

# THE GRIFFITHS GROUP OF THE GENERIC ABELIAN 3-FOLD.

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ABSTRACT. Let  $J(C)$  be the Jacobian of the generic complex curve of genus 3. We show that the image of the Ceresa cycle in the Griffiths group  $\text{Griff}^2(J(C)) \otimes \mathbb{Z}/\ell$  is non-zero for all but finitely many primes  $\ell$ . We show further that for the generic principally polarised abelian variety  $A$  of dimension 3 the Griffiths group  $\text{Griff}^2(A) \otimes \mathbb{Z}/\ell$  is infinite for all but finitely many primes  $\ell$ .

## 1. INTRODUCTION

Let  $X$  be a smooth projective variety over an algebraically closed subfield  $k \subseteq \mathbb{C}$ , and let  $\text{CH}^p(X)$  be the Chow group of codimension  $p$  cycles modulo rational equivalence. The Griffiths group  $\text{Griff}^p(X)$  is defined as the quotient of codimension  $p$  cycles which are homologically trivial modulo cycles which are algebraically equivalent to zero. By definition, there is an exact sequence

$$0 \rightarrow A^p(X) \rightarrow \text{CH}_{\text{hom}}^p(X) \rightarrow \text{Griff}^p(X) \rightarrow 0,$$

where  $\text{CH}_{\text{hom}}^p(X) \subseteq \text{CH}^p(X)$  is the subgroup of homologically trivial cycles and  $A^p(X) \subseteq \text{CH}_{\text{hom}}^p(X)$  is the subgroup of cycles which are algebraically equivalent to zero. The group  $A^p(X)$  is generated by the images of correspondences coming from Jacobians of curves over  $k$ . Since  $k$  is algebraically closed these Jacobians are divisible, thus  $A^p(X) \otimes \mathbb{Z}/\ell = 0$ , and therefore

$$\text{CH}_{\text{hom}}^p(X) \otimes \mathbb{Z}/\ell = \text{Griff}^p(X) \otimes \mathbb{Z}/\ell.$$

Let  $J(C)$  be the Jacobian of a curve  $C$  of genus 3 over  $\mathbb{C}$ . If  $c_0$  is a fixed point of  $C$ , we have the standard embedding  $\rho : C \rightarrow J(C)$ ,  $c \mapsto c - c_0$ . Let  $[-1]_*$  be the morphism on cycle groups induced by the natural involution on  $J(C)$ . The *Ceresa cycle* we consider is the codimension 2 cycle on  $J(C)$

$$\Xi = \rho_*(C) - [-1]_*\rho_*(C).$$

Since  $[-1]_*$  acts as the identity on  $H^4(J(C), \mathbb{Z})$ , the Ceresa cycle is homologically trivial and defines a class  $[\Xi]$  in  $\text{Griff}^2(J(C))$  which is independent of the choice of the base point. Ceresa has shown in [7] that for  $C$  generic the class of  $\Xi$  in  $\text{Griff}^2(J(C)) \otimes \mathbb{Q}$  is non-zero.

We first consider the image of  $\Xi$  in the Griffiths group modulo  $\ell$ .

**Theorem 1.1.** *Let  $J(C)$  be the Jacobian of the generic curve of genus 3 over  $\mathbb{C}$ . Then the image of  $[\Xi]$  in  $\text{CH}_{\text{hom}}^2(J(C)) \otimes \mathbb{Z}/\ell = \text{Griff}^2(J(C)) \otimes \mathbb{Z}/\ell$  is non-zero for all but finitely many primes  $\ell$ .*

To our knowledge, this result provides the first example in the literature of a homologically trivial cycle in a Chow group of codimension 2 over an algebraically closed field which is not divisible for all but finitely many primes. The first example of such a cycle which is not divisible for some primes has been given by Bloch-Esnault [4]; in their example  $k = \overline{\mathbb{Q}}$ . Other examples of non-divisible cycles have been constructed by Schoen. He showed that for an elliptic curve  $E/k$  the Chow group  $\mathrm{CH}_{\mathrm{hom}}^2(E_k^3) \otimes \mathbb{Z}/\ell$  is non-zero in the following cases:  $E$  is general,  $k = \mathbb{C}$  and  $\ell \in \{5, 7, 11, 13, 17\}$  (see [20]), or  $E$  is the Fermat curve,  $k = \overline{\mathbb{Q}}$  and  $\ell \equiv 1 \pmod{3}$  (see [21]). For similar results for triple products of elliptic curves over  $p$ -adic fields, see also [18].

In [16] Nori used the Ceresa cycle to prove that for the generic abelian variety  $A$  of dimension 3 the Griffiths group  $\mathrm{Griff}^2(A) \otimes \mathbb{Q}$  is infinite-dimensional. To construct cycles he considers isogenies  $h : B \rightarrow A$  with  $B$  principally polarised. Thus  $B \cong J(C)$  and  $B$  carries a Ceresa cycle  $\Xi_B$  whose image  $h_*(\Xi_B)$  in  $\mathrm{Griff}^2(A) \otimes \mathbb{Q}$  is non-trivial, because of Ceresa's theorem. Nori shows that there are infinitely many choices for  $h$  such that the resulting cycles  $h_*(\Xi_B)$  are linearly independent, since they twist by different characters of a suitable Galois group.

We construct isogenies from modular correspondence to adapt his argument to our setting and use Theorem 1.1 to prove the following.

**Theorem 1.2.** *Let  $A$  be the generic principally polarised abelian 3-fold over the complex numbers  $\mathbb{C}$ . Then for all but finitely many primes  $\ell$ :*

$$\#(\mathrm{CH}_{\mathrm{hom}}^2(A) \otimes \mathbb{Z}/\ell) = \#(\mathrm{Griff}^2(A) \otimes \mathbb{Z}/\ell) = \infty.$$

If  $A$  is as in Theorem 1.2, it follows from the projective bundle formula that for  $d \geq 3$  the complex variety  $A \times \mathbb{P}^{d-3}$  has the analogous property.

**Corollary 1.3.** *For  $d \geq 3$  there exists a smooth projective variety  $X/\mathbb{C}$  of dimension  $d$  such that  $\#(\mathrm{CH}^p(X) \otimes \mathbb{Z}/\ell) = \infty$  for  $2 \leq p \leq d-1$  and all but finitely many primes  $\ell$ .*

Let  $\mathrm{CH}^p(X)[\ell]$  be the  $\ell$ -torsion subgroup of  $\mathrm{CH}^p(X)$ , i.e. the kernel of the map ‘multiplication by  $\ell$ ’. Then Corollary 1.3, combined with a result on external product maps due to Schoen [19, Theorem 0.2], implies the following.

**Corollary 1.4.** *For  $d \geq 4$  there exists a smooth projective variety  $X/\mathbb{C}$  of dimension  $d$  such that  $\#(\mathrm{CH}^p(X)[\ell]) = \infty$  for  $3 \leq p \leq d-1$  and all but finitely many primes  $\ell$ .*

We remark that the bounds in the above Corollaries are sharp: In characteristic 0 and for any prime  $\ell$  the Chow group  $\mathrm{CH}^p(X) \otimes \mathbb{Z}/\ell$  is finite for  $p = 0, 1$  and  $d$ ; this is clear in codimension 0 and 1, and follows for zero cycles from Roitman's theorem [17], [3, 4.2]. The same arguments show the finiteness of  $\mathrm{CH}^p(X)[\ell]$  for  $p = 0, 1$  and  $d$ ; for the remaining case  $p = 2$  this is a consequence of the Merkurjev-Suslin theorem [13].

**Remark.** We note that during the Colloquium, the first author stated results analogous to Theorems 1.1. and 1.2, but for a different abelian 3-fold, namely the one considered by Schoen in [20]. The argument presented in the talk was incomplete. We do believe our results are correct for Schoen's abelian 3-folds as well, but different arguments seem to be needed. We hope to return to this elsewhere.

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## 2. PROOF OF THEOREM 1.1

We first recall some basic properties of moduli and prove an analogue of the Bloch-Esnault theorem for the Jacobian of a generic curve; our general reference for moduli spaces is [15].

Let  $\zeta_3$  be a fixed primitive complex cube root of unity. By a full symplectic level 3 structure on a curve  $C$  of genus  $g$  we mean a basis  $\{u_1, \dots, u_g, v_1, \dots, v_g\}$  of the 3-torsion subgroup  $J(C)[3]$  of the Jacobian  $J(C)$  of  $C$  such that with respect to the Weil-pairing  $e_3 : J(C)[3] \times J(C)[3] \rightarrow \mu_3$  we have  $(u_i, v_i) = \zeta_3$  for all  $i$  and  $(u_i, v_j) = 0$  for  $i \neq j$ .

We denote by  $M$  be the moduli space of curves of genus 3 with a level 3 structure defined as above with respect to a fixed primitive cube root  $\zeta_3$ . In particular,  $M$  is a smooth quasi-projective integral scheme over  $\text{Spec}(\mathbb{Z}[\frac{1}{3}, \zeta_3])$ .

