop^-) \circ (loop^-)^{a_1}$$

$$= loop_*^{x \mapsto (base \stackrel{=}{=} x)} \circ ((loop^-) \circ pred_Z)$$

$$= ((loop^-) \circ pred_Z) \circ loop$$

$$= \lambda(x:Z). loop^{pred(x)} \circ loop$$

• Prop 2.4.5
 $f_*^{P \rightarrow Q}(f) = f_*^{Q} \circ f \circ (f^{-1})_*^P$

• Lemma 4.3.7

• Ex. $P_*^{a_1 \rightarrow a_2}(f) = f \circ P$

$$\begin{aligned} & \stackrel{(x)}{=} \prod_{x: \mathbb{Z}} \text{loop}^-(x) \\ & \equiv \text{loop}^- \end{aligned}$$

Here we use (x) $\prod_{x: \mathbb{Z}} (\text{loop}^{\text{pred}(x)} * \text{loop} = \text{loop}^x)$

(b) function-extensionality.

For (a) we prove it inductively: (Ex).

$$\begin{aligned} 0_2: \quad \text{loop}^{\text{pred}(0_2)} * \text{loop} & \equiv \text{loop}^{\text{neg}(0_1)} * \text{loop} \\ & \equiv \text{loop}^- * \text{loop} \\ & = \text{refl}_{\text{base}} \\ & \equiv \text{loop}^{0_2} \end{aligned}$$

pos(n)-case: $\text{loop}^{\text{pred}(\text{pos}(n))} * \text{loop} = \text{loop}^{\text{pos}(n)}$ to be proved

and for that we use induction:

$$\begin{aligned} n=0: \quad \text{loop}^{\text{pred}(\text{pos}(0))} * \text{loop} & \equiv \text{loop}^{0_2} * \text{loop} \\ & \equiv \text{refl}_{\text{base}} * \text{loop} \\ & \equiv \text{loop} \\ & \equiv \text{loop}^{\text{pos}(0)} \end{aligned}$$

succ(n)-case: $\text{loop}^{\text{pred}(\text{pos}(\text{succ}(n)))} * \text{loop} \equiv$

$$\text{loop}^{\text{pos}(n)} * \text{loop} \equiv \text{loop}^{\text{pos}(\text{succ}(n))}$$

Similarly for the neg(n)-case.

By Ind
 $\text{decide}(\text{base}) \equiv \text{loop}^-$
 $\text{apd}_{\text{decide}}(\text{loop}) = \text{loop}$

 $\text{and } \text{apd}_{\text{decide}}: \prod_{p: \text{base} \rightarrow \text{decide}(\text{base})} p^*(\text{decide}(\text{loop})) = \text{decide}(p)$

 loop^-
 $\text{refl}_{\text{base}}$

Lemma 4.3.10 Let $x \in S^1$, $p = \text{base}_n^x$. Then

$$\boxed{\text{decode}(x, \text{encode}(x, p)) = p}$$

Proof: $\text{decode}(x, \text{encode}(x, p)) \equiv \text{decode}(x, p_n^{\text{code}}(o_2)) \equiv \text{decode}(x) \begin{pmatrix} \text{code} \\ \text{code} \end{pmatrix}$
 $= p_n^P(\text{loop}^{-1})(p_n^{\text{code}}(o_2))$

Since $\text{apd decode}(p) = \begin{pmatrix} p \\ p_n^P(\text{loop}^{-1}) = \text{decode}(o_1) \end{pmatrix}$ $(p \equiv o_1 \rightarrow o_2)$

$$= p_n^{\text{xt base } \Rightarrow} \left(\text{loop}^{-1} \left((p^{-1})_n^{\text{code}} \left(p_n^{\text{code}}(o_2) \right) \right) \right)$$

$$= p_n^{\text{xt base } \Rightarrow} \left(\text{loop}^{-1} \left((p \circ p^{-1})_n^{\text{code}}(o_2) \right) \right)$$

$$= p_n^{\text{xt base } \Rightarrow} \left(\text{loop}^{-1} \left((\text{refl}_{\text{base}})^{\text{code}}(o_2) \right) \right)$$

$$= p_n^{\text{xt base } \Rightarrow} \left(\text{loop}^{-1} \left(\text{id}_{\text{code}(\text{base})}^{\text{code}}(o_2) \right) \right)$$

$$= p_n^{\text{xt base } \Rightarrow} \left(\text{loop}^{-1}(o_2) \right)$$

$$\equiv p_n^{\text{xt base } \Rightarrow} (\text{refl}_{\text{base}})$$

$$\equiv \text{refl}_{\text{base}} \circ p$$

$$\equiv p.$$

No induction on S^1 is needed here \square

Lemma 4.3.11 Let $x \in S^1$, $c = \text{code}(x)$. Then

$$\boxed{\text{encode}(x, \text{decode}(x, c)) = c}$$

~~$\text{encode}(x, \text{decode}(x, c)) = c$~~

Proof = We show $\prod_{x \in S^1} \left(\prod_{c = \text{code}(x)} (\text{encode}(x, \text{decode}(x, c)) = c) \right)$

