Mathematisches Institut der LMU Prof. P. T. Nam, Prof. A. Scrinzi D.T. Nguyen

## Homework Sheet 13 for 28.1.2019

- **13.1.** Let  $\{u_n\}$  be an orthonormal basis of a Hilbert space H. Prove that
  - i) If K is a trace class operator on H, then  $\sum_{n=1}^{\infty} |\langle u_n, Ku_n \rangle|$  is finite and  $\sum_{n=1}^{\infty} \langle u_n, Ku_n \rangle$  is independent of the choice of  $\{u_n\}$ .
  - ii) If K is a Hilbert–Schmidt operator on H, then  $\sum_{n=1}^{\infty} ||Ku_n||^2$  is finite and independent of the choice of  $\{u_n\}$ .

**13.2.** Let  $0 \leq V \in L^{3/2}(\mathbb{R}^3)$ . Consider the operator  $K = \sqrt{V}(-\Delta)^{-1}\sqrt{V}$  (with  $\sqrt{V}$  being the multiplication operator). Show that K is a Hilbert-Schmidt operator and

$$||K||_{\rm HS} \le C ||V||_{L^{3/2}}$$

for a universal constant C > 0 independent of V.

Hint: You can compute the integral kernel of K (the kernel of  $(-\Delta)^{-1}$  is  $(4\pi |x - y|)^{-1}$ ).

**13.3.** We prove the Lieb–Thirring inequality for the sum of negative eigenvalues of  $-\Delta + V$  by adapting the proof of the CLR inequality.

i) Let  $\{u_n\}_{n=1}^N$  be an orthonormal family in  $L^2(\mathbb{R}^3)$  with  $u_n \in H^2(\mathbb{R}^3)$  and denote  $\rho(x) = \sum_{n=1}^N |u_n(x)|^2$ . Prove that there exists a universal constant K > 0 such that  $\sum_{n=1}^N \int_{\mathbb{R}^3} \int_$ 

$$\sum_{n=1}^{N} \int_{\mathbb{R}^{3}} |\nabla u_{n}(x)|^{2} \, \mathrm{d}x \ge K \int_{\mathbb{R}^{3}} \rho(x)^{5/3} \, \mathrm{d}x.$$

- ii) Deduce that if  $V \in L^{5/2}(\mathbb{R}^3, \mathbb{R})$ , then the sum of negative eigenvalues of  $-\Delta + V$  is bounded from below by  $-C \int_{\mathbb{R}^3} |V|^{5/2}$  with a universal constant C > 0 (independent of V). Here recall that  $-\Delta + V$  is a self adjoint operator on  $L^2(\mathbb{R}^3)$  with domain  $H^2(\mathbb{R}^3)$ .
- **13.4.** Let  $V \in L^p(\mathbb{R}^3)$  with  $2 \le p < 3$ . Show that for all  $u \in C_c^{\infty}(\mathbb{R}^3)$ ,  $\int_1^{\infty} \|Ve^{it\Delta}u\|_{L^2(\mathbb{R}^3)} dt < \infty.$

Note: This bound implies the existence of wave operator for  $-\Delta + V$ .

**13.5.** Let A and B be self-adjoint operators on a Hilbert space H such that the wave operator  $O_{A} = \lim_{i \to a} \frac{itA - itB}{itA}$ 

$$\Omega := \lim_{t \to \infty} e^{itA} e^{-itE}$$

exists, i.e. the strong limit  $e^{itA}e^{-itB}u \to \Omega u$  holds for all  $u \in H$ . Prove that

- i)  $A\Omega = \Omega B$  (i.e.  $A\Omega u = \Omega B u$  for all  $u \in D(B)$ ).
- ii) If A has no eigenvalue, then B also has no eigenvalue.