Let  $\mathcal{C} \rightarrow M$  be the universal family of curves, and  $J(\mathcal{C})_M \rightarrow M$  the corresponding family of Jacobians. We may also regard  $\mathcal{C}$  as the moduli space of curves of genus 3 with the level 3 structure as above, together with a marked point. Set  $T = \mathcal{C}_{\overline{\mathbb{Q}}}$ ; note that  $T$  is an integral scheme over  $\overline{\mathbb{Q}}$ . Regarding  $T$  as the  $\overline{\mathbb{Q}}$ -moduli scheme for curves of genus 3 with level 3 structure and a marked point, there is a universal family  $\mathcal{C}_T$  over  $T$ , together with a distinguished section. Let  $L = \overline{\mathbb{Q}}(T)$  be the function field, and let  $C_L$  denote the generic fiber of  $\mathcal{C}_T \rightarrow T$ . The distinguished section of  $\mathcal{C}_T \rightarrow T$  determines a rational point of  $C_L$ , which we use to define the Ceresa cycle

$$\Xi_L \in Z_{\text{hom}}^2(J(C_L)).$$

We will abuse notation and write  $J(C)_L$  (resp.  $J(C)_{\overline{L}}$ ) in place of  $J(C_L)$  (resp.  $J(C_{\overline{L}})$ ). If  $C$  is generic over  $\mathbb{C}$ , we can construct a commutative diagram of cartesian squares

$$(1) \quad \begin{array}{ccccccc} J(C) & \rightarrow & J(C)_L & \rightarrow & J(\mathcal{C}_T) & \rightarrow & J(\mathcal{C})_M \\ f_{\mathbb{C}} \downarrow & & f_L \downarrow & & \downarrow f_T & & \downarrow f_M \\ \text{Spec}(\mathbb{C}) & \rightarrow & \text{Spec}(L) & \xrightarrow{i} & T & \rightarrow & M \end{array}$$

where  $J(\mathcal{C})_M \rightarrow M$  is the family of Jacobians for the universal family of curves  $\mathcal{C} \rightarrow M$ , and the remaining families are base changes of this family.

Let  $\bar{L}$  be an algebraic closure of  $L$  and denote by  $[\Xi_{\bar{L}}]$  the image of  $[\Xi_L]$  in  $\mathrm{CH}_{\mathrm{hom}}^2(J(C)_{\bar{L}})$ . Since base change along extensions of algebraically closed fields induces an isomorphism on Chow groups modulo  $\ell$  [11], to prove Theorem 1.1 it suffices to show  $[\Xi_{\bar{L}}]$  is non-zero in  $\mathrm{CH}_{\mathrm{hom}}^2(J(C)_{\bar{L}}) \otimes \mathbb{Z}/\ell$  for all but finitely many  $\ell$ .

Recall that the primitive part  $\mathrm{PH}^3(J(C), \mathbb{Q}(2))$  of the singular cohomology group  $\mathrm{H}^3(J(C), \mathbb{Q}(2))$  is defined as the cokernel of the injective cup-product map  $\cup[\Theta] : \mathrm{H}^1(J(C), \mathbb{Q}(1)) \rightarrow \mathrm{H}^3(J(C), \mathbb{Q}(2))$ , where  $[\Theta] \in \mathrm{H}^2(J(C), \mathbb{Q}(1))$  is the class of the theta divisor. The resulting primitive decomposition

$$(2) \quad \mathrm{H}^3(J(C), \mathbb{Q}(2)) = \mathrm{PH}^3(J(C), \mathbb{Q}(2)) \oplus \mathrm{H}^1(J(C), \mathbb{Q}(1))$$

is the decomposition of  $\mathrm{H}^3(J(C), \mathbb{Q}(2))$  into irreducible  $\mathrm{Sp}_6(\mathbb{Z})$ -modules, see [8, pg. 121]. Let  $P$  be a self-correspondence of  $J(C)_L$  with the property that the image  $P_* \mathrm{H}^3(J(C), \mathbb{Q}(2))$  is the primitive part  $\mathrm{PH}^3(J(C), \mathbb{Q}(2))$ .

The standard comparison theorems imply that there is a finite set  $S$  of primes such that for all  $\ell \notin S$  the following holds: There is a decomposition

$$(3) \quad \mathrm{H}_{\mathrm{ét}}^3(J(C)_{\bar{L}}, \mathbb{Z}/\ell(2)) = \mathrm{PH}_{\mathrm{ét}}^3(J(C)_{\bar{L}}, \mathbb{Z}/\ell(2)) \oplus \mathrm{H}_{\mathrm{ét}}^1(J(C)_{\bar{L}}, \mathbb{Z}/\ell(1)),$$

which is the decomposition of  $\mathrm{H}_{\mathrm{ét}}^3(J(C)_{\bar{L}}, \mathbb{Z}/\ell(2))$  into irreducible  $\mathrm{Gal}(\bar{L}/L)$ -modules, and the image of the map induced by  $P$  is the primitive part

$$P_* \mathrm{H}_{\mathrm{ét}}^3(J(C)_{\bar{L}}, \mathbb{Z}/\ell(2)) = \mathrm{PH}_{\mathrm{ét}}^3(J(C)_{\bar{L}}, \mathbb{Z}/\ell(2)).$$

Let  $\mathrm{CH}^2(J(C)_{\bar{L}})[\ell]$  be the  $\ell$ -torsion subgroup of  $\mathrm{CH}^2(J(C)_{\bar{L}})$ . The next lemma shows that for  $P$  as above we can control the image  $P_* \mathrm{CH}^2(J(C)_{\bar{L}})[\ell]$ .

**Lemma 2.1.**  *$P_* \mathrm{CH}^2(J(C)_{\bar{L}})[\ell] = 0$  for all but finitely many primes  $\ell$ .*

*Proof.* For any  $\ell$  we have by [3, 3.5] and [21, Proposition 6.1] an isomorphism

$$(4) \quad P_* \mathrm{CH}^2(J(C)_{\bar{L}})[\ell] \cong \mathrm{N}^1(\mathrm{PH}_{\mathrm{ét}}^3(J(C)_{\bar{L}}, \mathbb{Z}/\ell(2))),$$

where  $\mathrm{N}^1$  denotes the first level of the coniveau filtration. We will show  $\mathrm{N}^1(\mathrm{PH}_{\mathrm{ét}}^3(J(C)_{\bar{L}}, \mathbb{Z}/\ell(2))) = 0$  for  $\ell \notin S$ , where  $S$  is a finite set of primes as above. Recall that the Bloch-Esnault theorem [4, Theorem 1.2] states the following: If  $V$  is a smooth projective variety over a complete discrete valuation field  $K$  with valuation ring  $R$  and perfect residue field  $k$ , of mixed characteristic  $(0, \ell)$ , then

$$(5) \quad \mathrm{N}^1 \mathrm{H}_{\mathrm{ét}}^3(V_{\bar{K}}, \mathbb{Z}/\ell(2)) \neq \mathrm{H}_{\mathrm{ét}}^3(V_{\bar{K}}, \mathbb{Z}/\ell(2)),$$

provided (i)  $V$  has good ordinary reduction, i.e. there exist cartesian squares

$$\begin{array}{ccccc} V & \rightarrow & X & \leftarrow & Y \\ \downarrow & & \downarrow & & \downarrow \\ \mathrm{Spec}(K) & \rightarrow & \mathrm{Spec}(R) & \leftarrow & \mathrm{Spec}(k) \end{array}$$

with  $X$  smooth and proper over  $\text{Spec}(R)$ , and  $Y$  ordinary over  $\text{Spec}(k)$ , (ii)  $\dim Y < (l - 1)/\gcd(e, l - 1)$  ( $e$  is the absolute ramification index), and (iii)  $\Gamma(Y, \Omega_Y^3) \neq 0$ . We note that we can omit the assumption that  $R$  has perfect residue field and instead assume in (i) that  $X$  is smooth and proper over  $\text{Spec}(R)$ , and  $Y_{\bar{k}}$  is ordinary. Indeed, let  $R$  be a discrete valuation ring of mixed characteristic  $(0, \ell)$  with residue field  $k$  and maximal ideal  $M_R$ . By [12, Theorem 29.1] there exists a discrete valuation ring  $R'$  containing  $R$  with maximal ideal  $M_R \cdot R'$  and residue field  $k^{\text{perf}}$ , the perfect closure of  $k$ . The completion  $\widehat{R}'$  of  $R'$  has perfect residue field and maximal ideal  $M_R \cdot \widehat{R}'$ . Thus  $R$  and  $\widehat{R}'$  have the same absolute ramification index, and base change along the algebraic closures of the valuation fields of  $R$  and  $\widehat{R}'$  induces an isomorphism in étale cohomology. In a similar fashion, we may omit the condition that  $R$  is complete, since base change along the algebraic closures of the valuation fields of  $R$  and of its completion  $\widehat{R}$  again induces an isomorphism in étale cohomology.