$P(x)$

We need to find $a_0 = P(\text{base}) \equiv \prod_{c = \text{code}(\text{base})} (\text{encode}(\text{base}, \text{decode}(\text{base}, c)) = c)$

$$\equiv \prod_{x \in \mathbb{Z}} \text{encode}(\text{base}, \text{decode}(\text{base}, x)) = x$$

~~Since $\text{decode}(\text{base}) = \text{loop}$ by loop $\text{decode}(\text{base}) = \text{loop}$~~

$$\equiv \prod_{x \in \mathbb{Z}} \text{encode}(\text{base}, \text{decode}(\text{base}, x)) = x$$

$\text{decode}(\text{base}) \equiv \text{loop}$

$$\equiv \prod_{x \in \mathbb{Z}} (\text{encode}(\text{base}, \text{loop}^x) = x)$$

$$\equiv \prod_{x \in \mathbb{Z}} [(\text{encode}(\text{base})) (\text{loop}^x) = x]$$

$$n \equiv 0_{\mathbb{Z}} = (\text{encode}(\text{base})) (\text{loop}^{0_{\mathbb{Z}}}) \equiv \text{encode}(\text{base}, \text{refl}_{\text{base}})$$

~~$$\equiv (\text{refl}_{\text{base}})^{\text{code}} (0_{\mathbb{Z}})$$~~

$$\equiv \text{id}_{\text{code}(\text{base})} (0_{\mathbb{Z}})$$

$$\equiv \text{id}_{\mathbb{Z}} (0_{\mathbb{Z}})$$

$$\equiv 0_{\mathbb{Z}}$$

$k \equiv \text{pos}(n)$

Ind on \mathbb{N} .

$$n = 0_{\mathbb{N}} = \text{encode}(\text{base}, \text{loop}^{\text{pos}(0_{\mathbb{N}})}) \equiv \text{encode}(\text{base}, \text{loop})$$

$$\equiv \text{loop}_*^{\text{code}}(0_{\mathbb{Z}})$$

$$\stackrel{\text{L.437}}{=} \text{succ}_{\mathbb{Z}}(0_{\mathbb{Z}})$$

$$\equiv \text{pos}(0)$$

$$\text{succ}_m(m) : \text{encode}(\text{base}, \text{loop}_*^{\frac{\text{pos}(\text{succ}(m))}{m}}) \equiv \text{encode}(\text{base}, \text{loop}_*^{\text{pos}(m)} \circ \text{loop}_*)$$

$$\equiv (\text{loop}_*^{\text{pos}(m)} \circ \text{loop}_*)^{\text{code}}(0_{\mathbb{Z}})$$

$$= \text{loop}_*^{\text{code}} \left((\text{loop}_*^{\text{pos}(m)})^{\text{code}}(0_{\mathbb{Z}}) \right)$$

$$\stackrel{\text{L.4}}{=} \text{loop}_*^{\text{code}}(\text{pos}(m))$$

$$\stackrel{\text{L.437}}{=} \text{succ}_{\mathbb{Z}}(\text{pos}(m))$$

$$\stackrel{\text{old}}{=} \text{pos}(\text{succ}_m(m))$$

Similarly for

$$u = \text{neg}(m) \begin{cases} u=0 \\ \text{succ}(m) \end{cases}$$

Is there is such $a_0 : P(\text{base})$

To apply Ind_s^P we need $\text{li } \text{loop}_*^P(a_0) = a_0$.

But a_0 and $\text{loop}_*^P(a_0)$ are term of type $\prod_{x \in \mathbb{Z}} (\text{encode}(\text{base}, \text{loop}_*^x) = x)$

$$a_0(x), \text{loop}_*^P(a_0)(x) : \text{encode}(\text{base}, \text{loop}_*^x) = x$$

Since \mathbb{Z} is ω set : $a_0(\omega) = \text{loop}_*^P(a_0)(\omega)$.

By FuncExt in ω set $a_0 = \text{loop}_*^P(a_0)$. So we can use Ind_s^P to complete the proof. \square

Theorem 4.3.12

$$\prod_{x \in S^1} \left[\left(\text{base} =_{S^1} x \right) \simeq \text{code}(x) \right]$$

Proof: Use for $x \in S^1$ lemmas 4.3.10, 4.3.11.

Corollary 4.3.11

$$\boxed{\Omega(S^1, \text{base}) \simeq \mathbb{Z}}$$

Proof: Run Th. 4.3.12 for $x = \text{base}$.

With an appropriate det of the fundamental group

$$\pi_1(S^1) = \mathbb{Z}$$

$$\text{where } \pi_1(S^1) \cong \|\Omega(S^1, \text{base})\|_0 = \|\mathbb{Z}\|_0 = \mathbb{Z}$$

(our $\|\cdot\|_0$ with ^(w/) ~~the~~ ^{the} ~~function~~ ^{function} of A) the down red to A .

2. (HTT)

• Propositional Logic (Canonicity property)

• Lemma of HTT.