

# Functional Analysis II

Institute of Mathematics, LMU Munich – Winter Term 2011/2012  
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**HOMEWORK ASSIGNMENT no. 13**, issued on Wednesday 25 January 2012

**Due:** Wednesday 1 February 2012 by 2 pm in the designated “FA2” box on the 1st floor

**Info:** [www.math.lmu.de/~michel/WS11-12\\_FA2.html](http://www.math.lmu.de/~michel/WS11-12_FA2.html)

|| *Each exercise sheet is worth a full mark of 40 points. Correct answers without proofs are not accepted. Each step should be justified.* ||  
|| *You can hand in the solutions either in German or in English.* ||

**Exercise 49.** On the Hilbert space  $L^2[0, 1]$  consider the densely defined operators  $A_D$  and  $A_N$  whose domain and action are

$$\left\{ \begin{array}{l} \mathcal{D}(A_D) = \{\psi \in C^2([0, 1]) \mid \psi(0) = \psi(1) = 0\} \\ (A_D\psi)(x) = -\psi''(x) \quad \text{for a.e. } x \in [0, 1] \end{array} \right\}, \quad \left\{ \begin{array}{l} \mathcal{D}(A_N) = \{\psi \in C^2([0, 1]) \mid \psi'(0) = \psi'(1) = 0\} \\ (A_N\psi)(x) = -\psi''(x) \quad \text{for a.e. } x \in [0, 1]. \end{array} \right.$$

- (i) Show that both  $A_D$  and  $A_N$  are symmetric.
- (ii) Show that  $A_D$  is essentially self-adjoint and find  $\sigma(\overline{A_D})$ .
- (iii) Show that  $A_N$  is essentially self-adjoint and find  $\sigma(\overline{A_N})$ .
- (iv) Show that the operator  $A_{D,N}$  on  $L^2[0, 1]$  with domain  $\mathcal{D}(A_{D,N}) = \mathcal{D}(A_D) \cap \mathcal{D}(A_N)$  and action  $(A_{D,N}f)(x) = -\psi''(x)$  for a.e.  $x \in [0, 1]$  is symmetric and has at least two distinct self-adjoint extensions.

**Exercise 50.** Consider the operator  $A_0$  on the Hilbert space  $L^2[0, 2\pi]$  given by

$$A_0f = -if', \quad \mathcal{D}(A_0) = \{f \in C^1([0, 2\pi]) \mid f(0) = f(2\pi) = 0\}.$$

Recall from Problem 49 that  $A_0$  is symmetric and admits non-trivial self-adjoint extensions (the latter follows also from von Neumann's theorem, Problem 48). Produce *all* self-adjoint extensions of  $A_0$  (i.e., for each of them give domain and action).

**Exercise 51.** (Essential self-adjointness is *not* preserved in the strong operator limit.)

Let  $A$  be a symmetric, non essentially self-adjoint operator on a Hilbert space  $\mathcal{H}$  such that  $A$  has a self-adjoint extension  $\tilde{A}$ . (For example, the operator  $A_{D,N}$  in Exercise 49 (iv), or the operator  $A_0$  in Problem 49/Exercise 50.)

- (i) Let  $P_n$ ,  $n \in \mathbb{N}$ , be the spectral projection of  $\tilde{A}$  corresponding to the interval  $[-n, n]$ . Show that each  $P_n \tilde{A} P_n$  is a bounded, self-adjoint operator on  $\mathcal{H}$ .
- (ii) Show that each  $P_n \tilde{A} P_n$  constructed in (i) is an essentially self-adjoint operator on  $\mathcal{D}(A)$ , the domain of  $A$ .
- (iii) Show that  $P_n \tilde{A} P_n \varphi \xrightarrow{n \rightarrow \infty} A \varphi \quad \forall \varphi \in \mathcal{D}(A)$ .

Comment: thus, the strong limit of the essentially self-adjoint operators  $P_n \tilde{A} P_n$ 's is not essentially self-adjoint.

**Exercise 52.** Let  $\{A_n\}_{n=1}^{\infty}$  be a sequence of densely defined self-adjoint operators on a Hilbert space  $\mathcal{H}$  and let  $A$  be another self-adjoint operator on  $\mathcal{H}$ . Assume that

$$\lim_{n \rightarrow \infty} \| e^{itA_n} \varphi - e^{itA} \varphi \| = 0 \quad \forall \varphi \in \mathcal{H}, \quad \forall t \in \mathbb{R}.$$

Show that

$$\lim_{n \rightarrow \infty} \| R_z(A_n) \varphi - R_z(A) \varphi \| = 0 \quad \forall \varphi \in \mathcal{H}$$

where  $R_z(A_n) = (z\mathbb{1} - A_n)^{-1}$ ,  $R_z(A) = (z\mathbb{1} - A)^{-1}$  for an arbitrary  $z \in \mathbb{C} \setminus \mathbb{R}$ .

(*Hint:* represent the resolvent  $R_z(A)$  with an integral involving  $e^{itA}$ , then use the spectral theorem.)

**This is the last homework assignment. Congrats for having survived **104** homework exercises and problems in class!**