To apply this to our setting, recall that  $M$  is an irreducible smooth quasi-projective scheme over  $\text{Spec}(\mathbb{Z}[\frac{1}{3}, \zeta_3])$ . Consider the scheme

$$J(C)_\ell := J(C)_M \times_M \text{Spec}(\mathcal{O}_{M,x}),$$

where  $x$  is the generic point of the fiber of  $M \rightarrow \text{Spec}(\mathbb{Z}[\frac{1}{3}, \zeta_3])$  over a prime lying over  $\ell$  (so that  $\mathcal{O}_{M,x}$  is a discrete valuation ring of mixed characteristic  $(0, \ell)$ ). Then we have (i) since  $C$  is generic, in (ii)  $e = 1$  and the condition holds for  $\ell \geq 5$ , and (iii) holds trivially. Thus for  $\ell \geq 5$  the geometric generic fiber of the universal family of Jacobians satisfies (5), and

$$(6) \quad N^1 H_{\text{ét}}^3(J(C)_{\bar{L}}, \mathbb{Z}/\ell(2)) \neq H_{\text{ét}}^3(J(C)_{\bar{L}}, \mathbb{Z}/\ell(2)), \quad \ell \geq 5.$$

Modifying  $S$  if necessary, we may assume the primes 2, 3 are contained in  $S$ . The factor  $H_{\text{ét}}^1(J(C)_{\bar{L}}, \mathbb{Z}/\ell(1))$  in (3) is supported on the theta divisor and contained in  $N^1 H_{\text{ét}}^3(J(C)_{\bar{L}}, \mathbb{Z}/\ell(2))$ . Thus it follows from (6) that for  $\ell \notin S$

$$(7) \quad N^1 \text{PH}_{\text{ét}}^3(J(C)_{\bar{L}}, \mathbb{Z}/\ell(2)) \neq \text{PH}_{\text{ét}}^3(J(C)_{\bar{L}}, \mathbb{Z}/\ell(2)).$$

Since  $\text{PH}_{\text{ét}}^3(J(C)_{\bar{L}}, \mathbb{Z}/\ell(2))$  is an irreducible  $\text{Gal}(\bar{L}/L)$ -module, (7) implies  $N^1 \text{PH}_{\text{ét}}^3(J(C)_{\bar{L}}, \mathbb{Z}/\ell(2)) = 0$  for  $\ell \notin S$ . The lemma follows now from (4).  $\square$

We are ready to prove Theorem 1.1. We modify the Bloch-Esnault method [4] and use Hain's proof of Ceresa's theorem [8] which shows that the Ceresa cycle over  $\mathbb{C}$  has non-torsion image in a finitely generated abelian group.

*Proof.* (of Theorem 1.1) We show the image of  $[\Xi_{\bar{L}}]$  in  $\text{CH}^2(J(C)_{\bar{L}}) \otimes \mathbb{Z}/\ell$  is non-trivial for all but finitely many  $\ell$ . We begin with the construction of a commutative diagram of cycle maps. Let  $\ell$  be an arbitrary prime, and let

$$\eta_L^2 : \text{CH}_{\text{hom}}^2(J(C)_L) \rightarrow H^1(G_L, H_{\text{ét}}^3(J(C)_{\bar{L}}, \mathbb{Z}_\ell(2)))$$

be the  $\ell$ -adic Abel-Jacobi map. This map is induced from the cycle class map with values in  $H_{\text{ét}}^4(J(C)_L, \mathbb{Z}_\ell(2))$ , using the filtration on this group coming

from the Hochschild-Serre spectral sequence. For details of the construction and general properties, see for example, [2], [9, §9] and [6, §1]. We write

$$\nu_L^2 : \mathrm{CH}_{\mathrm{hom}}^2(\mathrm{J}(C)_L) \rightarrow \mathrm{H}^1(G_L, \mathrm{PH}_{\mathrm{ét}}^3(\mathrm{J}(C)_{\overline{L}}, \mathbb{Z}_\ell(2)))$$

for the composition of  $\eta_L^2$  with map induced by the natural quotient map  $\mathrm{H}_{\mathrm{ét}}^3(\mathrm{J}(C)_{\overline{L}}, \mathbb{Z}_\ell(2)) \rightarrow \mathrm{PH}_{\mathrm{ét}}^3(\mathrm{J}(C)_{\overline{L}}, \mathbb{Z}_\ell(2))$ ; similarly we have  $\eta_{L'}^2$  and  $\nu_{L'}^2$  for any finite field extension  $L'/L$ .

If  $f_B : C_B \rightarrow B$  is a family of smooth curves of genus 3, and  $\mathrm{J}(C)_B \rightarrow B$  is the corresponding family of Jacobians, the Leray spectral sequence associated to  $f_B$  induces a filtration on  $\mathrm{H}_{\mathrm{ét}}^4(\mathrm{J}(C)_B, \mathbb{Z}_\ell(2))$ . Define  $\mathrm{CH}_{\mathrm{hom}}^2(\mathrm{J}(C)_B)$  to be the kernel of the natural map  $\mathrm{CH}^2(\mathrm{J}(C)_B) \rightarrow \mathrm{H}^0(B, \mathrm{R}^4(f_B)_*\mathbb{Z}_\ell(2))$ . There is an induced Abel-Jacobi map

$$\eta_B^2 : \mathrm{CH}_{\mathrm{hom}}^2(\mathrm{J}(C)_B) \rightarrow \mathrm{H}^1(B, \mathrm{R}^3(f_B)_*\mathbb{Z}_\ell(2))$$

which for  $B = \mathrm{Spec}(L)$  coincides with map  $\eta_L^2$  defined above. If  $B = B_{\mathbb{C}}$  is a smooth complex variety, the same construction applied to the cycle map  $\mathrm{CH}^2(\mathrm{J}(C)_{B_{\mathbb{C}}}) \rightarrow \mathrm{H}^4(\mathrm{J}(C)_{B_{\mathbb{C}}}, \mathbb{Z}(2))$  with values in singular cohomology gives rise to a similar map

$$\eta_{B_{\mathbb{C}}}^2 : \mathrm{CH}_{\mathrm{hom}}^2(\mathrm{J}(C)_{B_{\mathbb{C}}}) \rightarrow \mathrm{H}^1(B_{\mathbb{C}}^{\mathrm{an}}, \mathrm{R}^3(f_{B_{\mathbb{C}}}^{\mathrm{an}})_*\mathbb{Z}(2)).$$

Let  $\mathrm{R}^1(f_B)_*\mathbb{Z}_\ell(1) \rightarrow \mathrm{R}^3(f_B)_*\mathbb{Z}_\ell(2)$  be the map induced by cup-product with the theta-divisor, and define  $\mathrm{PR}^3(f_B)_*\mathbb{Z}_\ell(2)$  to be the cokernel of this map. Thus  $\mathrm{PR}^3(f_B)_*\mathbb{Z}_\ell(2)$  is the local system on  $B$  corresponding the primitive 3rd cohomology of the fibers (with  $\mathbb{Z}_\ell(2)$ -coefficients). Similarly we have the local system  $\mathrm{PR}^3(f_{B_{\mathbb{C}}}^{\mathrm{an}})_*\mathbb{Z}(2)$  in the analytic topology. The composition of the Abel-Jacobi map  $\eta_B^2$  with the evident quotient map defines the map

$$\nu_B^2 : \mathrm{CH}_{\mathrm{hom}}^2(\mathrm{J}(C)_B) \rightarrow \mathrm{H}^1(B, \mathrm{PR}^3(f_B)_*\mathbb{Z}_\ell(2));$$

similarly we have  $\nu_{B_{\mathbb{C}}}^2$  and  $\nu_{B_{\mathbb{C}}^{\mathrm{an}}}^2$ . We claim the following diagram commutes.

$$(8) \quad \begin{array}{ccc} \mathrm{CH}_{\mathrm{hom}}^2(\mathrm{J}(C)_L) & \xrightarrow{\nu_L^2} & \mathrm{H}^1(G_L, \mathrm{PH}_{\mathrm{ét}}^3(\mathrm{J}(C)_{\overline{L}}, \mathbb{Z}_\ell(2))) \\ \uparrow & & \uparrow i^* \\ \mathrm{CH}_{\mathrm{hom}}^2(\mathrm{J}(C)_T) & \xrightarrow{\nu_T^2} & \mathrm{H}^1(T, \mathrm{PR}^3(f_T)_*\mathbb{Z}_\ell(2)) \\ \downarrow & & \cong \downarrow \gamma \\ \mathrm{CH}_{\mathrm{hom}}^2(\mathrm{J}(C)_{T_{\mathbb{C}}}) & \xrightarrow{\nu_{T_{\mathbb{C}}}^2} & \mathrm{H}^1(T_{\mathbb{C}}, \mathrm{PR}^3(f_{T_{\mathbb{C}}})_*\mathbb{Z}_\ell(2)) \\ \nu_{T_{\mathbb{C}}}^2 \downarrow & & \cong \downarrow \delta \\ \mathrm{H}^1(T_{\mathbb{C}}^{\mathrm{an}}, \mathrm{PR}^3(f_{T_{\mathbb{C}}}^{\mathrm{an}})_*\mathbb{Z}(2)) & \xrightarrow{\iota} & \mathrm{H}^1(T_{\mathbb{C}}^{\mathrm{an}}, \mathrm{PR}^3(f_{T_{\mathbb{C}}}^{\mathrm{an}})_*\mathbb{Z}(2)) \otimes \mathbb{Z}_\ell \end{array}$$

Here the top square is induced from the center square in (1) and the middle square is the base change map induced from the inclusion  $\overline{\mathbb{Q}} \rightarrow \mathbb{C}$  (recall that  $T = \mathcal{C}_{\overline{\mathbb{Q}}}$  and  $T_{\mathbb{C}} = \mathcal{C}_{\mathbb{C}}$  thought of as moduli spaces over  $\overline{\mathbb{Q}}$  and  $\mathbb{C}$

of curves with a marked point). These squares commute since the cycle maps  $\nu_L^2, \nu_T^2$  and  $\nu_{T_C}^2$  are functorial under pullback. By [1, Corollaire 1.6] étale cohomology is invariant under extensions of algebraically closed fields, which implies that the right vertical map  $\gamma$  is an isomorphism. The map  $\delta$  in the bottom is an isomorphism by the comparison theorem between étale and analytic cohomology [1, Theoreme 4.1]. The map  $\iota$  is the natural inclusion; this square commutes since the cycle classes of the total spaces in singular and  $\ell$ -adic cohomology coincide under the comparison isomorphism, and the corresponding Leray spectral sequences are compatible with this map.

Consider the class of the Ceresa cycle  $[\Xi_{T_C}]$  in  $\mathrm{CH}_{\mathrm{hom}}^2(\mathrm{J}(C)_{T_C})$ . It follows from Hain's proof of Ceresa's theorem that the image of the Ceresa cycle

$$\nu_{T_C}^2([\Xi_{T_C}]) \in \mathrm{H}^1(T_C, \mathrm{PR}^3(f_{T_C}^{\mathrm{an}})_*\mathbb{Z}(2))$$

defines an element of infinite order. Indeed, consider  $T_C$  as the quotient  $\mathfrak{X}_3/\Gamma(3)$ , where  $\mathfrak{X}_3$  is the appropriate Teichmüller space and  $\Gamma(3)$  is the level 3 subgroup of the Mapping Class group. Since  $\mathfrak{X}_3$  is simply connected and  $\Gamma(3)$  acts freely and properly discontinuously, there is an isomorphism

$$\mathrm{H}^1(T_C^{\mathrm{an}}, \mathrm{PR}^3(f_{T_C}^{\mathrm{an}})_*\mathbb{Z}(2)) \cong \mathrm{H}^1(\Gamma(3), \mathrm{PR}^3(f_{T_C}^{\mathrm{an}})_*\mathbb{Z}(2)).$$

It follows from [8, proof of Theorem 8.2] that the Ceresa cycle defines a class of infinite order in  $\mathrm{H}^1(\Gamma(3), \mathrm{PR}^3(f_{T_C}^{\mathrm{an}})_*\mathbb{Z}(2))$  which coincides with the class  $\nu_{T_C}^2([\Xi_{T_C}])$ ; see also [8, Corollary 10.4].

Assume now that  $S$  is a finite set primes such that for  $\ell \notin S$  the conclusion of lemma 2.1 holds, i.e.  $P_* \mathrm{CH}^2(\mathrm{J}(C)_{\overline{\mathbb{F}}_\ell}[\ell]) = 0$ . Fix such a prime  $\ell \notin S$  and assume there is a cycle  $[\Xi'_\ell] \in \mathrm{CH}^2(\mathrm{J}(C)_{\overline{\mathbb{F}}_\ell})$  with the property that

$$(9) \quad \ell \cdot [\Xi'_\ell] = [\Xi_{\overline{\mathbb{F}}_\ell}] \text{ in } \mathrm{CH}_{\mathrm{hom}}^2(\mathrm{J}(C)_{\overline{\mathbb{F}}_\ell}).$$

We show this implies  $\nu_{T_C}^2([\Xi_{T_C}])$  is divisible by the prime  $\ell$ . Since  $\nu_{T_C}^2([\Xi_{T_C}])$  is an element of infinite order in a finitely generated abelian group, it is divisible only by a finite number of primes. Therefore  $[\Xi_{\overline{\mathbb{F}}_\ell}]$  is divisible by at most a finite number of primes  $\ell$ , i.e. this will prove our theorem.

Assume (9). Since the cohomology of  $\mathrm{J}(C)_{\overline{\mathbb{F}}_\ell}$  is torsion free, it follows that  $[\Xi'_\ell]$  is homologically trivial. Let  $L'/L$  be a finite Galois extension such that the cycle  $[\Xi'_\ell]$  is defined over the field  $L'$ , and consider  $[\Xi'_\ell]$  as an element of the Chow group  $\mathrm{CH}_{\mathrm{hom}}^2(\mathrm{J}(C)_{L'})$ . If  $\sigma \in \mathrm{Gal}(L'/L)$ , by (9) and lemma 2.1,

$$P_*([\Xi'_\ell] - \sigma[\Xi'_\ell]) \in P_* \mathrm{CH}^2(\mathrm{J}(C)_{\overline{\mathbb{F}}_\ell})[\ell] = 0$$

which implies

$$(10) \quad P_*[\Xi'_\ell] = P_*\sigma[\Xi'_\ell].$$

Since the  $\ell$ -adic Abel-Jacobi map is compatible with the action of correspondences, it follows from (10) that  $\nu_{L'}^2([\Xi'_\ell])$  is invariant under the action of  $\mathrm{Gal}(L'/L)$ . The proof of [4, Proposition 4.1] gives an isomorphism

$$\mathrm{H}^1(G_L, \mathrm{PH}_{\mathrm{ét}}^3(\mathrm{J}(C)_{\overline{\mathbb{F}}_\ell}, \mathbb{Z}_\ell(2))) \cong \mathrm{H}^1(G_{L'}, \mathrm{PH}_{\mathrm{ét}}^3(\mathrm{J}(C)_{\overline{\mathbb{F}}_\ell}, \mathbb{Z}_\ell(2)))^{\mathrm{Gal}(L'/L)}$$

so that independently of the field of definition  $L'$  of  $[\Xi'_\ell]$  we have the relation

$$(11) \quad \ell \cdot \nu_{L'}^2([\Xi'_\ell]) = \nu_L^2([\Xi_L]) \quad \text{in } H^1(G_L, \text{PH}_{\text{ét}}^3(\mathbf{J}(C)_{\overline{L}}, \mathbb{Z}_\ell(2))).$$

Consider the map  $i^*$  in (8). If  $\emptyset \neq U \subset T$  is a Zariski open subset, the localisation sequence in étale cohomology induces an exact sequence

$$0 \rightarrow H^1(T, \text{PR}^3(f_T)_*\mathbb{Z}_\ell(2)) \rightarrow H^1(U, \text{PR}^3(f_U)_*\mathbb{Z}_\ell(2)) \rightarrow \bigoplus \text{PH}_{\text{ét}}^3(\mathbf{J}(C)_t, \mathbb{Z}_\ell(1)),$$

where the sum is taken over a finite number of geometric points  $t \in T \setminus U$ . Note that the groups  $\text{PH}_{\text{ét}}^3(\mathbf{J}(C)_t, \mathbb{Z}_\ell(2))$  are torsion free. Taking the colimit over all such  $U$  shows that the map  $i^*$  in (8) is an injective map whose cokernel is torsion free. In particular, we can view (11) as

$$(12) \quad \ell \cdot \nu_{L'}^2([\Xi'_\ell]) = \nu_L^2([\Xi_L]) \quad \text{in } H^1(T, \text{PR}^3(f_T)_*\mathbb{Z}_\ell(2)).$$

Let  $[\Xi'_{\ell, T_{\mathbb{C}}}]$  denote the image of  $[\Xi'_\ell]$  under the base change map induced by the inclusion  $L' \rightarrow \mathbb{C}$ , and let  $[\Xi_{T_{\mathbb{C}}}]$  be the image of  $[\Xi_L]$  under the map induced by  $L \rightarrow \mathbb{C}$ . By (12) we can consider  $\ell \cdot \nu_{L'}^2([\Xi'_\ell])$  and  $\nu_L^2([\Xi_L])$  as elements of  $H^1(T, \text{PR}^3(f_T)_*\mathbb{Z}_\ell(2))$ , which allows us to conclude that

$$(13) \quad \ell \cdot \nu_{T_{\mathbb{C}}}^2([\Xi'_{\ell, T_{\mathbb{C}}}] = (\delta \circ \gamma)(\ell \cdot \nu_{L'}^2([\Xi'_\ell])) = (\delta \circ \gamma)(\nu_L^2([\Xi_L])) = \nu_{T_{\mathbb{C}}}^2([\Xi_{T_{\mathbb{C}}}]$$

in  $H^1(T_{\mathbb{C}}, \text{PR}^3(f_{T_{\mathbb{C}}})_*\mathbb{Z}_\ell(2))$ . To finish the proof, note that (13) combined with the lower square in (8) immediately implies the claimed ‘integral’ relation

$$(14) \quad \ell \cdot \nu_{T_{\mathbb{C}}^{\text{an}}}^2([\Xi'_{\ell, T_{\mathbb{C}}}] = \nu_{T_{\mathbb{C}}^{\text{an}}}^2([\Xi_{T_{\mathbb{C}}}] \quad \text{in } H^1(T_{\mathbb{C}}^{\text{an}}, \text{PR}^3(f_{T_{\mathbb{C}}}^{\text{an}})_*\mathbb{Z}(2)).$$

□

### 3. PROOF OF THEOREM 1.2

We prove Theorem 1.2 in a series of lemmas following the strategy of Nori’s proof of the infinite generation of  $\text{Griff}^2(A) \otimes \mathbb{Q}$  for the generic complex abelian variety  $A$  of dimension 3. Instead of the isogenies used by Nori we work with modular correspondences which arise from Atkin-Lehner type of involutions coming from the structure of the underlying moduli; the construction of these correspondences is similar to [20].

Let  $X$  be the fine moduli space of principally polarised abelian varieties of dimension 3 with a full symplectic level 3 structure with respect to the Weil pairing and a fixed cube root of unity  $\zeta$ , considered as an irreducible smooth complex variety. Let  $F = \mathbb{C}(X)$  be the function field of  $X$ , and write  $A = A_F$  for the generic fiber. Similar we have the moduli space  $M$  of curves of genus 3 with a full symplectic level 3 structure with respect to  $\zeta$ , now viewed as an irreducible smooth complex variety. Let  $E = \mathbb{C}(M)$  be the function field and  $C = C_E$  the generic fiber. Then  $E/F$  is a quadratic field extension and there is a cartesian square (see, for example, [16, pg. 192, II])

$$\begin{array}{ccc} \mathbf{J}(C) & \rightarrow & A \\ \downarrow & & \downarrow \\ \text{Spec}(E) & \rightarrow & \text{Spec}(F) \end{array}$$



which implies  $J(C) \cong A_E$ ; in particular  $J(C)_{\overline{F}} \cong A_{\overline{F}}$  for a fixed algebraic closure  $\overline{F}$  of  $F$ . Let  $f_{\overline{F}} : J(C)_{\overline{F}} \rightarrow A_{\overline{F}}$  denote this isomorphism. The Ceresa cycle  $\Xi_{\overline{F}}$  on  $J(C)_{\overline{F}}$  defines a class  $[\Xi_{\overline{F}}]$  in  $\text{Griff}^2(J(C)_{\overline{F}})$ . Consider the cycle

$$[\Theta_{\overline{F}}] = f_{\overline{F}*}([\Xi'_{\overline{F}}]) \in \text{Griff}^2(A_{\overline{F}}).$$

The proof of Theorem 1.1 shows that the image of  $[\Theta_{\overline{F}}]$  in  $\text{Griff}^2(A_{\overline{F}}) \otimes \mathbb{Z}/\ell$  is non-trivial for all but finitely many primes  $\ell$ . We show that for any such  $\ell$  the quotient  $\text{Griff}^2(A_{\overline{F}}) \otimes \mathbb{Z}/\ell$  is infinite. Since base change along the inclusion  $\overline{F} \rightarrow \mathbb{C}$  induces an isomorphism on Chow groups modulo  $\ell$  [11], this immediately implies Theorem 1.2.

For the remaining part of this section we fix a prime  $\ell$  with the property that the image of  $[\Theta_{\overline{F}}]$  is non-trivial in the Griffiths group  $\text{Griff}^2(A_{\overline{F}}) \otimes \mathbb{Z}/\ell$ .

**Lemma 3.1.** *Let  $p > 3$  be a prime,  $p \neq \ell$ . There exists an isomorphism*

$$\Gamma_p : \text{Griff}^2(A_{\overline{F}}) \otimes \mathbb{Z}/\ell \rightarrow \text{Griff}^2(A_{\overline{F}}) \otimes \mathbb{Z}/\ell.$$

*Proof.* Let  $p > 3$  be a fixed prime, and let  $X(3, p)$  be the fine moduli space of abelian varieties of dimension 3 with principal polarisation  $\mathcal{L}$  (up to algebraic equivalence), a full symplectic level 3 structure with respect to a fixed cube root, and a subgroup of the  $p$ -torsion subgroup of order  $p^3$  which is maximal isotropic for the  $e_p^{\mathcal{L}}$ -pairing induced by the principal polarisation  $\mathcal{L}$ .

Let  $(A_+, [\mathcal{L}], P) \rightarrow X(3, p)$  be the universal polarised abelian variety with a distinguished subgroup of order  $p^3$ . Then  $A_+$  is the pullback of  $A$  along the ‘forget map’  $X(3, p) \rightarrow X$ . Consider the quotient map  $q : A_+ \rightarrow A'_+ = A_+/P$ . The abelian variety  $A'_+$  is principally polarised in a unique way such that the pullback of the polarisation  $[\mathcal{L}']$  on  $A'_+$  to  $A_+$  is  $[\mathcal{L}^{\otimes p}]$ . Note that  $\mathcal{L}'$  is well-defined only up to algebraic equivalence; it depends on the choice of a character of the isotropic subgroup scheme  $P$  and is uniquely determined only up to tensoring by a torsion line bundle. Note also that for algebraically equivalent line bundles  $\mathcal{L} \approx \tilde{\mathcal{L}}$  and any integer  $m$ , we have  $e_m^{\mathcal{L}} = e_m^{\tilde{\mathcal{L}}}$ .

The image of the full symplectic level 3 structure  $\{u_1, u_2, u_3, v_1, v_2, v_3\}$  on  $A_+$  under the quotient map  $A_+ \rightarrow A'_+$  determines a full symplectic level 3 structure on  $A'_+$ , given by  $\{-q(pv_1), -q(pv_2), -q(pv_3), q(u_1), q(u_2), q(u_3)\}$  (where  $pv_j$  denotes the  $p$ -th multiple of  $v_j$  for the group structure; this is just  $\pm v_j$ , since  $v_j$  has order 3). This slightly tricky definition of the level 3 structure on the quotient is made so as to be consistent with the formula (20) below.

Let  $P'$  be the image of the  $p$ -torsion subgroup scheme  $A_+[p]$  under the quotient map  $q$ . Then  $P'$  is a subgroup scheme of  $A'_+[p]$  of order  $p^3$  which is isotropic for the  $e_p^{\mathcal{L}'}$ -pairing. In fact, for an integer  $m \geq 1$  and  $x, y$  in  $A_+[m]$  with images  $x', y'$  in  $A'_+[m]$  we have from [14, pg. 228] the following formulae

$$e_m^{\mathcal{L}'}(x', y') = e_m^{\mathcal{L}^{\otimes m}}(x, y) = e_m^{\mathcal{L}}(x, my)$$

which imply that our prescription above does give a full symplectic level 3 structure for  $A'_+$ .

Thus the triple  $(A'_+, [\mathcal{L}'], P')$  defines a point in the fine moduli  $X(3, p)$  and there exists a unique morphism  $\omega_p : X(3, p) \rightarrow X(3, p)$  such that  $A'_+$  is the pullback of  $A_+$  with respect to  $\omega_p$ . Consider the commutative diagram

$$(15) \quad \begin{array}{ccccc} A_+ & \xrightarrow{q} & A'_+ & \xrightarrow{\omega'_p} & A_+ \\ \downarrow & & \downarrow & & \downarrow \\ X(3, p) & \xrightarrow{=} & X(3, p) & \xrightarrow{\omega_p} & X(3, p) \end{array}$$

where the right square is cartesian, and the top left map  $q$  is the canonical quotient map. Define  $u_p : A_+ \rightarrow A_+$  to be the composition  $\omega'_p \circ q$ .

Applying the above ‘quotient construction’ to  $(A'_+, [\mathcal{L}'], P')$ , we obtain a triple  $(A''_+, [\mathcal{L}''], P'')$ , and an isogeny  $q' : A'_+ \rightarrow A''_+$ , together with a commutative diagram, with Cartesian squares

$$\begin{array}{ccccccc} A_+ & \xrightarrow{q' \circ q} & A''_+ & \xrightarrow{\omega''_p} & A'_+ & \xrightarrow{\omega'_p} & A_+ \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ X(3, p) & \xrightarrow{=} & X(3, p) & \xrightarrow{\omega_p} & X(3, p) & \xrightarrow{\omega_p} & X(3, p) \end{array}$$

The kernel of the composite isogeny  $q' \circ q : A_+ \rightarrow A''_+$  is the  $p$ -torsion of  $A_+$ , and so we may identify  $A''_+$  with  $A_+$ , such that  $q' \circ q$  is identified with the map  $[p] : A_+ \rightarrow A_+$  given by multiplication by  $p$ . Further, the principal polarisation and the distinguished subgroup of  $A_+$ , obtained from the isomorphism with  $A''_+$ , coincide with the ones we started with, and the level 3 structure is that determined by  $\{-pu_1, -pu_2, -pu_3, -pv_1, -pv_2, -pv_3\}$ , which is isomorphic to the original one.

Since  $X(3, p)$  is a fine moduli space, we must have that  $\omega_p \circ \omega_p = \text{id}$ . Composing the quotient map  $A_+ \rightarrow A_+/A_+[p]$  with the above isomorphism, we see that  $u_p \circ u_p$  is multiplication by  $\epsilon(p)p$ , where  $\epsilon(p) = -1$  if  $p \equiv 1 \pmod{3}$  and  $\epsilon(p) = 1$  if  $p \equiv -1 \pmod{3}$ .

Let  $N$  be a squarefree product of odd primes  $p > 3$ , where  $(p, \ell) = 1$ . Let  $X(3, N)$  be the fine moduli space of principally polarised abelian varieties of dimension 3 with a distinguished subgroup of order  $N^3$  which is maximal isotropic for the  $e_N$ -pairing. We have a natural isomorphism

$$X(3, N) \cong \times_{p|N} X(3, p),$$

where the fiber product is taken over  $X$ . Let  $F_N = \mathbb{C}(X(3, N))$  be the complex function field of  $X(3, N)$ . For each  $p$  dividing  $N$  the pullback of the left square in (15) along the map  $X(3, N) \rightarrow X(3, p)$  produces a diagram

$$(16) \quad \begin{array}{ccccc} A_+(N) & \xrightarrow{q, N} & A'_+(N) & \xrightarrow{\omega'_{p, N}} & A_+(N) \\ \downarrow & & \downarrow & & \downarrow \\ X(3, N) & \xrightarrow{=} & X(3, N) & \xrightarrow{\omega_{p, N}} & X(3, N) \end{array}$$

where  $A_+(N) = A_+ \times_{X(3,p)} X(3, N)$ , and  $A'_+(N) = A'_+ \times_{X(3,p)} X(3, N)$ . The morphism  $\omega_{p,N} : X(3, N) \rightarrow X(3, N)$  is an involution, and  $u_{p,N} = \omega'_{p,N} \circ q$ ,  $N$  is an isogeny; these maps are compatible with the maps  $\omega_p$  and  $u_p$  defined earlier.

If  $N|N'$ , the morphism  $X(3, N') \rightarrow X(3, N)$  induces a field extension  $F_N \subset F_{N'}$  which is compatible with the involutions  $\omega_{p,N}$  and  $\omega_{p,N'}$  in the sense that  $\omega_{p,N'}$  on  $F_{N'}$  induces  $\omega_{p,N}$  on  $F_N$ . Let  $F_\infty = \cup F_N$ , where the union is taken over all squarefree odd  $N > 3$  prime to  $\ell$ . The  $\omega_{p,N}$  give rise to a well-defined involution  $\omega_p \in \text{Aut}(F_\infty)$ ; let  $\bar{\omega}_p$  be a fixed lifting of  $\omega_p$  to an element of  $\text{Aut}(\bar{F})$ . Thus we have for any  $p$  dividing  $N$  an endomorphism

$$(17) \quad \gamma_p = \gamma_{p,N} : A_{\bar{F}} = A_+(N) \times \text{Spec}(\bar{F}) \xrightarrow{u_{p,N} \times \bar{\omega}_p} A_+(N) \times \text{Spec}(\bar{F}) = A_{\bar{F}}$$

which is independent of the choice of  $N$ . From  $\gamma_p$  we obtain maps on Chow groups and étale cohomology groups which are compatible with the cycle map. In particular, pullback along  $\gamma_p$  induces a homomorphism

$$\Gamma_p = \gamma_p^* : \text{Griff}_{\text{hom}}^2(A_{\bar{F}}) \otimes \mathbb{Z}/\ell \rightarrow \text{Griff}_{\text{hom}}^2(A_{\bar{F}}) \otimes \mathbb{Z}/\ell.$$

Since  $u_p \circ u_p = \epsilon(p)p$  on  $A_{\bar{F}}$  and  $\ell \neq p$ , the proof of [20, Lemma 4.7] implies that  $\Gamma_p$  is an isomorphism.  $\square$

Consider the tower of field extensions  $F \subset F_\infty \subset \bar{F}$ . For  $N$  a squarefree product of odd primes  $p > 3$  prime to  $\ell$ , set  $M(3, N) = M \times_X X(3, N)$ , let  $E_N$  be its complex function field, and denote by  $J(C(N))$  the generic fiber. Thus  $E_N$  is a quadratic extension of  $F_N$  and there is a cartesian square

$$\begin{array}{ccc} J(C(N)) & \rightarrow & A_+(N) \\ \downarrow & & \downarrow \\ \text{Spec}(E_N) & \rightarrow & \text{Spec}(F_N) \end{array}$$

If  $E_\infty = \cup_N E_N$ , where the union is again taken over all squarefree odd  $N > 3$  prime to  $\ell$ , we obtain a quadratic extension  $E_\infty/F_\infty$ . Let  $\chi$  is the composition  $\text{Gal}(\bar{F}/F_\infty) \rightarrow \text{Gal}(E_\infty/F_\infty) = \{\pm 1\}$ . Then the action of the Galois group  $\text{Gal}(\bar{F}/F_\infty)$  on the class  $[\Theta_{\bar{F}}]$  is given by the following simple formula.

**Lemma 3.2.** *For all  $g \in \text{Gal}(\bar{F}/F_\infty) : g \cdot [\Theta_{\bar{F}}] = \chi(g) \cdot [\Theta_{\bar{F}}]$ .*

*Proof.* Similar to [16, Proposition 1].  $\square$

Let  $\mathcal{P} = \{p \mid p \text{ prime } > 3, p \neq \ell\}$ , so that each  $p \in \mathcal{P}$  defines a non-trivial cycle  $\Gamma_p([\Theta_{\bar{F}}])$ . We show the set  $\{\Gamma_p([\Theta_{\bar{F}}]) \mid p \in \mathcal{P}\} \subset \text{Griff}^2(A_{\bar{F}}) \otimes \mathbb{Z}/\ell$  is linearly independent. For  $p \in \mathcal{P}$  let  $\bar{\omega}_p \in \text{Aut}(\bar{F})$  be as in the proof of Lemma 3.1. Define

$$(18) \quad \chi^{\bar{\omega}_p}(g) = \chi(\bar{\omega}_p g \bar{\omega}_p^{-1}),$$

where  $\chi : \text{Gal}(\bar{F}/F_\infty) \rightarrow \text{Gal}(E_\infty/F_\infty) = \{\pm 1\}$  is as above.

**Lemma 3.3.** *For  $p \in \mathcal{P}$  and  $g \in \text{Gal}(\overline{F}/F_\infty)$ :*

$$g \cdot \Gamma_p([\Theta_{\overline{F}}]) = \chi^{\overline{\omega}_p}(g) \cdot \Gamma_p([\Theta_{\overline{F}}]).$$

*Proof.* By definition  $\Gamma_p = \gamma_p^*$ , where  $\gamma_p = u_p \times \overline{\omega}_p : A_{\overline{F}} \rightarrow A_{\overline{F}}$ . Let  $\tilde{\gamma}_p = u_p \times \overline{\omega}_p^{-1} : A_{\overline{F}} \rightarrow A_{\overline{F}}$ . The proof of Lemma 3.1 shows  $u_p \circ u_p = \epsilon(p)p$ , so that  $\gamma_p g \tilde{\gamma}_p = (u_p \times \overline{\omega}_p) \circ (\text{id} \times g) \circ (u_p \times \overline{\omega}_p^{-1}) = (u_p \circ u_p) \times \overline{\omega}_p g \overline{\omega}_p^{-1} = \epsilon(p)p \times \overline{\omega}_p g \overline{\omega}_p^{-1}$ .

Since  $p \neq \ell$  it follows from [5, §4, Proposition] that  $\epsilon(p)p$  acts as the identity on  $\text{Griff}^2(A_{\overline{F}}) \otimes \mathbb{Z}/\ell$ . In particular, the above equation applied with  $g = \text{id}$  shows that  $\tilde{\gamma}_p$  acts as the inverse to  $\gamma_p$  on  $\text{Griff}^2(A_{\overline{F}}) \otimes \mathbb{Z}/\ell$ . Therefore

$$(\Gamma_p)^{-1} g \Gamma_p = (\gamma_p^*)^{-1} g \gamma_p^* = (\gamma_p g \tilde{\gamma}_p)^* = (\overline{\omega}_p g \overline{\omega}_p^{-1})^*.$$

The claim follows from Lemma 3.2 by applying the last equation to  $[\Theta_{\overline{F}}]$ .  $\square$

The following lemma completes the proof of Theorem 1.2.

**Lemma 3.4.** *For  $p \in \mathcal{P}$  the  $\chi^{\overline{\omega}_p}$  are distinct characters of  $\text{Gal}(\overline{F}/F_\infty)$ .*

*Proof.* We follow here the discussion in [16, in particular, pg. 194]. For an integer  $N \geq 3$  consider the moduli space  $X(N)$  of principally polarised complex abelian varieties of dimension 3 with a full symplectic level  $N$  structure. Here, we fix the standard complex primitive  $N$ -root of unity  $e^{2\pi i/N}$  in considering full level structure.

For  $N|N'$  there are natural maps  $X(N') \rightarrow X(N)$ . Thus there is a field  $\tilde{F}$  (denoted by  $F$  in [16]) obtained as the union of the fields  $\mathbb{C}(X(N))$ . If  $N$  is a product of distinct primes  $> 3$ , we also have a (finite) map  $X(3N) \rightarrow X(3, N)$  determined by associating to an abelian variety with full symplectic level  $3N$  structure  $\{u_1, u_2, u_3, v_1, v_2, v_3\}$  the same abelian variety, with induced full symplectic level 3 structure, and the distinguished subgroup generated by  $\{3v_1, 3v_2, 3v_3\}$ . This is compatible with the natural maps obtained for  $N|N'$ , where  $N'$  is a square-free product of primes  $> 3$ .

Hence we may regard our field  $F_\infty$  as a subfield of the field  $\tilde{F}$  in a natural way, which is an algebraic extension, and thus identify  $\overline{F}$  with the algebraic closure of  $\tilde{F}$ . In particular, we may view  $\text{Gal}(\overline{F}/\tilde{F})$  as a subgroup of  $\text{Gal}(\overline{F}/F_\infty)$ , and so our character  $\chi$  determines a character  $\tilde{\chi} : \text{Gal}(\overline{F}/\tilde{F}) \rightarrow \text{Gal}(E_\infty \tilde{F}/\tilde{F}) = \{\pm 1\}$ . This is the character considered by Nori in [16, pg. 193] (denoted there by  $\chi$ ). In a similar fashion, the characters  $\chi^{\overline{\omega}_p}$  determine characters  $\tilde{\chi}^{\overline{\omega}_p}$  of  $\text{Gal}(\overline{F}/\tilde{F})$  by restriction; it clearly suffices to prove that these characters are all distinct.

We may view  $X(N)$  as the quotient of the Siegel half-space  $\mathfrak{S}_3$  by the action of the principal congruence subgroup  $\Gamma(N)$  of level  $N$  in  $\text{Sp}_6(\mathbb{Z})$ . Let  $\widetilde{\text{Sp}}_6(\mathbb{R})$  be the subgroup of  $\text{GL}_6(\mathbb{R})$  generated by  $\text{Sp}_6(\mathbb{R})$  and the scalar matrices. Set  $\widetilde{\text{Sp}}_6(\mathbb{Q}) = \widetilde{\text{Sp}}_6(\mathbb{R}) \cap \text{GL}_6(\mathbb{Q})$ . There is an action of  $\widetilde{\text{Sp}}_6(\mathbb{R})/\mathbb{R}^\times$  on  $\mathfrak{S}_3$  and each  $g \in \widetilde{\text{Sp}}_6(\mathbb{Q}) \cap \text{M}_6(\mathbb{Z})$  induces an automorphism  $\rho_1(g)$  of  $\text{Spec}(\tilde{F})$  and an endomorphism  $\rho_2(g)$  of  $A_{\tilde{F}}$  which are compatible with the

structure map  $A_{\tilde{F}} \rightarrow \text{Spec}(\tilde{F})$ , see [16, pg. 194] (where our  $\tilde{F}$  is Nori's  $F$ ). By abuse of notation we also write  $j : \text{Spec}(\overline{F}) \rightarrow \text{Spec}(\tilde{F})$  for the morphism corresponding to the given embedding  $j : \tilde{F} \rightarrow \overline{F}$ . Consider the group

$$G = \{(\alpha, g) \in \text{Aut}(\overline{F}) \times \widetilde{\text{Sp}}_6(\mathbb{Q}) \mid \rho_1(g) \circ j = j \circ \alpha\}$$

which fits into the following exact sequence

$$1 \rightarrow \text{Gal}(\overline{F}/\tilde{F}) \rightarrow G \rightarrow \widetilde{\text{Sp}}_6(\mathbb{Q}) \rightarrow 1.$$

Assume now  $\{r_i\}_{i \in I} \subset \widetilde{\text{Sp}}_6(\mathbb{Q})$  is a system of distinct coset-representatives of  $\widetilde{\text{Sp}}_6(\mathbb{Q})/\text{Sp}_6(\mathbb{Z})$ , and  $s_i \in G$  is a lift of  $r_i \in \widetilde{\text{Sp}}_6(\mathbb{Q})$ . For  $\tilde{\chi} : \text{Gal}(\overline{F}/\tilde{F}) \rightarrow \text{Gal}(E_\infty \tilde{F}/\tilde{F}) = \{\pm 1\}$  as above (denoted  $\chi$  in [16]), and  $g \in \text{Gal}(\overline{F}/\tilde{F})$ , set

$$(19) \quad \tilde{\chi}^{s_i}(g) = \tilde{\chi}(s_i g s_i^{-1}).$$

Nori has shown [16, pg. 195] that the above assumption on the  $\{r_i\}$  implies that the corresponding  $\tilde{\chi}^{s_i}$  define distinct characters of  $\text{Gal}(\overline{F}/\tilde{F})$ . In particular, to prove the lemma, it suffices to show that for  $p \in \mathcal{P}$  the characters  $\tilde{\chi}^{\omega_p}$  are of this form. This is done below, using some explicit calculations on the Siegel space  $\mathfrak{S}_3$ , and on the universal family over it.

We use the notations and conventions of [10]. Recall that the Siegel space  $\mathfrak{S}_3$  is the space of complex  $3 \times 3$  matrices  $\Omega$  which are symmetric, and have positive definite imaginary part. The universal family of abelian 3-folds over  $\mathfrak{S}_3$  has fiber over  $\Omega$  equal to the abelian variety  $A(\Omega) = \mathbb{C}^3/\Omega\mathbb{Z}^3 + \mathbb{Z}^3$ . This is regarded as principally polarised, with the polarisation being given by the unimodular symplectic form on the lattice, determined by taking the 3 columns of  $\Omega$ , followed by the 3 basis vectors of  $\mathbb{Z}^3$ , as a symplectic basis. Equivalently, the map  $\mathbb{Z}^6 \rightarrow \mathbb{C}^3$  given by  $\mathbb{Z}^6 = \mathbb{Z}^3 \oplus \mathbb{Z}^3 \rightarrow \Omega\mathbb{Z}^3 + \mathbb{Z}^3$  transports the standard symplectic form on  $\mathbb{Z}^6 = \mathbb{Z}^3 \oplus \mathbb{Z}^3$  to the chosen one on the lattice.

The abelian variety  $A(\Omega)$  may be endowed with a full symplectic level  $N$  structure as follows. The  $N$ -torsion subgroup of  $A(\Omega)$  is the image of  $\frac{1}{N}\Omega\mathbb{Z}^3 + \frac{1}{N}\mathbb{Z}^3$ ; the  $e_N$ -pairing is determined by  $(\alpha, \beta) = e^{2\pi i N \langle \alpha, \beta \rangle}$ , where  $\alpha, \beta \in \frac{1}{N}\Omega\mathbb{Z}^3 + \frac{1}{N}\mathbb{Z}^3$ , and  $\langle, \rangle$  is the induced rational symplectic form on  $\Omega\mathbb{Q}^3 + \mathbb{Q}^3 = (\Omega\mathbb{Z}^3 + \mathbb{Z}^3) \otimes \mathbb{Q}$ . Now we see that if  $e_1, e_2, e_3$  are the basis vectors of  $\mathbb{Z}^3 \subset \mathbb{C}^3$ ,  $u_i = \frac{1}{N}\Omega e_i$  and  $v_i = \frac{1}{N}e_i$ , then  $\{u_1, u_2, u_3, v_1, v_2, v_3\}$  gives a symplectic basis for the  $N$ -torsion. This symplectic level  $N$  structure on  $A(\Omega)$ , for each  $\Omega \in \mathfrak{S}_3$ , determines a map  $\mathfrak{S}_3 \rightarrow X(N)$ , identifying  $X(N)$  (as a complex space) as the quotient of  $\mathfrak{S}_3$  by the principal congruence subgroup of level  $N$ , as noted earlier while reviewing Nori's constructions.

In particular, if  $p \in \mathcal{P}$ , the composite  $\mathfrak{S}_3 \rightarrow X(3p) \rightarrow X(3, p)$  associates to each  $\Omega \in \mathfrak{S}_3$  the choice of the full symplectic level 3 structure given above, together with the distinguished subgroup of order  $p^3$  of  $A(\Omega)[p]$  given by

$$P(\Omega) = \Omega\mathbb{Z}^3 + \frac{1}{p}\mathbb{Z}^3 \pmod{\Omega\mathbb{Z}^3 + \mathbb{Z}^3}.$$

Hence  $\mathfrak{S}_3 \rightarrow X(3, p) \xrightarrow{\omega_p} X(3, p)$  takes  $A(\Omega)$  to the quotient abelian variety  $A(\Omega)/P(\Omega)$ , endowed with an induced full symplectic level 3 structure (given by our earlier recipe), and a distinguished subgroup of order  $p^3$ .

On the other hand, the action of  $\widetilde{\mathrm{Sp}}_6(\mathbb{R})$  on  $\mathfrak{S}_3$  is given by the formula

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} (\Omega) = (a\Omega + b)(c\Omega + d)^{-1},$$

where the components  $a, b, c, d$  in the above matrix in  $\widetilde{\mathrm{Sp}}_6(\mathbb{R}) \subset \mathrm{M}_6(\mathbb{R})$  are elements of  $\mathrm{M}_3(\mathbb{R})$  (compare [10], pg. 137). We associate to  $p \in \mathcal{P}$  the matrix

$$(20) \quad \Upsilon(p) = \begin{bmatrix} 0 & I_3 \\ -pI_3 & 0 \end{bmatrix} \in \widetilde{\mathrm{Sp}}_6(\mathbb{Q}) \cap \mathrm{M}_6(\mathbb{Z}),$$

where  $I_3$  denotes the  $3 \times 3$  identity matrix. The matrix  $\Upsilon(p)$  induces a holomorphic map on the Siegel space  $\mathfrak{S}_3 \rightarrow \mathfrak{S}_3$  given by the formula

$$\Omega \mapsto -p^{-1}\Omega^{-1}.$$

This is visibly an involution on  $\mathfrak{S}_3$ , and is reminiscent of the formula for the analogous classical Atkin-Lehner involution associated to elliptic curves,

$$\tau \mapsto \frac{-1}{p\tau}.$$

We verify, by explicit calculation, that  $\Upsilon(p)$  fits into a commutative diagram

$$(21) \quad \begin{array}{ccc} \mathfrak{S}_3 & \xrightarrow{\Upsilon(p)} & \mathfrak{S}_3 \\ \downarrow & & \downarrow \\ X(3, p) & \xrightarrow{\omega_p} & X(3, p) \end{array}$$

where the two vertical arrows are given by the map  $\mathfrak{S}_3 \rightarrow X(3, p)$  described above, i.e. we show that the abelian varieties  $A(\Omega)/P(\Omega)$  and  $A(\Upsilon(p)(\Omega))$ , together with the additional structure, are compatibly isomorphic.

The quotient variety  $A(\Omega)/P(\Omega)$ , as a complex abelian variety, is just

$$\mathbb{C}^3/\Omega\mathbb{Z}^3 + \frac{1}{p}\mathbb{Z}^3,$$

where the quotient map from  $A(\Omega)$  is induced by the identity on  $\mathbb{C}^3$ . We may rescale, and write this quotient as

$$A(p\Omega) = \mathbb{C}^3/p\Omega\mathbb{Z}^3 + \mathbb{Z}^3;$$

now the quotient map  $A(\Omega) \rightarrow A(p\Omega)$  is induced by multiplication by  $p$  on  $\mathbb{C}^3$ . With this rescaled choice, the pullback to  $A(\Omega)$  of the principal polarisation of  $A(p\Omega)$  coincides with  $p$  times the principal polarisation of  $A(\Omega)$ . Hence variety  $A(p\Omega)$  is the ‘‘correct’’ quotient of  $A(\Omega)$  by  $P(\Omega)$ , as a principally polarised abelian variety.

However, the ‘‘quotient’’ level 3 structure, and the distinguished subgroup of order  $p^3$ , as defined earlier, do not agree with the choices made for  $A(p\Omega)$ .

For example, the distinguished subgroup for the quotient structure is

$$\Omega\mathbb{Z}^3 + \mathbb{Z}^3(\bmod p\Omega\mathbb{Z}^3 + \mathbb{Z}^3),$$

while our chosen one for  $A(p\Omega)$  is

$$p\Omega\mathbb{Z}^3 + \frac{1}{p}\mathbb{Z}^3(\bmod p\Omega\mathbb{Z}^3 + \mathbb{Z}^3).$$

Hence we need to find another point of  $\mathfrak{S}_3$  which is  $\mathrm{Sp}_6(\mathbb{Z})$ -equivalent to  $p\Omega$ , so that for the corresponding abelian variety, these extra data are also compatible. This can be achieved by considering the action of the matrix

$$\begin{bmatrix} 0 & I_3 \\ -I_3 & 0 \end{bmatrix}$$

on Siegel space. The action of this matrix corresponds on the lattice to the operation  $\{u_1, u_2, u_3, v_1, v_2, v_3\} \mapsto \{-v_1, -v_2, -v_3, u_1, u_2, u_3\}$  on the symplectic basis, thus we see that the corresponding abelian variety  $A(-p^{-1}\Omega^{-1})$ , which is isomorphic to  $A(p\Omega) = A(\Omega)/P(\Omega)$  as a principally polarised abelian variety, also has a compatible distinguished subgroup of order  $p^3$ .

Next, we compute that the full level 3 structure are compatible as well: if  $\{\bar{u}_1, \bar{u}_2, \bar{u}_3, \bar{v}_1, \bar{v}_2, \bar{v}_3\}$  are the images of the symplectic basis for 3-torsion under the quotient map  $A(\Omega) \rightarrow A(\Upsilon(p)(\Omega))$ , then  $\{-p\bar{v}_1, -p\bar{v}_2, -p\bar{v}_3, \bar{u}_1, \bar{u}_2, \bar{u}_3\}$  coincides with the chosen symplectic basis for 3-torsion in  $A(\Upsilon(p)(\Omega))$ . This is just the formula used to specify the ‘‘quotient’’ full symplectic level 3 structure in our definition of the map  $\omega_p : X(3, p) \rightarrow X(3, p)$ , thus  $A(\Omega)/P(\Omega) \cong A(\Upsilon(p)(\Omega))$ , compatibly with the additional data, as claimed.

Since  $\Upsilon(p) \in \widetilde{\mathrm{Sp}}_6(\mathbb{Q}) \cap \mathrm{M}_6(\mathbb{Z})$ , the matrix  $\Upsilon(p)$  determines an automorphism  $\widehat{\omega}_p = \rho_1(\Upsilon(p))$  of  $\mathrm{Spec}(\widetilde{F})$ . Let  $\overline{\omega}'_p \in \mathrm{Aut}(\mathrm{Spec}(\overline{F}))$  be a fixed lift of  $\widehat{\omega}_p$ , compatible with the embedding  $j : \widetilde{F} \rightarrow \overline{F}$ . Then  $s_p = (\overline{\omega}'_p, \Upsilon(p))$  defines a lift of  $\Upsilon(p)$  to  $G$ . Consider the corresponding character  $\widetilde{\chi}^{s_p}$ . Because

$$s_p g s_p^{-1} = (\overline{\omega}'_p \times \Upsilon(p)) \circ (g \times \mathrm{id}) \circ ((\overline{\omega}'_p \times \Upsilon(p))^{-1}) = \overline{\omega}'_p g \overline{\omega}'_p^{-1},$$

we have  $\widetilde{\chi}^{s_p} = \widetilde{\chi}^{\overline{\omega}'_p}$  as characters of  $\mathrm{Gal}(\overline{F}/\widetilde{F})$ . For distinct primes  $p, q \in \mathcal{P}$  the matrix  $\Upsilon(p)\Upsilon(q)^{-1}$  is not contained in  $\mathrm{Sp}_6(\mathbb{Z})$ , thus the set  $\{\Upsilon(p) \mid p \in \mathcal{P}\}$  defines a system of distinct coset representatives of  $\widetilde{\mathrm{Sp}}_6(\mathbb{Q})/\mathrm{Sp}_6(\mathbb{Z})$ , and the  $\widetilde{\chi}^{s_p} = \widetilde{\chi}^{\overline{\omega}'_p}$  are distinct as characters of  $\mathrm{Gal}(\overline{F}/\widetilde{F})$ .

To complete the proof, we need to show that  $\widetilde{\chi}^{\overline{\omega}_p} = \widetilde{\chi}^{\overline{\omega}'_p}$  as characters of  $\mathrm{Gal}(\overline{F}/\widetilde{F})$ . Indeed, the original character  $\widetilde{\chi}$ , of which both are twists, was obtained by restriction of a character  $\chi$  of  $\mathrm{Gal}(\overline{F}/F)$ , corresponding to a quadratic extension  $\mathbb{C}(M)$  of the field  $F = \mathbb{C}(X)$ . This quadratic extension may (by Kummer theory, say) be viewed as obtained by adjoining a square root of an element  $h \in F^\times$  (determined up to  $F^{\times 2}$ ); then  $\chi$  is determined by the formula  $\chi(g) = g(h)/h = \pm 1$ . The twisted characters are then determined by adjoining square roots of  $\overline{\omega}_p^{-1}(h)$  and  $(\overline{\omega}'_p)^{-1}(h)$  respectively to  $\widetilde{F}$ . But from the diagram (21), both  $\overline{\omega}_p$  and  $\overline{\omega}'_p$  restrict to  $\omega_p = \omega_p^{-1}$  on the

subfield  $\mathbb{C}(X(3, p) = F_p \subset \widetilde{F}$ , and  $h \in F \subset F_p$ , so that  $\overline{\omega}_p^{-1}(h) = (\overline{\omega}'_p)^{-1}(h)$  as elements of  $\widetilde{F}^\times$ , and so we are adjoining the same square root in both cases. □

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